

**Rock mechanics Forsmark
Modelling stage 2.3**

**Complementary analysis and verification
of the rock mechanics model**

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December 2008

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This report concerns a study which was conducted for SKB. The conclusions and viewpoints presented in the report are those of the authors and do not necessarily coincide with those of the client.

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Preface

The report describes the results of the rock mechanics site modelling for the Forsmark area during modelling stage 2.3. The overall aim of the work during modelling stage 2.3 has been to complete the rock mechanics model by including new accessible data of particular value. The purpose has also been to validate the rock mechanics model and reduce the uncertainty of the model a further step.

In addition to the authors, Anders Sundberg has contributed to the rock mechanics modelling work at Forsmark, stage 2.3 by assisting in the stochastic modelling of the uniaxial compressive strength of the intact rock in the rock domains.

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Abstract

The Swedish Nuclear Fuel and Waste Management Company (SKB) is undertaking site characterization at two different locations, Forsmark and Laxemar/Simpevarp, with the objective of siting a geological repository for spent nuclear fuel. The characterization of a site is an integrated work carried out by several disciplines including geology, rock mechanics, thermal properties, hydrogeology, hydrogeochemistry and surface systems. The present report treats the results from the latest rock mechanics modelling stage 2.3 of the Forsmark area. The report is a complementary report to the main reference report from modelling stage 2.2 /Glamheden et al. 2007a/.

The aim of the work has been to complete the rock mechanics model by including new accessible data of great value, furthermore, to validate the model and further reduce the uncertainty of the model.

The results from modelling stage 2.3 confirm the validity of the interpreted model from previous modelling stages. A spatial statistic description of the compressive strength at a rock domain level is also provided through the performed stochastic simulations.

Sammanfattning

Svensk Kärnbränslehantering AB genomför platsundersökningar inom två olika områden, Forsmark och Laxemar/Simpevarp, med syftet att lokalisera ett slutförvar för använt kärnbränsle. De platsbeskrivande modellerna tas fram som ett integrerat arbete och involverar flera olika ämnesområden såsom geologi, bergmekanik, termiska egenskaper, hydrogeologi, hydrokemi och ytnära system. Denna rapport redovisar resultaten från det senaste bergmekaniska modelleringssteget 2.3 för Forsmarkområdet. Rapporten utgör ett komplement till huvudreferensrapporten från modelleringssteg 2.2 /Glamheden et al. 2007a/.

Syftet med arbetet har varit att slutföra den bergmekaniska modellen genom att inkludera tillgänglig data av stort värde, validera modellen samt reducera osäkerheten i modellen ytterligare en grad.

Resultaten från steg 2.3 bekräftar giltigheten i modellen från tidigare modelleringssteg. En spatial statistisk beskrivning av enaxiella tryckhållfastheten hos det intakta berget på domännivå redovisas också genom den stokastiska modelleringen.

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1 Introduction

1.1 Background

This report presents the results of the rock mechanics modelling work carried out during Forsmark modelling stage 2.3. The work includes activities identified during modelling stage 2.2 as well as new identified complementary activities. This work constitutes the last step in the development of the rock mechanics model.

The aim of the work has been to complete the rock mechanics model by including new accessible data of value. The purpose has also been to validate and further reduce the uncertainty in the rock mechanics model. The results will be integrated to the previous version of the rock mechanics model and summarized in the SDM-Site report for Forsmark.

1.2 Scope of work and structure of the report

The scope of the work includes the following main activities:

- Compilation of additional laboratory tests for fracture domain FFM06, compilation of tests on samples including sealed fracture network and analysis of additional microcrack volume measurements (Chapter 2).
- Stochastic simulations of the variability of the uniaxial compressive strength in a certain volume due to subordinate rock types according to the concept developed for the thermal modelling (Chapter 3).
- Empirical characterization of borehole sections through the Forsmark and Singö deformation zone in borehole KFM011A and KFM012A, respectively (Chapter 4).
- Evaluation of breakouts in borehole KFM08A, 08C, 09A and 09B (Section 5.1).
- Visualisation of the results of the in situ stress measurements stored in Sicada by using RVS (Rock Visualization System), see Section 5.3.
- Numerical modelling of variability of the stresses in order to assess the influence of the variation of the deformation modulus with depth (Section 5.4).

The report is completed with a number of appendices (A-C). Appendix A addresses the stochastic simulations. Appendix B includes results from the empirical modelling. Appendix C, finally, presents the date of delivery of primary data from Sicada used in the rock mechanics modelling.

2 Mechanical properties of intact rock

2.1 Overview of the primary data

In this Section, the mechanical properties from laboratory tests on intact rock are presented and discussed. The presentation concentrates on supplementary tests in the target volume (fracture domain FFM01 and FFM06) that were not included in Forsmark modelling stage 2.2 /Glamheden et al. 2007a/. The section also covers a brief comparison with previous results.

The dominant rock type in fracture domain FFM01 is granite to granodiorite metamorphic, medium-grained (101057), while fracture domain FFM06 comprises mainly albitized aplitic granite (101058). Other mapped rock types in the target volume are tonalite to granodiorite, pegmatite and amphibolite. Detailed information of the rock domain and the fracture domain model is presented in /Glamheden et al. 2007a/.

The laboratory tests on intact rock were performed at SP (the Swedish National Testing and Research Institute). The methodology, standards and performance followed for the testing are described in the following SKB Method Descriptions:

- Uniaxial Compression: SKB MD 190.001e, ver. 4.0 (2006-10-31).
- Triaxial Compression: SKB MD 190.003e, ver. 3.0 (2006-10-31).
- Indirect Tensile Tests: SKB MD 190.004e, ver. 3.0 (2006-10-31).

The number and type of laboratory tests on intact rock carried out in each fracture domain for modelling stage 2.3 are listed in Table 2-1. The performed test program on intact rock includes in total 24 uniaxial compressive tests, 4 triaxial compressive tests and 10 tensile tests. The additional triaxial tests were carried out for determination of the microcrack volume of the intact rock.

The tests were performed mainly on intact, unaltered and non-fractured rock. Five samples in rock domain RFM029 from borehole KFM06A were taken in a deformation zone (ZFMENE0060A) and contain sealed fracture networks. These samples were tested for determination of the elastic parameters. Three samples in fracture domain FFM01 and one sample in FFM02 were “affected by the vicinity of a deformation zone” according to the records in Sicada. Furthermore, all samples in FFM06 are affected by albitization.

Table 2-1. Number of tests for each testing method performed for Forsmark modelling stage 2.3.

Borehole	Rock domain	Fracture domain	Rock type	Uniaxial compressive tests	Triaxial compressive tests	Indirect tensile tests
KFM01A	RFM029	FFM01	101057	–	2 ⁵⁾	–
KFM02B	RFM029	FFM01	101057	–	2 ⁵⁾	–
KFM01C	RFM029	FFM01	101061 ¹⁾	3	–	–
KFM01C	RFM029	FFM02	101051	4	–	–
KFM01C	RFM029	FFM02	111058 ¹⁾	1	–	–
KFM06A	RFM029DZ	–	101057 ²⁾	6 ⁴⁾	–	–
KFM08D	RFM045	FFM06	101057 ³⁾	10	–	10

1) Samples affected by DZ /Jacobsson 2007e/.

2) Intact rock from ZFMENE0060A containing sealed fracture networks /Jacobsson 2007a/. The rock type classification has been adjusted for these samples in accordance to what is reported in Sicada.

3) The analysed samples are albitized /Jacobsson 2007bc/.

4) One sample failed due to its weak structure and was therefore excluded /Jacobsson 2007a/.

5) Microcrack volume measurements /Jacobsson 2007d/.

2.2 Strength properties of the intact rock

In this section, the strength properties of the intact rock for this modelling stage 2.3 are discussed. The results include uniaxial compressive strength (σ_c), crack initiation stress (σ_{ci}) and indirect tensile strength (σ_t).

2.2.1 Uniaxial compressive strength

Samples from fracture domains

The three samples from FFM01 of pegmatite, pegmatitic granite (101061) are all “affected by the vicinity of a deformation zone”. The uniaxial compressive strength of these samples range between 158 MPa and 187 MPa, see Table 2-2. The samples in fracture domain FFM02 include four samples of granite, granodiorite and tonalite (101051) and one sample of granite, fine- to medium-grained (111058) “affected by a deformation zone”. The uniaxial compressive strength of these samples ranges between 214 MPa and 246 MPa with a mean value of 229 MPa.

The additional test results from fracture domain FFM06 of the altered (albitized) variant of 101057 (granite to granodiorite, metamorphic, medium-grained), range between 338 MPa and 391 MPa with a corresponding mean value of 373 MPa calculated on 10 tests. The additional samples in fracture domain FFM06 confirm the results from modelling stage 2.2 indicating that the compressive strength of rock in FFM6 is considerably higher than in FFM01. The higher strength values of the rock types in domain FFM06 is attributed to the albitization of the rock, which increase the quartz content /Stephens et al. 2007/.

A comparison of the results obtained for pegmatite, pegmatitic granite in Forsmark modelling stage 2.2 /Glamheden et al. 2007a/ and the present modelling stage 2.3 display a reduced mean value of about 6%. A possible reason for the observed strength reduction is that the additional samples are affected by the vicinity of a deformation zone.

Samples from deformation zones

Six samples from borehole KFM06A in domain RFM029 were taken from deformation zone ZFMENE0060A. However, one sample could not be tested due to its weak structure, see /Jacobsson 2007a/. These samples comprise sealed fracture networks. The five samples are composed of the main rock type granite to granodiorite (101057) and their uniaxial compressive strength varies between 191 and 233 MPa, see Table 2-2 and Figure 2-1. The mean value of 220 MPa is only 3% lower than the mean value of the same rock type outside deformation zones in fracture domain FFM01.

Table 2-2. Uniaxial compressive strength of additional samples evaluated for Forsmark modelling stage 2.3.

Fracture domain	Rock Type	No. of samples	Minimum (MPa)	Mean (MPa)	Median (MPa)	Maximum (MPa)	Std. dev. (MPa)
FFM01	101061	3 ¹⁾	158	167	158	187	16.8
FFM02	101051	4	214	224	227	228	6.9
FFM02	111058	1 ¹⁾	246	246	246	246	–
FFM06	101057	10 ²⁾	338	373	382	391	19.8
DZ	101057	5 ³⁾	191	220	223	233	17.1

1) Samples affected by DZ.

2) Albitized samples.

3) Samples from ZFMENE0060A containing sealed fracture networks.

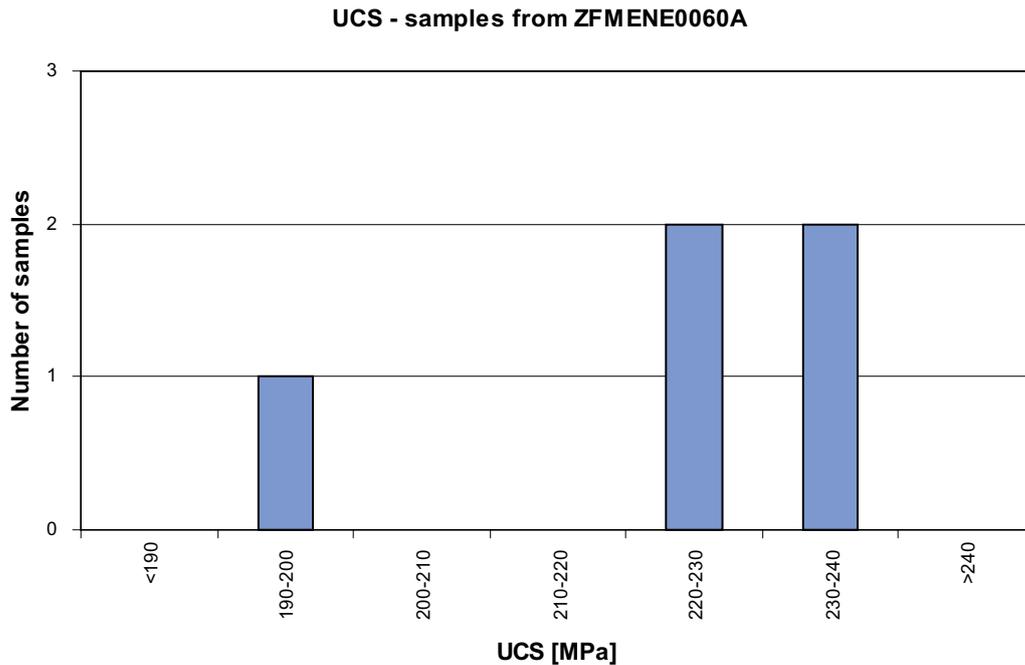


Figure 2-1. Uniaxial compressive strength of samples of metagranite (101057) containing sealed fracture networks from deformation zone ZFMENE0060A.

2.2.2 Crack initiation stress

The crack initiation stress has been evaluated from the uniaxial compressive tests according to the procedure presented by /Martin et al. 2001/. The value is a measure of the stress required to initiate tensile cracking in laboratory samples.

For pegmatite (101061) samples in fracture domain FFM01, the crack initiation occurs around 90 MPa, see Table 2-3. These samples are “affected by a deformation zone”. For granite, granodiorite and tonalite (101051) in fracture domain FFM02, the crack initiation occurs at around 122 MPa while for the only available sample of granite, fine-to medium-grained (111058) in FFM02, the crack initiation stress is 170 MPa. For albitized metagranite (101057) in fracture domain FFM06, the crack initiation occurs at around 196 MPa. The additional tests confirm the results from modelling stage 2.2, showing higher crack initiation stress of the albitized rock types from domain FFM06 than for correspondent rock types in FFM01.

The crack initiation stress of the samples containing sealed fracture networks has a mean value of 112 MPa. These results are similar to the results evaluated for the same rock type (101057) in FFM01. The mean value is only about 3% lower for the samples containing sealed fracture networks.

A comparison of the results obtained for pegmatite, pegmatitic granite in Forsmark modelling stage 2.2 /Glamheden et al. 2007a/ and the present modelling stage 2.3 show a slightly reduction of the evaluated mean value of the crack initiation stress. The reason for the difference is possibly that the additional samples are affected by the vicinity of a deformation zone.

Table 2-3. Crack initiation stress of additional samples evaluated for Forsmark modelling stage 2.3.

Fracture domain	Rock Type	No. of samples	Minimum (MPa)	Mean (MPa)	Median (MPa)	Maximum (MPa)	Std. dev. (MPa)
FFM01	101061	3 ¹⁾	85	90	85	100	8.7
FFM02	101051	4	120	122.5	122.5	125	2.9
FFM02	111058	1 ¹⁾	170	170	170	170	–
FFM06	101057	10 ²⁾	180	196	190	250	20.1
DZ	101057	5 ³⁾	85	112	120	130	17.5

1) Samples affected by DZ.

2) Albitized samples.

3) Samples from ZFMENE0060A containing sealed fracture networks.

2.2.3 Indirect tensile strength

In modelling stage 2.2 the tensile strength of fracture domain FFM06 was estimated based on results from adjacent domains /Glamheden et al. 2007a/. To improve the confidence of the tensile strength of the actual domain, indirect tensile tests performed by means of the Brazilian test method, were conducted on 10 samples of albitized metagranite (101057) from FFM06. The results are presented in Figure 2-2.

The evaluated mean value of the tensile strength is 14.8 MPa to be compared with 13.4 MPa for the same rock type in FFM01. The results indicate that albitization has no influence of the tensile strength, since the results are similar in FFM01 and FFM06.

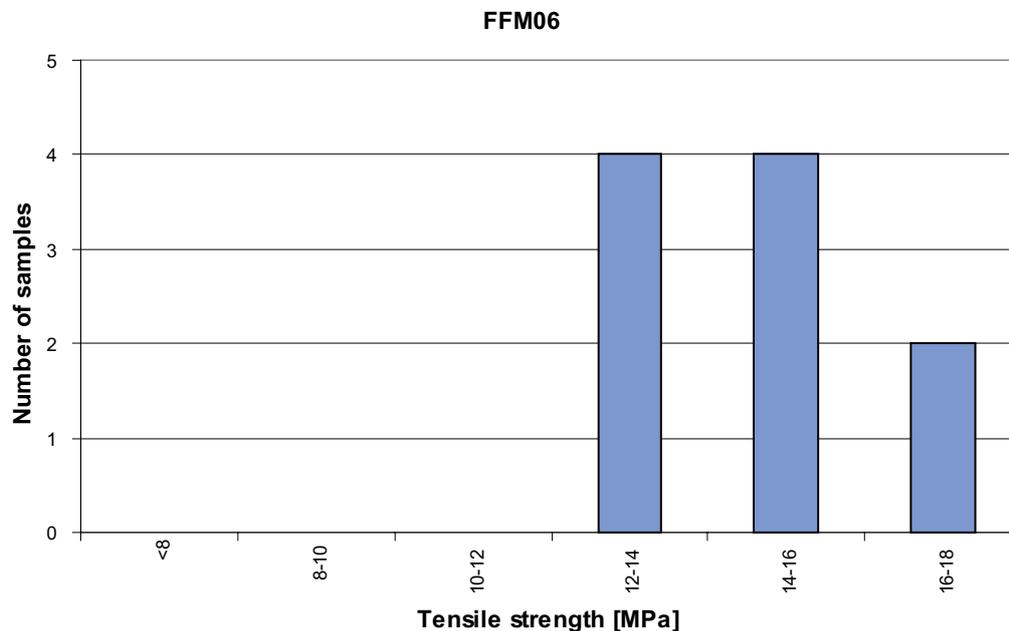


Figure 2-2. Tensile strength evaluated based on Brazilian tests for samples of albitized metagranite (101057) from fracture domain FFM06.

2.3 Deformational properties of the intact rock

2.3.1 Young's modulus

Young's modulus is in the present modelling stage 2.3 based on the tangent modulus evaluated at 50% of the uniaxial compressive strength. The results are presented in Table 2-4. The highest values with a mean value of 80 GPa are found for albitized metagranite (101057) from FFM06. The lowest values with a mean value of 71 GPa are for pegmatite, pegmatitic granite (101061) from FFM01, where the samples are "affected by a deformation zone". The additional samples in fracture domain FFM06 confirm the results from modelling stage 2.2, indicating that the rock types in FFM06 are slightly stiffer than those in FFM01.

The tests performed on samples composed of sealed fracture networks from deformation zone ZFMENE0060A have a mean value of 71 GPa, which is just slightly lower (7%) than the results for samples in FFM01, see Figure 2-3.

The results for pegmatite, pegmatitic granite obtained in Forsmark modelling stage 2.2 and in the present modelling stage 2.3 are similar.

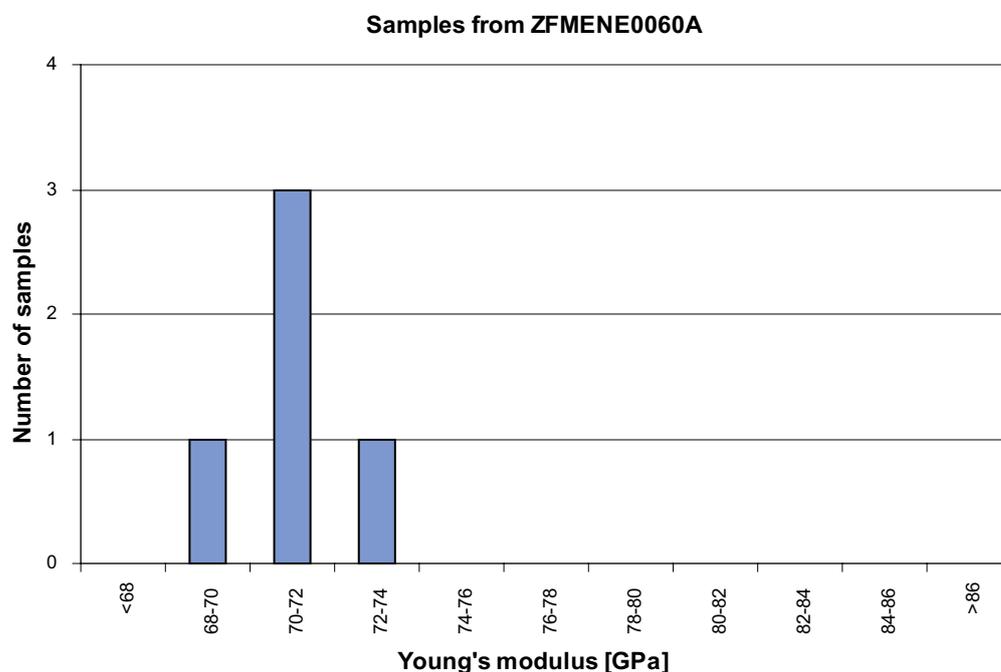


Figure 2-3. Young's modulus of samples of metagranite (101057) containing sealed fracture networks from deformation zone ZFMENE0060A.

Table 2-4. Young's modulus evaluated from uniaxial compressive tests for additional samples for Forsmark modelling stage 2.3.

Fracture domain	Rock Type	No. of samples	Minimum (MPa)	Mean (MPa)	Median (MPa)	Maximum (MPa)	Std. dev. (MPa)
FFM01	101061	3 ¹⁾	69	71	70	73	2
FFM02	101051	4	73	75	75	76	1
FFM02	111058	1 ¹⁾	77	77	77	77	–
FFM06	101057	10 ²⁾	78	80	80	82	1
DZ	101057	5 ³⁾	69	71	71	72	1

1) Samples affected by DZ.

2) Albitized samples.

3) Samples from ZFMENE0060A containing sealed fracture networks.

2.3.2 Poisson's ratio

Poisson's ratio was evaluated from the uniaxial compressive strength tests. The results are presented in Table 2-5. The results in FFM06 (101057) range between 0.26 and 0.31 with a mean value of 0.29. The additional samples in fracture domain FFM06 confirm the results from modelling stage 2.2. The results of the tested samples from the other fracture domains are similar to those from domain FFM06.

The tests performed on samples containing sealed fracture networks from deformation zone ZFMENE0060A, range between 0.24 and 0.27 with a mean value of 0.25, which is similar to the results for samples in FFM01, see Figure 2-4.

The results for pegmatite, pegmatitic granite obtained by Forsmark modelling stage 2.2 and the present modelling stage 2.3 are similar.

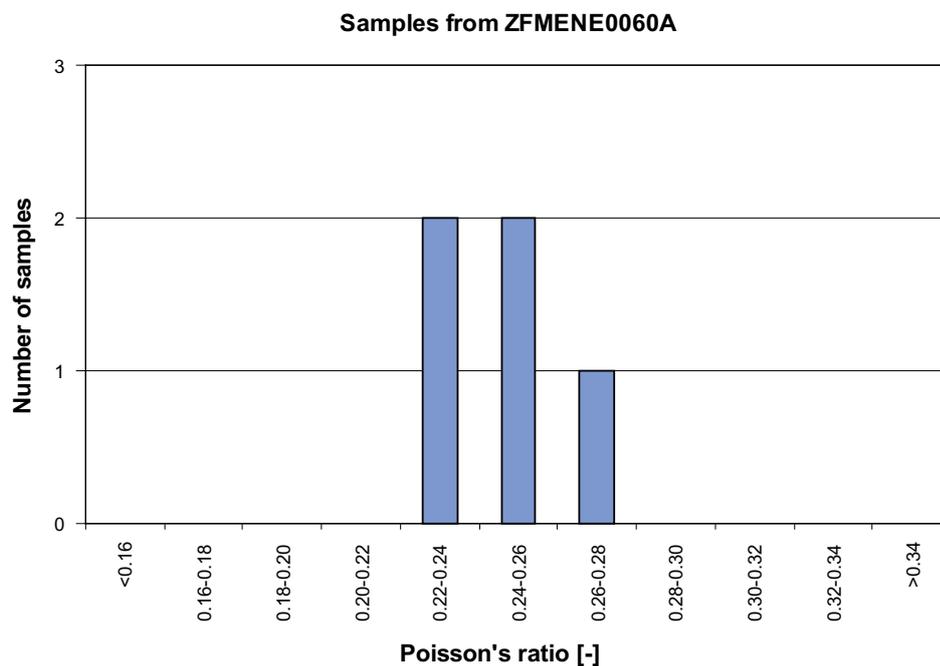


Figure 2-4. Poisson's ratio of samples of metagranite (101057) containing sealed fracture networks from deformation zone ZFMENE0060A.

Table 2-5. Poisson's ratio evaluated from uniaxial compressive tests for additional samples for Forsmark modelling stage 2.3.

Fracture domain	Rock Type	No. of samples	Minimum	Mean	Median	Maximum	Std. dev.
FFM01	101061	3 ¹⁾	0.27	0.30	0.30	0.32	0.03
FFM02	101051	4	0.28	0.29	0.29	0.29	0.00
FFM02	111058	1 ¹⁾	0.29	0.29	0.29	0.29	–
FFM06	101057	10 ²⁾	0.26	0.29	0.28	0.31	0.02
DZ	101057	5 ³⁾	0.24	0.25	0.25	0.27	0.01

1) Samples affected by DZ

2) Albitized samples

3) Samples from ZFMENE0060A containing sealed fracture networks.

2.4 Microcrack volume measurements

Coring of granite at depth may induce microcracking due to stress relaxation and drilling induced effects /Martin and Stimpson 1994/. Significant microcracking affects the mechanical properties of laboratory samples and may provide a systematic bias in the laboratory results of the deformation and strength properties. Microcracking may also influence the hydrogeochemistry and transport properties of the intact rock.

To estimate the microcrack volume of samples collected in Forsmark, the amount of non-linear volumetric strain recorded in hydrostatic triaxial compression tests was measured /Jacobsson 2007d/. An initial test series of 12 samples on metagranite (101057) from boreholes KFM01A and KFM02B (6 samples from each borehole) was carried out in Forsmark modelling stage 2.2 /Glamheden et al. 2007a/. Modelling stage 2.3 includes two additional samples from each borehole at larger depth. The results from the two series are presented in Figure 2-5 below.

The latest results confirm previous test results, showing a clear linear increase with depth of the microcrack volume for the samples from KFM01A. On the other hand, the samples from KFM02B display no clear variation with depth. Furthermore, the microcrack volume is larger for samples from KFM01A (0.04–0.12%) than from KFM02B (0.02–0.04%).

The uniaxial compressive strength, the indirect tensile strength and Young's modulus for the dominant rock type all display a slight decrease in the values with depth. The decrease may result from stress-induced microcracking during coring, which are consistent with the above results from the microcrack volume measurements and the P-wave measurements /Glamheden et al. 2007a/. A depth increase in microcrack volume (as the one observed for KFM01A) also implies that the uncertainty on the compressive and tensile strength evaluated at depth is higher. However, it is difficult to draw definitive conclusions from the microcrack volume measurements as the depth trend is only visible in one borehole. The results might be a consequence of different sampling and/or testing conditions.

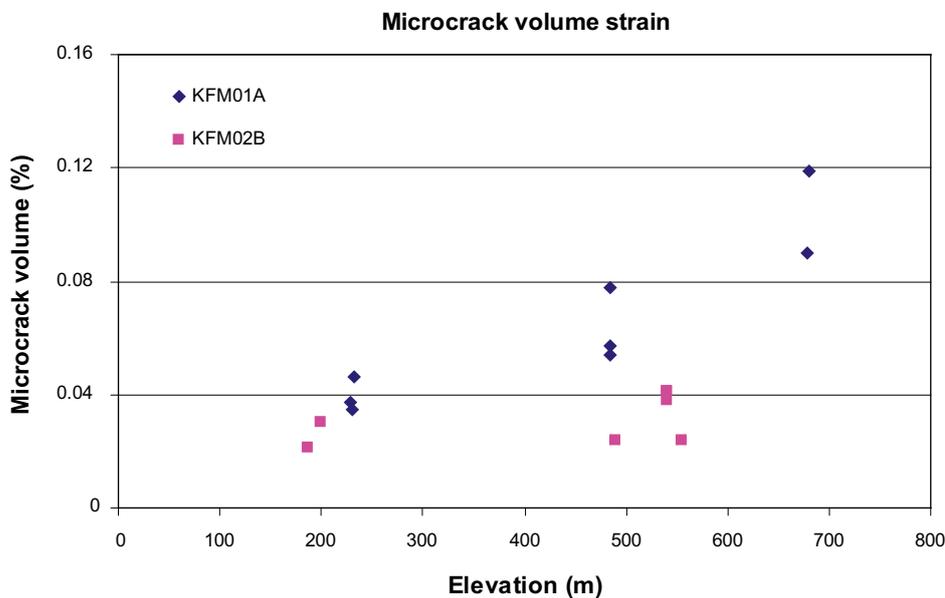


Figure 2-5. Measured microcrack volume versus elevation for samples of metagranite (101057) from KFM01A and KFM02B.

2.5 Summary and uncertainties

In Table 2-6, a summary of the mechanical properties of the additional samples tested in Forsmark modelling stage 2.3 is presented. An estimation of the uncertainties of the mechanical properties is also proposed based on the 95% confidence interval of the mean value. The uncertainties are given as percentage of the mean value of the properties itself.

The recent results for pegmatite, pegmatic granite (101061) show deformation properties similar to those evaluated in modelling stage 2.2, while the strength properties are slightly reduced. The latest samples all are affected by deformation zones, which may be the reason for the observed lower strength.

The mechanical properties for the rock types in FFM02 are similar to the properties evaluated for the main rock type in fracture domain FFM01 and FFM03.

The additional samples in fracture domain FFM06 confirm the results from modelling stage 2.2. The results for the albitized variants of metagranite (101057) and aplitic granite (101058) within FFM06 clearly demonstrate that the intact rock is stiffer and stronger than that in FFM01. However, the albitization does not seem to have any effect of the tensile strength of tested rock types.

The tests performed on samples composed of sealed fracture networks from deformation zones ZFMENE0060A show results close to what is observed of intact rock in fracture domain FFM01. The sealed fractures seem to have an insignificant influence of the mechanical properties of intact rock except for the crack initiation stress that is slightly lower for the sealed samples.

The latest results from the microcrack volume measurements confirm the previous test results. The samples from KFM01A show a clear linear increase of the microcrack volume strain with depth, while the samples from KFM02B display minor variation with depth.

Table 2-6. Summary of the deformability and strength properties of the additional samples tested for Forsmark modelling stage 2.3.

Parameter	FFM01	FFM02	FFM02	FFM06	DZ
	101061	101051	111058	101057	101057
	Mean/stdev	Mean/stdev	Mean/stdev	Mean/stdev	Mean/stdev
	Min – Max	Min – Max	Min – Max	Min – Max	Min – Max
	Uncertainty	Uncertainty	Uncertainty	Uncertainty	Uncertainty
Number of tests	3 ¹⁾	4	1 ¹⁾	10 ²⁾	5 ³⁾
Young's modulus (GPa)	71/2 69–73 ±3%	75/1 73–76 ±1%	77	80/1 78–82 ±1%	71/1 69–72 ±1%
Poisson's ratio	0.30/0.03 0.27–0.32 ±10%	0.29/0.005 0.28–0.29 ±2%	0.29	0.29/0.02 0.26–0.31 ±4%	0.25/0.01 0.24–0.27 ±4%
Uniaxial compressive strength (MPa)	167/16.8 158–187 ±11%	224/7 214–228 ±3%	246	373/20 338–391 ±3%	220/17 191–233 ±7%
Crack initiation stress (MPa)	90/8.7 85–100 ±11%	123/3 120–125 ±2%	170	196/20 180–250 ±6%	112/18 85–130 ±14%
Number of tests	–	–	–	10 ²⁾	–
Indirect tensile strength (MPa)	–	–	–	14.8/1.3 12.8–16.6 ±5%	–

Note: The uncertainty of the mean is quantified for a 95% confidence interval. Minimum and maximum truncation values are based on observed min' and max' for the tested population.

1) Samples affected by DZ.

2) Albitized samples.

3) Samples from ZFMENE0060A containing sealed fracture networks.

3 Stochastic simulation of compressive strength

3.1 Introduction

One issue of importance in the design process of a repository is to estimate the loss of deposition holes due to the risk of spalling, which is one factor which governs the space required. This estimation is partly based on the occurrence and strength of the intact rock of different types. Therefore, stochastic simulation is used to describe the expected spatial distribution of uniaxial compressive strength (UCS) in Forsmark.

The main objectives of the stochastic simulations of UCS are:

1. To provide a spatial statistical description of the UCS at a rock domain level for the Forsmark area. From these results the amount of low strength rock in the target volume may be estimated.
2. To acquire an increased understanding of the spatial correlation structure of UCS of intact rock.
3. To visualize the spatial distribution of UCS.
4. To provide the basis for further study of issues relating to the spatial variability of UCS in and around a repository, using the realisations produced by the simulation.

At Forsmark, two rock domains have been defined within the target volume: domains RFM029 and RFM045 /Stephens et al. 2007/. A compressive strength model is presented for each rock domain.

Although it is known that UCS is a function of scale – it decreases as the volume of rock considered increases /Hoek and Brown 1980/ – the effects of upscaling on the UCS properties of the rock have not been modelled. The simulated UCS values assigned to each 1 m cell relate to a volume corresponding to the sample size (0.1 m) located in the centre of the 1 m cell.

The paucity of data on which to base reliable models is a significant problem associated with the results of this study. However, the study serves to demonstrate the usefulness of stochastic simulations for such investigations, as well as more specifically illustrating the nature of the spatial variability of UCS in Forsmark.

3.2 Strategy for modelling uniaxial compressive strength

The modelling approach used here is similar to that used for the modelling of thermal properties at Forsmark /Back and Sundberg 2007/ and described in the strategy report /Back and Sundberg 2007/. The approach as applied to the modelling of UCS is summarised below.

The modelling involved stochastic simulation based on both the spatial statistical structure of lithologies and the spatial distribution of UCS.

Stochastic simulations of lithologies were performed for the Forsmark area as part of the thermal modelling, the results of which are reported in /Back et al. 2007, Sundberg et al. 2008/. Each realisation has a simulation volume of 50x50x50 m and each cell represents a 1 m cube. This simulation volume is assumed to be sufficiently large for the objectives of the simulations.

The maximum number of classes that can be handled in the lithological simulations is five /Back and Sundberg 2007/. This required grouping rock types into rock classes (called thermal rock classes (TRCs) in thermal modelling). Each TRC comprises one or more rock types having similar lithological properties. Given that each TRC is generally dominated by a single rock type this simplification has little significance for the overall UCS model at domain level.

The basis for the stochastic simulation of UCS is spatial statistical models of UCS for each TRC, describing both probability distributions and spatial correlation. The probability distribution models for different rock types are derived from UCS laboratory measurements. The variability in the UCS measurement data is related to rock type, spatial variation within a rock type, in addition to limitations associated with the testing method.

Spatial correlation models are defined by variograms based primarily on borehole loggings of density, but also UCS data where sufficient data are available. A relationship between density and thermal conductivity for igneous rocks has been observed both between rock types and within rock types at Laxemar /Sundberg et al. 2008/ and Forsmark /Back et al. 2007/, which is not surprising as both properties are closely associated with mineral composition. Thus, for the purposes of thermal modelling, it was reasonably assumed that density and thermal conductivity exhibit similar correlation structures /Back and Sundberg 2007/, which permitted the use of borehole density loggings for the construction of variogram models. It is assumed here that UCS also exhibits a similar correlation structure to density. Support for this assumption is provided by the existence of a correlation between wet density and UCS for some rock types (see Appendix A.1). Thus, density loggings can be employed to construct variogram models for the simulation of UCS. These variograms models are based on the main rock type in each TRC as it is assumed that the spatial correlation structure of the main rock type is representative for the TRC as a whole.

Stochastic simulations of UCS were performed for each rock class (TRC) at two resolutions, 0.1 m and 1 m. The 0.1 m resolution corresponds to the sample support, the size of the UCS samples. The simulated 0.1 m UCS values within each 1 m cube are averaged using the arithmetic mean to produce a new set of values, termed here as “1 m values”. The resulting distribution of 1 m values for each TRC is used as the model for simulation at 1 m resolution. However, no upscaling is implied. The objective of this averaging step is to reduce or eliminate the variability that occurs at distances less than 1 m. This variability is essentially a function of two components: 1) the uncertainties associated with the testing method, and 2) true spatial variability at distances less than 1 m.

For the 1 m simulation step, the simulation volume and resolution are the same as for the lithological simulations. Although the geostatistical simulations have a cell size of $1 \times 1 \times 1$ m, UCS is a parameter that relates to intact rock at standard laboratory scale (dm). In other words, each 1 m cell is assigned *one* UCS value, corresponding to a laboratory-size sample taken in the centre of the cell.

Lithological realisations and the UCS realisations are merged, i.e. each cell in the lithological realisation is filled with the appropriate UCS value from the corresponding position of the UCS realisations. Merging produces a set of realisations of compressive strength for each rock domain that considers both differences between TRCs and variability within TRCs; see Figure 3-1.

Based on these realisations a statistical description of UCS at the domain level is produced. The realisations are also used to provide visualisations of the spatial distribution of UCS. These simulated realisations are used to represent the rock domain statistically, and do not apply to a specific location in the rock mass; in other words the approach is based on unconditional stochastic simulation.

The modelling approach requires a number of assumptions in various steps of the modelling process /Back et al. 2007/. The most important ones are believed to be the following:

- The geological realisations are assumed to be representative for the modelled rock domains.
- It is assumed that the spatial statistical UCS model for a TRC (statistical distribution and variogram) applies to the individual rock types that belong to that TRC.
- UCS is assumed to exhibit spatial correlation structures that mimic that of density.

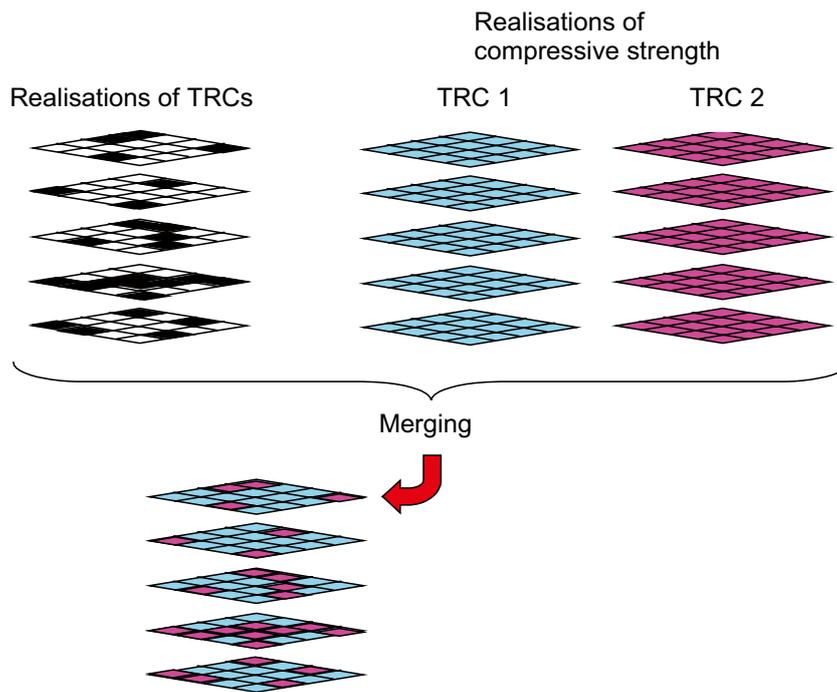


Figure 3-1. Schematic description of the merging of lithological realisations and compressive strength realisations for two TRCs. A maximum of five TRCs can be modelled. The spatial variability of compressive strength within each TRC is not illustrated in the figure.

3.3 Geostatistical analyses and stochastic simulations

3.3.1 Stochastic simulation of lithologies

The classification of rock types into rock classes (TRCs) is shown in Table 3-1. The stochastic simulations of lithologies for rock domains RFM029 and RFM045 were performed as part of the thermal modelling, stage 2.2 /Back et al. 2007/ and stage 2.3 /Sundberg et al. 2008/ and are described in detail in the thermal modelling reports. A brief description of the lithological simulations is given in Appendix A.2. Both rock domains were subdivided into more homogenous subdomains in order to capture more of the lithological and structural heterogeneity present. The output from the stochastic simulations of lithologies is used in the modelling of UCS. One hundred realisations of geology for each rock domain were selected for the purpose of modelling UCS. Each realisation has dimensions 50 x 50 x 50 m and a resolution of 1 m. Visualisations of example geology realisations for domain RFM029 and domain RFM045 are presented in Appendix A.8.

3.3.2 Spatial statistical models of compressive strength

Spatial statistical models of UCS (a statistical distribution model and a model describing spatial correlation or dependence) are required for each TRC in order to perform simulations at 0.1 m resolution, which approximates the size of the rock samples used for laboratory measurement. These models, and the realisations at 0.1 m resolution, are used to define models of UCS for simulations at 1 m resolution. The focus below is on the models for 0.1 m resolution.

Statistical distribution models – 0.1 m resolution

Test results UCS for individual rock types are summarised in Appendix A.1. UCS data is plentiful for one rock type only, i.e. the dominant granite to granodiorite (101057). Cluster analysis has been performed on data for rock types for which spatially clustered data may produce a bias in the statistics. A comparison of statistics based on declustered data with those drawn from the original data sets show no significant differences. For this reason, uncensored data sets were used.

A statistical distribution model is fitted to the histogram of UCS for each TRC. This is performed by smoothing the histogram with the smoothing algorithm in the geostatistical software GSLIB /Deutsch and Journel 1998/. Figure 3-2 illustrates the method for TRC 57. This algorithm uses a simulated annealing procedure that honours the sample statistics. Smoothing is required because of small data sets. An alternative would have been to use truncated normal distributions, but such models would not have been more certain than the smoothed histograms. The distribution models for most TRCs are rather uncertain because of the low number of data values.

TRC 51 was divided into three subtypes, A, B and C, because of the wide range of rock compositions and UCS values (Appendix A.4). Summary statistics for the distributions used in the simulations are given in Table 3-1. The UCS values for TRC 17 and TRC 51C are particularly low.

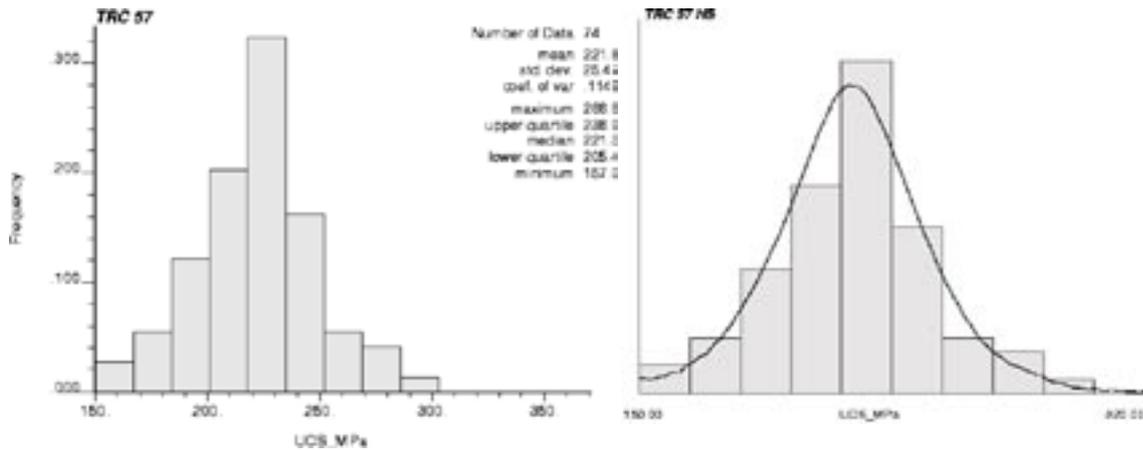


Figure 3-2. Left: Histogram and statistics of uniaxial compressive strength (UCS) for TRC 57 based on laboratory measurements of drill core samples. Right: Statistical distribution model of UCS for TRC 57 based on smoothing of the data histogram.

Table 3-1. Division of rock types into rock classes (TRCs). Dominant rock type in bold. Summary statistics of UCS probability distributions at 0.1 m scale.

TRC	Rock name/code	Present in rock domain	Mean (MPa)	St. dev. (MPa)
57	Granite to granodiorite, 101057 Granite, aplitic, 101058	RFM029	222	25
58	Granite, aplitic, 101058 Granite to granodiorite, 101057	RFM045	352	45
51	Granodiorite to tonalite, 101051 Felsic to intermediate volcanic rock, 103076	RFM029, RFM045	(A) 245 (B) 215 (C) 148	13 14 17
61	Pegmatite, pegmatitic granite, 101061 Granite, 111058	RFM029, RFM045	215	37
17	Amphibolite, 102017 Diorite, quartz diorite and gabbro, 101033	RFM029, RFM045	145	29

Spatial correlation models – 0.1 m resolution

Variograms are used to model the spatial correlation structure of UCS within a rock type or TRC. In thermal modelling of the rock domains at Forsmark, it was assumed that any spatial dependence in density also reflects spatial dependence in thermal conductivity /Back et al. 2007/. This is also assumed to be valid for modelling of UCS since density, closely related to mineral composition, displays a correlation with UCS for some rock types (see Appendix A.1). The variogram models for each TRC are thus primarily based on variograms produced from borehole density logging data for the dominant rock type in each TRC, but for some TRCs the nugget of the variograms have been modified slightly after an analysis of the UCS data. Separate variogram models are defined for each of the three subtypes of TRC 51. It is acknowledged that there may be other important factors, such as grain size, controlling the spatial dependence of UCS and which it has not been possible to model. However, it can be stated with some certainty that spatial correlation does exist. Given the paucity of UCS data, the variograms based on density logs represent a reasonable attempt to model this spatial dependence.

Variogram models at sample size resolution, i.e. 0.1 m, for each TRC are presented in Table 3-2.

3.3.3 Stochastic simulation of UCS in each TRC

Stochastic simulation of UCS was performed for each TRC. The software used to perform the simulations is GSLIB. Each TRC is simulated at two resolutions (0.1 m and 1 m). For the 0.1 m resolution, the statistical distribution and variogram models are defined in Section 3.3.2.

The first simulation step was performed with the goal of obtaining a distribution of typical or mean UCS values for 1 m cubes, with no upscaling implied. The simulated 0.1 m values within each 1 m cube are averaged using the arithmetic mean to produce a new set of values, i.e. “1 m values”. The “1 m values” still represent the UCS at measurement scale (0.1 m), but with the variability occurring at distances less than 1 m removed. The resulting histogram of “1 m values” for each TRC is used as the distribution model for simulations at 1 m resolution (see Appendix A.6). The variogram models for the 1 m resolution (shown in Appendix A.5) are modified from the models used for the 0.1 m resolution according to the principles explained in /Back et al. 2007/.

Table 3-2. Variogram model parameters used for modelling spatial correlation of UCS for TRCs. Nuggets and sills are standardised to the variance of the empirical data.

TRC	Rock type used as basis for variogram	Nugget	Basis for nugget	Range	Model	Basis for range and model type (boreholes)
57	101057	0.35	Based on UCS lab data;	25 m	Exponential	KFM05A, KFM07A
58	101058	0.40	Based on density logs, consideration of nugget for 101057, and investigation of UCS data values	3 m 50 m (nested model – 2 structures)	Exponential Gaussian	KFM06A, KFM08A
51A	101051	0.50	Based on density logs	5 m	Spherical	KFM01A, KFM04A
51B	101051	0.50	Based on density logs	5 m	Spherical	KFM06A
51C	101051	0.50	Based on density logs	7 m	Spherical	KFM03A
61	101061	0.50	Based on density logs, consideration of nugget for 101057 and investigation of data values.	15 m	Spherical	KFM01A, KFM08A
17	102017	0.40	Based on density logs	25 m	Spherical	Several boreholes

Translation of rock codes to rock names: 101057 = Granite to granodiorite, 101058 = Granite, 101051 Granodiorite to tonalite, 101061 = Pegmatite, 102017 = Amphibolite

As regards the 1 m simulations, UCS is still being modelled at sample size (0.1 m); in other words, each 1 m cube is assigned a value corresponding to the UCS of a laboratory size sample taken in the centre of the cell.

For the 1 m simulations, the simulation volume and resolution are the same as for the lithological simulations, i.e. a simulation volume of 50×50×50 m divided into cells (cubes) of size 1×1×1 m. This gives a total number of 125 000 cells in each realisation. One hundred such realisations were produced for each TRC. For each TRC the following plots and diagrams have been produced to illustrate the results and validate the method:

1. Histogram of simulated UCS values at 1 m resolution from 100 realisations. (Appendix A.6). The results for TRC 57 are exemplified in Figure 3-3.
2. Variogram reproduction plots for 1 m resolution simulations based on individual realisations (Appendix A.5).
3. Visual representation of simulation results at 1 m resolution. 2D slice through a 3D realisation (Appendix A.7).

For each TRC, statistics of UCS based on results of the 1 metre simulations are summarised in Table 3-3. Statistics of the measured data are shown for comparison. Table 3-3 also shows the difference between the variance of the 0.1 m values and the variance of the “1 m values”. This variance reduction is a result of the averaging step referred to above.

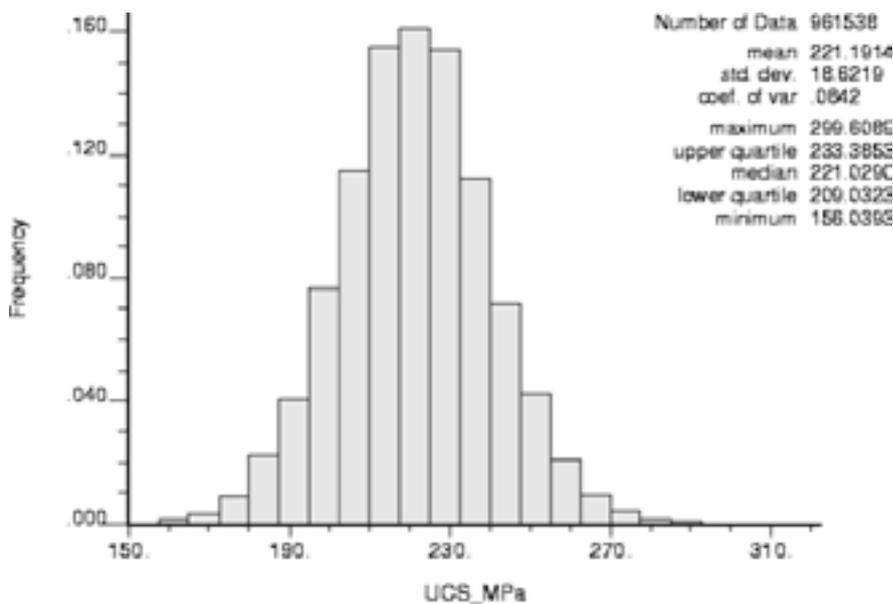


Figure 3-3. Histogram of UCS for TRC 57 based on stochastic simulation – 100 realisations.

Table 3-3. Uniaxial compressive strength statistics for all simulated TRCs.

TRC	1m simulations ¹⁾		Variance reduction ²⁾ %
	Mean (MPa)	St. dev. (MPa)	
17	144	20	42%
51A	245	8	61%
51B	215	8	60%
51C	147	10	58%
57	221	19	40%
58	353	29	56%
61	215	23	54%

1) Simulated values – 1 m resolution.

2) Proportion by which the variance of 0.1 m values is reduced on averaging the 0.1 m values to 1 m values.

Analysis of results

- Simulations have succeeded in reproducing rather well the statistical distribution models used as input in the simulations. In particular, there is very close correspondence between the means of the realisations and the underlying models. However, the variances of the combined realisations at 1 m resolution are slightly lower than the variances of the model; see Appendix A.4.
- Simulations have succeeded in reproducing rather well the spatial dependence models used as input in the simulations. The results of variogram reproduction are presented in Appendix A.5. Plots compare the variograms calculated from the realisations with the model variograms on which simulations at 1 m resolution were based.
- The means of simulated 0.1 m resolution values within 1 m cubes produce a distribution with a marked reduction in variance, from 40 to 60%, compared to the distribution for the 0.1 m values. This reduction in variance corresponds to the variability that occurs at distances less than 1 m, which is a combination of variability due to uncertainties in the testing method but also some of the true spatial variability at sample scale, i.e. at distances less than 1 m. The remaining variability is primarily a function of the spatial variability in UCS within a rock type at distances larger than 1 m.

3.4 Rock domain model of uniaxial compressive strength

3.4.1 Rock domain modelling results

The results of compressive strength simulations at rock domain level for domains RFM029 and RFM045 are presented in this section.

The realisations of stochastic simulations of lithologies and the realisations from UCS simulations, both with a resolution of 1 m, are merged with each other as described in Section 3.2. Results of stochastic simulations of lithologies are presented in /Back et al. 2007/ for domain RFM029 and /Sundberg et al. 2008/ for domain RFM045.

Examples of 2D-visualisations of UCS at domain level and the corresponding geological realisations are presented in Figure 3-4 (more examples in Appendix A.8).

Histograms of UCS for domain RFM029 and domain RFM045 each based on 100 realisations are shown in Figure 3-5 and Figure 3-6. Summary statistics of the realisations are presented in Table 3-4.

Table 3-4. Summary statistics of results of simulation of uniaxial compressive strength (1 m resolution) for domains RFM029 and RFM045.

Statistical parameter	RFM029 (MPa)	RFM045 (MPa)
Mean	217	310
Standard deviation	26	76
2.5-percentile	143	136
5-percentile	168	150

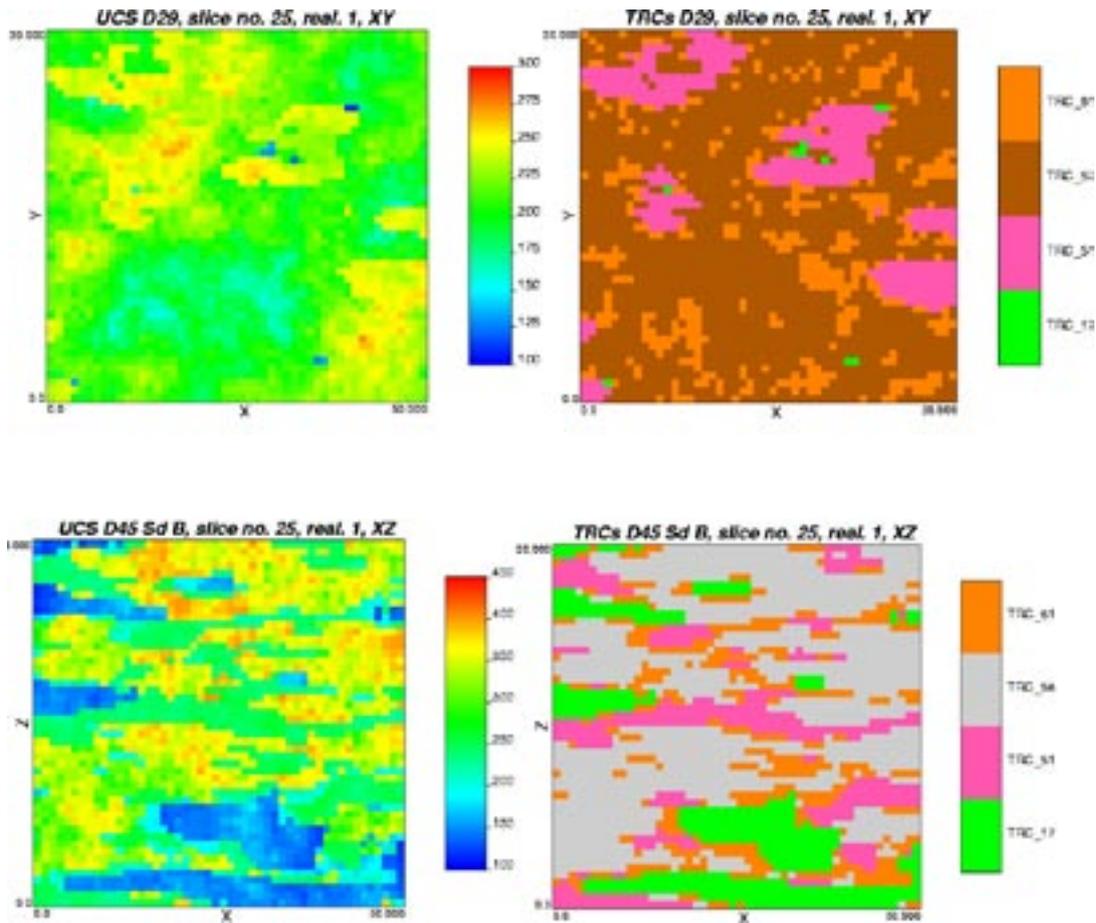


Figure 3-4. Example 2D visualisations from 3D realisations (resolution = 1 m, distance in metres) illustrating the distribution of compressive strength in MPa (left) and corresponding realisation of lithology or TRCs (right) in domain RFM029 and RFM045.

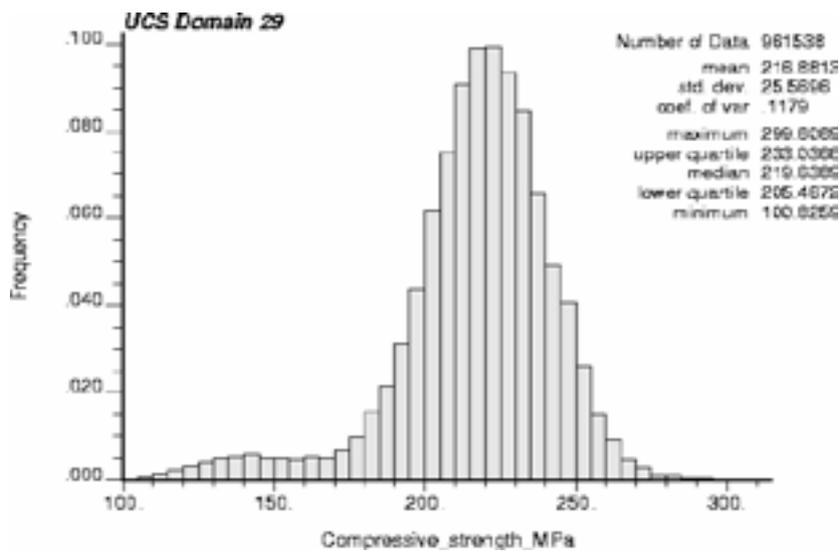


Figure 3-5. Histogram of compressive strength of rock domain RFM029 simulated at 1 m resolution.

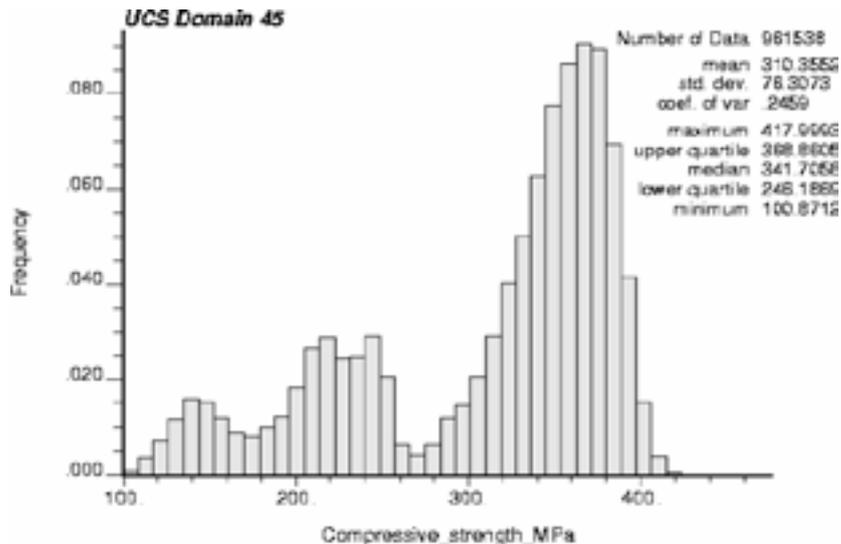


Figure 3-6. Histogram of compressive strength of rock domain RFM045 simulated at 1 m resolution.

3.4.2 Evaluation of rock domain modelling results

The lower tail of the UCS distribution is of importance for the design of a repository. Therefore, the 2.5 percentile and 5 percentile of the UCS distribution were estimated for each domain; see Table 3-4. The results indicate that rock domain RFM045 has a more pronounced lower tail than domain RFM029. The estimated probability for values lower than 150 MPa is estimated to be 3% in rock domain RFM029 and to be 5% in domain RFM045. In both domains, the lower tail is a result of low strength rocks, mainly amphibolite (TRC 17) and tonalitic varieties of granodiorite to tonalite (TRC 51C).

There are reasons to believe that the lower tail of the distribution for domain RFM029 is too large due to discretisation error. The discretisation of the simulation volume into 1 m cells results in a significant error when a rock occurs as bodies smaller than 1 m (such occurrences in boreholes are either assigned a full cubic meter or omitted altogether). Amphibolite (TRC 17) commonly occurs as small dyke-like bodies less than 1 m thick /Stephens et al. 2007/, and is also the rock type with the lowest observed UCS values. Since amphibolite in domain RFM045 is present as larger rock bodies than in domain RFM029, the discretisation error is expected to be lower for domain RFM045 than for domain RFM029 /Sundberg et al. 2008/.

The domain histograms of UCS provide a measure of the total spatial variability minus the variability at distances less than 1 m for the sample scale present within a rock domain. This spatial variability can be appreciated to some extent from an inspection of the visualisations.

3.4.3 Uncertainties

The following uncertainties have been identified.

1. For all TRCs with the exception of TRC 57, the statistical distribution models of UCS are poorly constrained, because of an insufficient number of data values. This is particularly so for the tails of the distribution models. Thus the lower percentile estimates of the UCS distributions at domain level are rather uncertain.
2. Models of spatial correlation of UCS, expressed in the form of density variograms, are based on the assumption that UCS exhibits a spatial correlation structure similar to that of density. Other characteristics (e.g. grain type, grain size and structural fabric) that may influence the UCS of rock have not been considered. These may be particularly important for pegmatite (TRC 61), which displays a wide range in UCS values with no obvious correlation with density. Variation in the proportions of quartz, K-feldspar and plagioclase, the dominant minerals in pegmatites, has only a small effect on density. Moreover, pegmatite typically displays a wide range in grain size.

3. Uncertainties associated with the grouping of rock types into rock classes (TRC) are considered to be small given that each TRC is dominated by a single rock type.
4. The simulation resolution chosen for lithological simulations, i.e. 1 m, may produce too conservative estimates of the lower percentiles for rock domain RFM029 due to discretisation errors. The magnitude of this uncertainty is not quantified.
5. The simulation technique is a source of uncertainty. In particular, there is reason to believe that the variance in the output from the 1 m simulations for each TRC has been underestimated by up to 10%. However, since the between-rock variability is larger than the within-rock variability, the underestimation of variance at rock domain level is believed to be small. To reduce the uncertainty, it would be necessary to increase the simulation volume and make adjustments to the simulation parameters.

3.5 Summary

The spatial variability of uniaxial compressive strength within and between different rock types has been modelled for two rock domains within the target volume at Forsmark. The output from modelling is sets of realisations of compressive strength generated by stochastic simulation. These realisations can be used for future modelling efforts.

The results of the stochastic simulations confirm the validity of the previous SDM site descriptive models, and support and strengthen the previous understanding of the spatial variability of UCS within rock type and rock domains. Despite the recognised uncertainties, the stochastic simulations have shown that spatial variability within individual rock types makes up a significant portion of the total variability observed in the measurement data.

According to the results, rock domain RFM029 has a lower mean compressive strength than domain RFM045. However, the latter rock domain displays greater variability and has a larger proportion of rock with low compressive strength (< 150 MPa).

Stochastic simulation is a valuable tool for modelling properties in a rock mass, and has been successfully used in modelling of thermal properties at both Forsmark /Back et al. 2007/ and Laxemar /Sundberg et al. 2008/. One of the problems encountered in the simulations of UCS presented here is that the spatial statistical models for each rock class (TRC) are based on small amounts of data and uncertain assumptions regarding the nature of spatial correlation. Confidence in the results of the stochastic simulations would increase if more reliable models could be established.

4 Evaluation of the rock mass mechanical properties using empirical methods

The empirical characterization of the rock mass was performed based on the data from borehole KFM11A and KFM12A for borehole sections of 5 meters according to the Q and RMR classification systems and the methodology developed by /Andersson et al. 2002, Röshoff et al. 2002/. The boreholes were drilled through the Singö Deformation Zone (ZFMWNW0001) for a length of about 580 m (KFM11A) and through the Forsmark Deformation zone (ZFMWNW0024) for a length of about 210 m (KFM12A), respectively.

The values of Q and RMR were obtained based on geological information on the open and partly open fractures logged along the boreholes for the purpose of characterization of the rock mass. The effect of water pressure and in situ stresses has to be added to obtain the rock mass quality applicable to design of underground excavations.

The results of the Q- and RMR-rock mass characterizations in the deformation zones, as well as estimated rock mechanics properties based on empirical relations between RMR/GSI, are summarized in Section 4.1 and 4.2. Variations of Q and RMR and estimated rock mechanics properties along the boreholes are provided in Appendix B.

In relation to the deformation zone as identified by the single-hole interpretation, short additional sections of borehole KFM11A and KFM12A were characterized in the surrounding rock mass for the purpose of comparison. The empirical characterizations accordingly include borehole length 220–845 m in KFM11A and borehole length 145–415 m in KFM12A.

4.1 Singö deformation zone

The results of the rock mass characterization are shown in Table 4-1 for the deformation zone and the surrounding rock units.

The empirical characterization shows a large variation of the rock mass quality within the Singö deformation zone. The mean value of Q varies between 4.7 and 203 along the characterized borehole sections, which ascribe the rock to classes between “fair and excellent good” qualities. Corresponding results for RMR assign the rock between the class of “very good rock” and “good rock”, however only two points from the threshold towards “fair rock” (which is 60).

On average, the rock in the Singö Deformation Zone along borehole KFM11A has a Q value of 34.5 (mode value of 27.4) and a RMR of 73, which happen to be in “good rock” classes according to both classification systems. Explicitly considering the effect of water pressure and in situ stresses might lead to more conservative determinations.

It can be observed that the rock mass quality according to Q and RMR in the short analysed sections of borehole outside the deformation zone assigns the rock mass to the class of “good” or “very good” rock, thus showing a clear improvement of the material properties outside the deformation zone.

Table 4-1. Estimated mechanical properties of the rock mass of Singö deformation zone (DZ1) and surrounding rock based on the empirical rock mass characterization of borehole KFM11A.

Division of the deformation zone ¹⁾	Q ²⁾ [-]	RMR [-]	Fracture frequency ³⁾ [Fract./m]	Deformation modulus [GPa]	Poisson's ratio [-]	UCS _m (H-B) [MPa]	Friction angle ⁴⁾ [deg]	Cohesion ⁴⁾ [MPa]
	Mean[Mode] Min-max ⁵⁾	Mean/std. dev. Min-max ⁵⁾	Mean/std. dev. Min-max	Mean/std. dev. Min-max	Mean/std. dev. Min-max	Mean/std. dev. Min-max	Mean/std. dev. Min-max	Mean/std. dev. Min-max
KFM11A – RU2 220 – 245	47.5[18.8] 12.9–103.2	77.9/3.8 73.4–82.0	1.1/0.5 0.6–1.8	50.8/11.0 38.4–63.0	0.24/0.05 0.18–0.29	28.2/5.9 21.6–34.8	43.0/1.1 41.8–44.2	17.3/1.0 16.2–18.4
KFM11A – DZ1 RU3a 245 – 355	47.4[39.8] 15.5–203.4	74.0/3.6 66.2–83.7	3.2/1.5 1.0–7.0	40.7/9.1 25.5–69.7	0.18/0.05 0.12–0.32	25.1/7.0 14.7–43.4	42.6/1.7 39.7–47.2	16.9/1.3 14.8–20.5
KFM11A – DZ1 RU4 355 – 375	31.2[32.7] 12.6–46.5	72.5/2.5 69.8–75.0	4.4/1.6 3.2–6.8	36.8/5.3 31.2–42.2	0.15/0.02 0.13–0.18	26.2/4.9 21.8–32.1	43.7/1.1 42.5–45.1	17.5/0.9 16.6–18.6
KFM11A – DZ1 RU3b 375 – 410	34.3[29.6] 20.2–63.3	71.8/3.9 67.1–77.0	4.5/2.4 1.0–7.8	35.9/7.9 26.7–47.2	0.15/0.03 0.12–0.19	25.7/9.8 15.2–44.8	41.8/1.5 39.9–44.2	16.6/1.4 14.9–19.3
KFM11A – DZ1 RU5 410 – 585	29.9[22.1] 4.9–113.3	70.7/3.7 63.0–78.5	5.3/2.1 1.6–11.4	33.7/7.3 21.2–51.5	0.15/0.03 0.10–0.23	21.9/7.0 12.2–47.7	41.6/1.6 38.7–45.6	16.2/1.2 14.2–19.3
KFM11A – DZ1 RU6a 585 – 660	17.1[16.7] 4.7–32.2	72.7/3.3 65.2–79.9	5.4/2.2 2.2–9.6	37.6/7.3 24.1–55.8	0.13/0.03 0.08–0.19	48.8/13.0 24.6–76.7	48.1/1.9 43.0–50.7	21.5/2.0 17.0–25.2
KFM11A – DZ1 RU7a 660 – 700	46.8[36.6] 19.5–133.5	72.5/3.1 67.6–78.2	3.6/1.3 1.8–5.8	37.0/6.9 27.6–50.6	0.16/0.02 0.13–0.19	23.3/7.1 15.7–38.5	41.1/0.8 40.1–42.5	16.1/0.7 15.0–17.2
KFM11A – DZ1 RU8 700 – 725	40.2[35.6] 26.7–66.3	78.0/4.6 72.7–85.4	3.2/1.1 2.0/4.0	51.7/15.0 36.9–76.9	0.16/0.04 0.13–0.23	51.5/16.6 31.5–77.6	47.6/1.7 45.1–49.8	21.5/2.3 18.6–24.9
KFM11A – DZ1 RU7b 725 – 785	42.0[37.1] 26.4–85.5	73.2/5.2 67.6–86.6	4.3/1.7 2.0–6.8	39.6/13.8 27.5–78.5	0.16/0.05 0.12–0.30	31.2/12.7 15.6–63.4	43.8/2.1 40.0–48.1	18.0/2.0 15.0–22.8
KFM11A – DZ1 RU6b 785 – 825	26.6[27.0] 14.8–40.6	74.2/2.5 70.2–78.5	4.9/2.1 2.8–8.4	40.6/6.0 32.0–51.7	0.14/0.03 0.11–0.20	49.1/8.0 36.1–63.3	47.2/2.4 43.3–49.8	21.1/1.7 18.3–23.6
KFM11A – RU7c 825 – 845	144[131.5] 88.0–225.0	77.5/2.8 74.3–81.1	1.6/1.0 0.8–3.0	49.2/8.0 40.6–59.8	0.16/0.02 0.13–0.19	46.9/7.7 38.8–57.3	46.4/0.9 45.5–47.6	20.5/1.1 19.3–22.0

¹⁾ Length along the borehole (m).

²⁾ For Q, the mode value is reported in brackets beside the mean.

³⁾ Containing open and partly open fractures.

⁴⁾ For confinement stress between 10 and 30 MPa.

⁵⁾ Min – Max is based on mean values for borehole sections of 5 m.

The fracture frequency varies from 1 to 11 fractures per metres in the zone. Extensive crushed rock according to the BOREMAP definition was encountered in 24 borehole sections of the analysed part of the borehole (220–845 m). The largest crushed rock section extends for a length of 2.1 m and several sections occur within short distance. The reduced rock mass quality due to such conditions is considered in the calculation of Q and RMR.

Table 4-1 also shows the deformability and strength properties of the rock units inside and in the vicinity of the deformation zone. The deformation modulus, Poisson's ratio, uniaxial compressive strength, friction angle and cohesion of the rock mass are obtained by means of the relation between these parameters and RMR/GSI. The values of the Poisson's ratio are only indicative since they are assumed to be proportional to the Poisson's ratio of the intact rock and to the ratio between the deformation modulus of the rock mass and that of the intact rock.

4.2 Forsmark deformation zone

Table 4-2 contains the results of the characterization by means of Q and RMR of the Forsmark deformation zone (DZ2) based on data obtained from borehole KFM12A. The fracture frequency and the derived deformability and strength properties are also summarized in this table.

For the Forsmark zone, the mean Q- and RMR-values are not necessarily much lower than for the surrounding rock. For example, unit RU3a above the zone exhibits a RMR-value of 69 that is lower than the mean for the whole zone (73), while RU9a below the zone presents a Q-mode value of 28 that is also lower than the mode value for the whole zone (34.4). The Forsmark zone, however, shows minimum values of the rock quality well below the surrounding rock mass, with a mean value of Q 9.7 in one section ("fair rock") and corresponding values for RMR of 65 in another section ("good rock" class). Locally, sections of "good" (upper range for RMR) and "very good" rock (for Q) occur as indicated by the maximum values. Table 4-2 also shows the deformability and strength properties of the rock mass, with some reservations about the reported values of the Poisson's ratio (see Section 4.1).

The fracture frequency varies from 2 to 12 fractures per meter in the zone. No crushed rock sections of significant extension (longer than 1 m) were encountered in the analysed part of the borehole (145–415 m). The maximum observed extension of crushed rock was 36 cm.

Table 4-2. Estimated mechanical properties of the rock mass of Forsmark deformation zone (DZ2) and surrounding rock based on the empirical rock mass characterization of borehole KFM12A.

Division of the deformation zone ¹⁾	Q ² [-]	RMR [-]	Fracture frequency ³⁾ [Fract./m]	Deformation modulus [GPa]	Poisson's ratio [-]	UCS _m (H-B) [MPa]	Friction angle ⁴⁾ [deg]	Cohesion ⁴⁾ [MPa]
	Mean[Mode] Min-max ⁵⁾	Mean/std. dev. Min-max ⁵⁾	Mean/std. dev. Min-max	Mean/std. dev. Min-max	Mean/std. dev. Min-max	Mean/std. dev. Min-max	Mean/std. dev. Min-max	Mean/std. dev. Min-max
KFM12A – RU2 145–170	38.1[36.9] 31.5–50.3	76.7/4.6 72.1–82.6	4.3/2.3 1.6–6.8	47.8/12.7 35.7–65.4	0.15/0.03 0.11–0.20	49.3/11.7 37.2–65.4	47.5/1.2 46.2–48.8	21.2/1.6 19.6–23.3
KFM12A – RU3a 170–190	33.4[33.5] 19.9–46.6	69.2/0.6 68.3–69.5	9.1/2.0 6.8–11.0	30.2/1.0 28.7–30.8	0.11/0.02 0.09–0.13	26.1/6.8 19.5–32.0	44.0/2.0 42.1–45.7	17.6/1.5 16.2–18.9
KFM12A – DZ2 RU3b 190–265	34.3[35.4] 11.5–45.4	71.4/3.2 65.1–76.5	7.5/2.4 4.0–12.2	34.8/6.1 23.9–46.0	0.11/0.02 0.07–0.14	35.6/6.0 25.1–46–2	46.0/0.8 44.4–47.1	19.3/0.9 17.8–20.7
KFM12A – DZ2 RU5 265–285	40.4[44.8] 16.0–56.0	73.3/2.2 70.6–75.9	7.1/1.3 5.2–8.0	38.4/4.8 32.8–44.4	0.15/0.02 0.13–0.17	36.3/4.4 30.8–41.3	42.4/1.2 41.1–44.1	17.7/0.9 16.7–18.5
KFM12A – DZ2 RU6 285–315	28.1[28.7] 12.0–40.0	73.8/2.5 71.8–78.5	5.1/1.2 3.4–6.6	39.7/6.2 35.1–51.5	0.12/0.02 0.11–0.17	38.1/4.1 33.9–44.9	45.1/1.8 42.5–46.9	19.1/1.0 17.6–20.2
KFM12A – DZ2 RU7 315–340	31.6[28.4] 9.7–59.4	75.9/2.6 71.9–77.7	4.7/2.2 2.4–7.4	44.8/6.2 35.2–49.4	0.14/0.01 0.12–0.15	45.0/8.4 31.0–50.6	47.2/1.2 45.1–47.9	20.7/1.3 18.5–21.5
KFM12A – DZ2 RU4b 340–350	16.4[16.4] 12.4–20.4	74.4/0.9 73.7–75.0	3.2/0.6 2.8–3.6	40.7/2.1 39.2–42.2	0.16/0.02 0.15–0.17	39.2/0.7 38.7–39.7	45.2/0.8 44.7–45.8	19.2/0.4 18.9–19.5
KFM12A – DZ2 RU8a 350–400	49.9[42.5] 20.9–173.2	74.9/1.9 72.8–78.7	3.2/1.2 2.2–5.4	42.2/4.9 37.1–52.3	0.13/0.02 0.11–0.18	42.9/5.2 36.1–53.3	46.9/0.7 45.8–48.0	20.3/0.7 19.4–21.8
KFM12A – RU9a 400–415	25.9[27.7] 18.0–32.1	80.2/4.2 75.3–83.2	1.4/0.2 1.2–1.6	57.9/13.1 42.9–67.4	0.24/0.07 0.17–0.31	39.7/7.7 32.9–48.0	45.5/1.1 44.8–46.8	19.4/1.1 18.6–20.7

¹⁾ Length along the borehole (m).

²⁾ For Q, the mode value is reported in brackets beside the mean.

³⁾ Containing open and partly open fractures.

⁴⁾ For confinement stress between 10 and 30 MPa.

⁵⁾ Min–Max is based on mean values for borehole sections of 5 m.

4.3 Comparison with fracture domains and other deformation zones

The characterization results for Forsmark modelling stage 2.2 provided rock mass quality for the rock mass and deformation zones. Most of such zones were located in the target volume, however, are not ascribed to the group of “regional” deformation zones which is the case for the Singö and Forsmark deformation zone. For the sake of comparison, Table 4-3 was compiled considering the range, mean and mode value of the rock mass quality according to the Q- and RMR-systems applied for the purpose of characterization. (The effect of water pressure and in situ stresses has to be added to obtain the rock mass quality applicable to design of underground excavations.)

Table 4-3. Comparison of Q and RMR values for fracture domains FFM01 and FFM06, for deformation zones in the target volume and for Singö and Forsmark deformation zone. The reported values correspond to borehole sections of 5 m.

Fracture domain	Q-value				RMR-value		
	Length (m)	Min	Mean [Mode]	Max	Min	Mean [Mode]	Max
Fracture domain							
FFM01	2,565	5.5	477 [150]	2,133	73.7	89.1 [89.6]	98.5
FFM06	525	20.1	287 [150]	800	73.9	86.7 [87.8]	94.0
Deformation zones							
Major DZ	750	1.8	66.2 [28.6]	1,067	64.2	81.2 [80.1]	94.0
Minor DZ	350	3.9	54.7 [33.0]	400	70.0	81.6 [81.0]	93.6
Possible DZ	255	10.3	99.0 [59.5]	1,067	74.8	81.5 [80.6]	91.1
Singö DZ	580	4.7	34.5 [27.4]	203	63.0	72.7 [72.8]	86.6
Forsmark DZ	210	9.7	36.2 [34.4]	173	65.1	73.1 [73.5]	78.7

Note: Min–Max are based on mean values for borehole sections of 5 m.

It can be immediately observed that the rock mass in the fracture domains is ascribed to the class of “very good” or “extremely good” rock quality respectively according to Q and RMR-system. The fracture domains strictly do not include any deformation zone significant from a rock mechanics point of view, as shown by the minimum rock quality values that are all in the classes of “fair” or “good” rock.

The deformation zones inside the target volume exhibit slightly lower rock mass quality, but, on average, still belong to the class of “very good” rock. These zones, however, show locally some of the lowest rock mass quality of the whole site and with the same magnitude as for the Singö and Forsmark deformation zones. Compared to these regional deformation zones, the major, minor and possible zones present a much wider variation of the rock mass quality, that indicates that their quality can be locally low, but on average very good.

In general, either the major, minor or possible deformation zones exhibit as low rock mass quality as the Singö and Forsmark deformation zones. While the mean quality of the regional zones ranges in the middle of the “good rock” class for both the classification systems, for the other zones, the rock mass quality reach the class of “very good rock”. The regional zones also show the lowest maximum values of all zones.

Table 4-4 contains the mechanical properties estimated for the whole borehole sections intercepting the Singö and Forsmark deformation zones and obtained from the RMR-values through the relations between GSI and the deformability and strength parameters of the rock mass. These values can be used to model the two regional deformation zones as equivalent continuum elastic media. The same comment on the Poisson’s ratio as in Section 4.1 applies.

Table 4-4. Summary of the estimated mechanical properties of the Singö and Forsmark deformation zone.

Properties of the deformation zones	Q ¹⁾	RMR	Deformation modulus [GPa]	Poisson’s ratio [–]	Friction angle ²⁾	Cohesion ²⁾
	[–]	[–]			[deg]	[MPa]
	Mean[Mode] Min–max	Mean/std. dev. Min–max	Mean/std. dev. Min–max	Mean/std. dev. Min–max	Mean/std. dev. Min–max	Mean/std. dev. Min–max
Singö DZ	34.5[27.4]	72.7/4.0	37.9/9.4	0.15/0.04	43.5/3.0	17.8/2.5
	4.7–203.4	63.0–86.6	21.2–78.5	0.08–0.32	38.7–50.7	14.2–25.2
Forsmark DZ	36.2[34.4]	73.1/3.1	38.3/6.7	0.12/0.03	45.7/1.7	19.4/1.3
	9.7–173.2	65.1–78.7	23.9–52.3	0.07–0.18	41.1–48.0	16.7–21.8

1) The mode value of Q is reported in brackets beside the mean.

2) For confinement stress between 10 and 30 MPa.

4.4 Summary

The mechanical properties estimated for the Singö deformation zone based on the empirical modelling presented here indicate a stiffer and stronger rock mass than the estimations based on back-calculation from displacements measurements in the SFR-tunnel passage of Singö deformation presented by /Glamheden et al. 2007b/, see Table 4-5. The results from the empirical characterization are nearest comparable with the back-calculated properties for the altered sector of Singö deformation zone.

There are several reasons that may explain the difference between the results of the two approaches for the estimation of the rock mass mechanical properties. For instance, there are differences that may influence the results in the size of the rock volume analysed and the depth of the tunnel and borehole observations. Furthermore, the size of the zone as defined by the single hole interpretation is not exactly the same as defined by /Glamheden et al. 2007b/.

Another conceivable explanation for the difference in the estimated rock mass properties is simply that the deformation zone is heterogeneous. Such hypothesis is supported by the observation in the discharge tunnels for nuclear power stations in Forsmark and the access tunnels to SFR that goes through the deformation zone/Glamheden et al. 2007b/.

The fact that the empirical approach seem to overestimate the rock mass quality of the deformations zones can also be due to the circumstance that the reduction factors that take into account the water pressure, the in situ rock stresses and the orientation of the tunnel with respect to that of the dominant fracture sets are not considered for the characterization, which should provide the material properties irrespective to the boundary conditions. If these reduction factors are applied, lower strength and larger deformability would be obtained.

The mechanical properties of the deformations zones for use in the regional stress modelling has been estimated based on the theoretical approach /Glamheden et al. 2007a/, with properties for Singö deformation zones collected from /Glamheden et al. 2007b/. This implies that the deformation and strength assumed for the Singö and Forsmark deformation zone in the stress modelling are lower than the properties presented here based on the empirical approach.

Table 4-5. Estimated rock mass mechanical properties of Singö deformation zone based on back-calculation from displacements measurements in the SFR-tunnel passage /Glamheden et al. 2007b/.

Zone sector	Deformation modulus [GPa]	Poisson's ratio [-]	Friction angle [deg]	Cohesion [MPa]
Host rock	45	0.36	65	4.0
Altered sector	32	0.43	51	4.0
Tabular sector	16	0.43	51	2.0
Fractured sector	8	0.43	51	2.0
Zone core	3	0.43	37	2.0

5 In situ state of stress

The purpose of the rock stress modelling in stage 2.3 is to provide further confidence in the estimation of the state of stress in the Forsmark area. The stress modelling in stage 2.3 has include evaluation of breakouts in some additional boreholes, visualisation of the in situ stress data in Sicada using RVS and numerical modelling of the stress variability with depth depending of varying modulus.

5.1 Borehole breakout

This section presents a complementary study of borehole breakouts in Forsmark conducted on borehole KFM08A (April 2005 and March 2007), KFM08C, KFM09A and KFM09B to validate previously determination by /Martin 2007/ of the trend of the maximum horizontal stress in the Forsmark area.

5.1.1 Breakout statistics in Forsmark

Table 5-1 and Table 5-2 summarize the results from the interpretation of the televiewer logging including both the previous and the presents study. Note that the survey length varies between boreholes. The terminology used for classification of the breakout (BB – Borehole breakout, MF – Micro-fallout, WO – Washout, KS – Keyseat is defined in /Ringgaard 2007a/. The term “classical” applies to breakouts with obvious diametrically opposite deep fallouts /Ringgaard 2007a/.

Table 5-1. Summary of the breakout length by breakout class. Data is taken from Sicada, survey length for boreholes KFM01A–KFM07C is taken from /Martin 2007/ and /Ringgaard 2007b/ and KFM08A–KFM09B is taken from Sicada.

Borehole name	Survey length [m]	Length [m]				Total length [m]
		Borehole breakouts (BB)	Micro-fallout (MF)	Washout (WO)	Keyseat (KS)	
KFM01A	1,000	18.4	278.1	4.8	0.8	302.1
KFM01B	480	23.5	118.5	0.8	70.7	213.5
KFM02A	979	55.2	91.7	4.4	2.5	153.8
KFM03A	989	21.2	81.6	1.0	0.2	104.0
KFM03B	83	0.2	30.7	1.2	0.0	32.1
KFM04A	984	30.8	70.6	1.0	2.4	104.8
KFM05A	990	23.0	47.8	7.8	1.0	79.6
KFM06A	933	6.7	8.2	1.0	0.8	16.7
KFM07C	512	26.5	178.0	2.9	0.3	207.7
KFM08A ¹⁾	886	2.2	3.5	3.0	0.1	8.8
KFM08A ²⁾	886	2.2	104.9	3.0	0.3	110.4
KFM08C	840	9.2	23.7	–	0.1	33.0
KFM09A	781	16.4	55.0	3.8	–	75.2
KFM09B	598	4.2	49.4	3.2	–	56.8
Total [m]	10,055	237.5	1,138.2	34.9	79.1	1,489.7
% of surveyed length		2.4	11.3	0.35	0.79	14.8

1) April 2005 – Low resolution in the Televiewer logging /Ringgaard 2007b/ (Not included in the calculated mean values).

2) March 2007 – High resolution in the Televiewer logging /Ringgaard 2007a/.

Table 5-2. Summary of the breakout azimuth by breakout class. Data is taken from Sicada, Survey length for boreholes KFM01A–KFM07C is taken from /Martin 2007/ and /Ringgaard 2007b/ and KFM08A–KFM09B is taken from Sicada.

Borehole name	Borehole Inclination ³⁾ [°]	Survey length [m]	Mean azimuth ⁴⁾ [°]			
			Borehole breakouts (BB)	Micro-fallout (MF)	Washout (WO)	Key seat (KS)
KFM01A	–84.72	1,000	68.9	45.0	57.0	50.3
KFM01B	–79.03	480	53.3	45.8	64.0	50.3
KFM02A	–85.37	979	78.0	80.7	115.0	102.0
KFM03A	–85.74	989	47.2	65.9	61.0	32.0
KFM03B	–85.29	83	77.0	22.0	–	–
KFM04A	–60.07	984	59.3	56.0	41.0	98.0
KFM05A	–59.80	990	78.5	93.3	–	85.0
KFM06A	–60.24	933	56.0	34.7	56.0	100.0
KFM07C	–85.32	512	59.0	56.0	44.0	–
KFM08A ¹⁾	–60.84	886	77.0	47.5	124.0	32.0
KFM08A ²⁾	–60.84	886	72.0	60.2	–	42.0
KFM08C	–60.47	840	74.0	100.8	–	34.0
KFM09A	–59.45	781	49.7	60.8	70.0	–
KFM09B	–55.07	598	47.0	62.7	58.0	–
Mean azimuth [°]			63.1	63.4	67.9	67.3

1) April 2005 – Low resolution in the Televiewer logging /Ringgaard 2007b/ (Not included in the calculated mean values).

2) March 2007 – High resolution in the Televiewer logging /Ringgaard 2007a/.

3) The accuracy in the measurements is less in boreholes deviating more than 10 deg from vertical /Ringgaard 2007a/.

4) The mean values are calculated as point values (not weighted with respect to breakout length).

A comparison between the results obtained in the present and the previous study reveals no remarkable differences in the proportions of surveyed length and evaluated mean azimuth due to the enlarged number of boreholes. However, when comparing the results from the two different logging occasions in the same borehole (KFM08A), the amount of micro-fallout (MF) is significantly higher the second time. In this case, however, the results are not fully comparable since the applied logging resolution is not the same /Ringgaard 2007a/. This also means that the accuracy of the micro-fallout observations is much higher in the second campaign (March 2007), which is a natural consequence of the higher resolution (the difference for detecting BB is less significant).

5.1.2 Breakouts in the complementary boreholes

Figure 5-1 and Figure 5-2 shows the location and azimuth for the different classes of borehole geometry anomalies for the complementary boreholes, KFM08A (at two occasions April 2005 and March 2007) respectively KFM08C, KFM09A and KFM09B.

For the boreholes KFM08A (March 2007), KFM08C, KFM09A and KFM09B rose diagrams that shows the orientation of the “classical” breakouts (BB), not associated with structures, are given in Figure 5-3. Micro-fallouts (MF) that are not associated with structures are shown in Figure 5-4 and keyseats (KS) not associated with structures in Figure 5-5. They all show a general NE-ENE direction. For mean azimuth values, see Table 5-2.

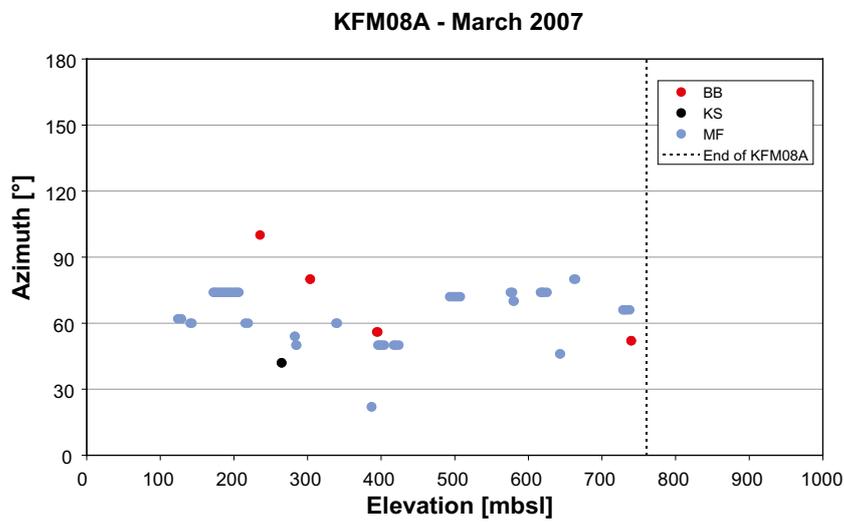
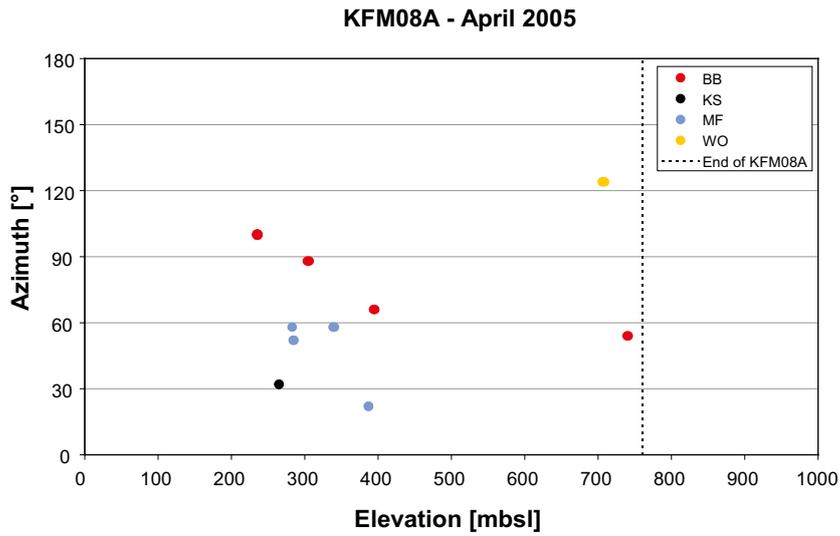


Figure 5-1. Plots showing the azimuth of the breakouts at two occasions in KFM08A as a function of elevation. Symbols: Borehole breakouts (Red = BB), Micro-fallouts (Blue = MF), Keyseats (Black = KS) and Washouts (Orange = WO).

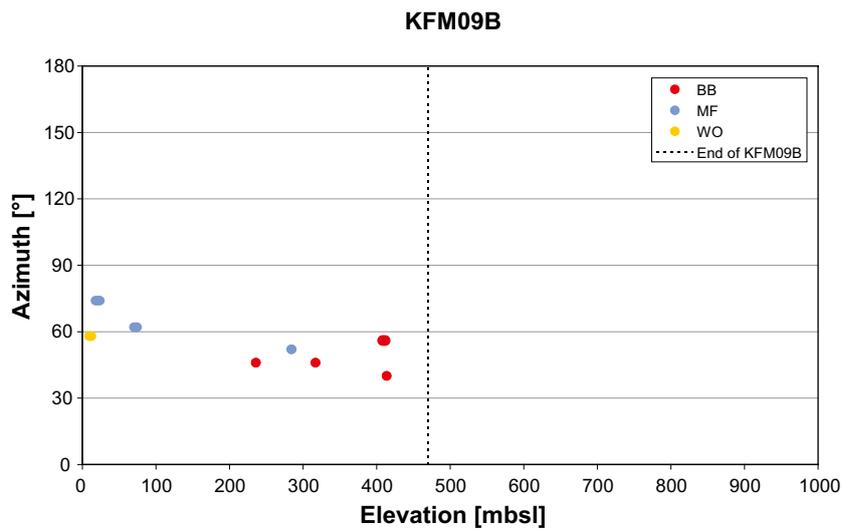
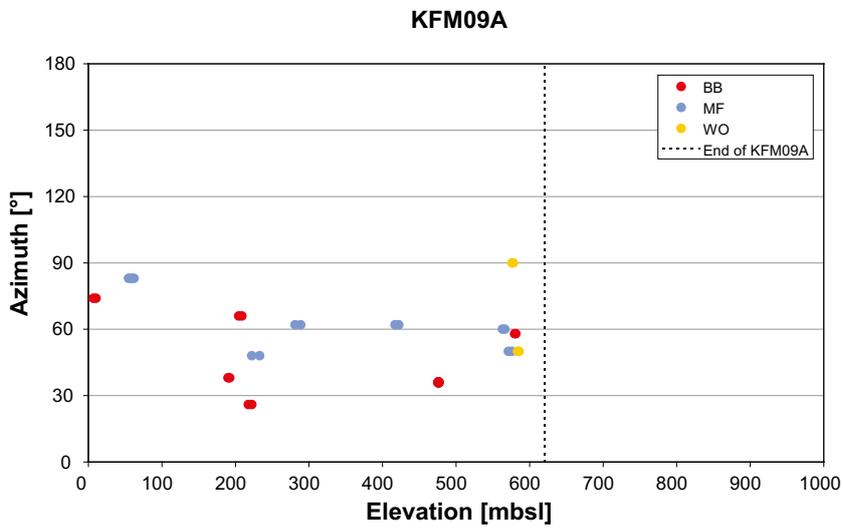
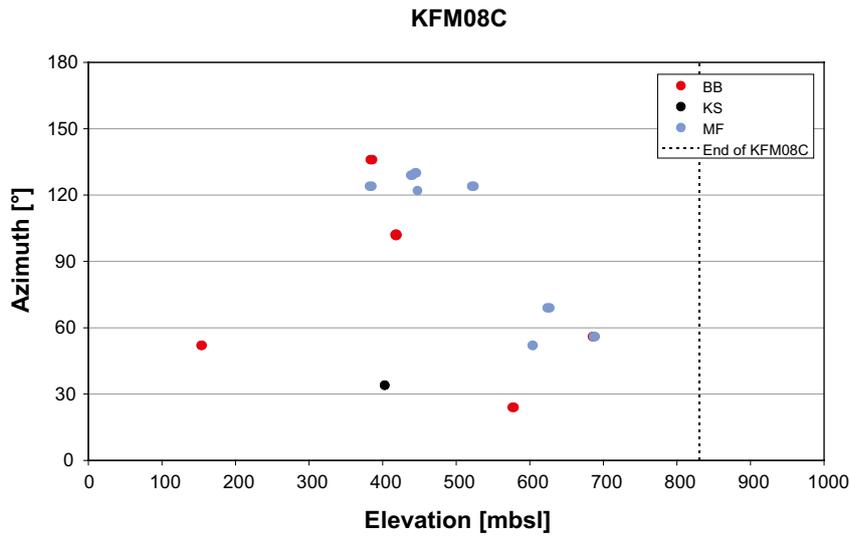


Figure 5-2. Plots showing the azimuth of the breakouts in KFM08C, KFM09A and KFM09B as a function of elevation. Symbols: Borehole breakouts (Red = BB), Micro-fallouts (Blue = MF), Keyseats (Black = KS) and Washouts (Orange = WO).

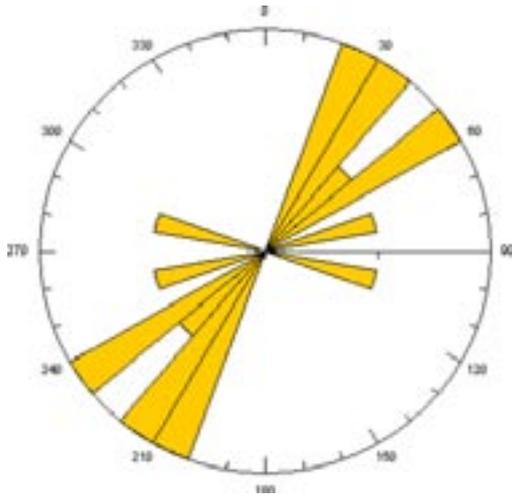


Figure 5-3. Rose diagram showing the orientation of the “classical” breakouts not associated with structures in boreholes KFM08A (March 2007), KFM08C, KFM09A and KFM09B. There were 9 borehole breakouts.

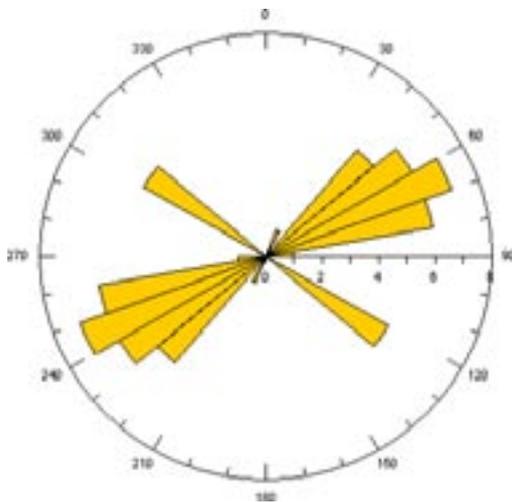


Figure 5-4. Rose diagram showing the orientation of micro-fallouts not associated with structures in boreholes KFM08A (March 2007), KFM08C, KFM09A and KFM09B. There were 31 micro-fallouts.



Figure 5-5. Rose diagram showing the orientation of keyseats not associated with structures in boreholes KFM08A (March 2007), KFM08C, KFM09A and KFM09B. There were 2 keyseats.

5.1.3 Compilation of breakout data for all boreholes

These plots are based on data from Sicada and includes boreholes KFM01A, KFM01B, KFM02A, KFM03A, KFM04A, KFM05A, KFM06A, KFM07C, KFM08A (March 2007), KFM08C, KFM09A and KFM09B.

Borehole breakouts (BB)

In Figure 5-6 two rose-diagrams shows the variation in median value depending on if only breakouts (BB) associated with structures are shown or if all breakouts (BB) are shown.

Figure 5-7 shows breakouts (BB) (inclusive associated with structures) at 4 different elevation intervals, 0–150 m, 150–300 m, 300–400 m and >400 m, and Figure 5-8 shows the same type of diagrams but only for breakouts not associated with structures. No main difference in the preferred orientation can be seen. The orientation of the breakouts is consistently NE-SW, thus indicating a minor horizontal stress in this direction.

Micro-fallouts (MF)

In Figure 5-9 the rose-diagrams show the variation for micro-fallouts (MF). The difference depending on whether fallout associated with structures is included or not is only 2 degrees. The median orientation of micro-fallouts roughly coincides with the orientation for borehole breakouts (BB).

Figure 5-10 and Figure 5-11 shows micro-fallouts (MF) associated respectively micro-fallouts not associated with structures and not at four different elevation intervals, 0–150, 150–300, 300–400 and >400 m. As for the breakouts, no main shift in orientation with depth for the micro-fallouts may be observed.

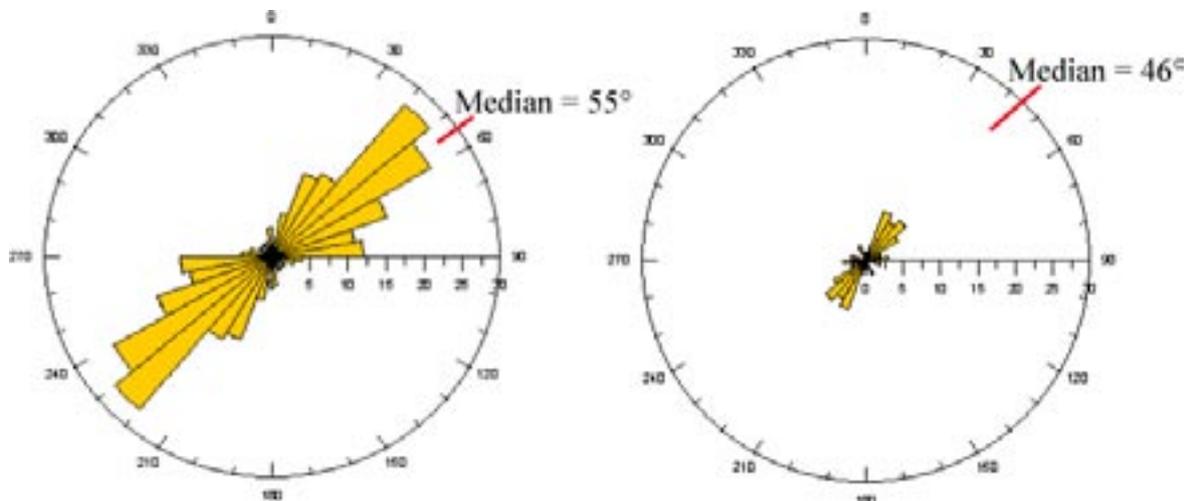


Figure 5-6. Rose diagram showing the orientation of the “classical” breakouts in boreholes KFM01A, KFM01B, KFM02A, KFM03A, KFM04A, KFM05A, KFM06A, KFM07C, KFM08A (March 2007), KFM08C, KFM09A and KFM09B. In the left rose diagram all BB (142 breakouts) are shown and in the right only breakouts not associated with structures are shown (42 breakouts).

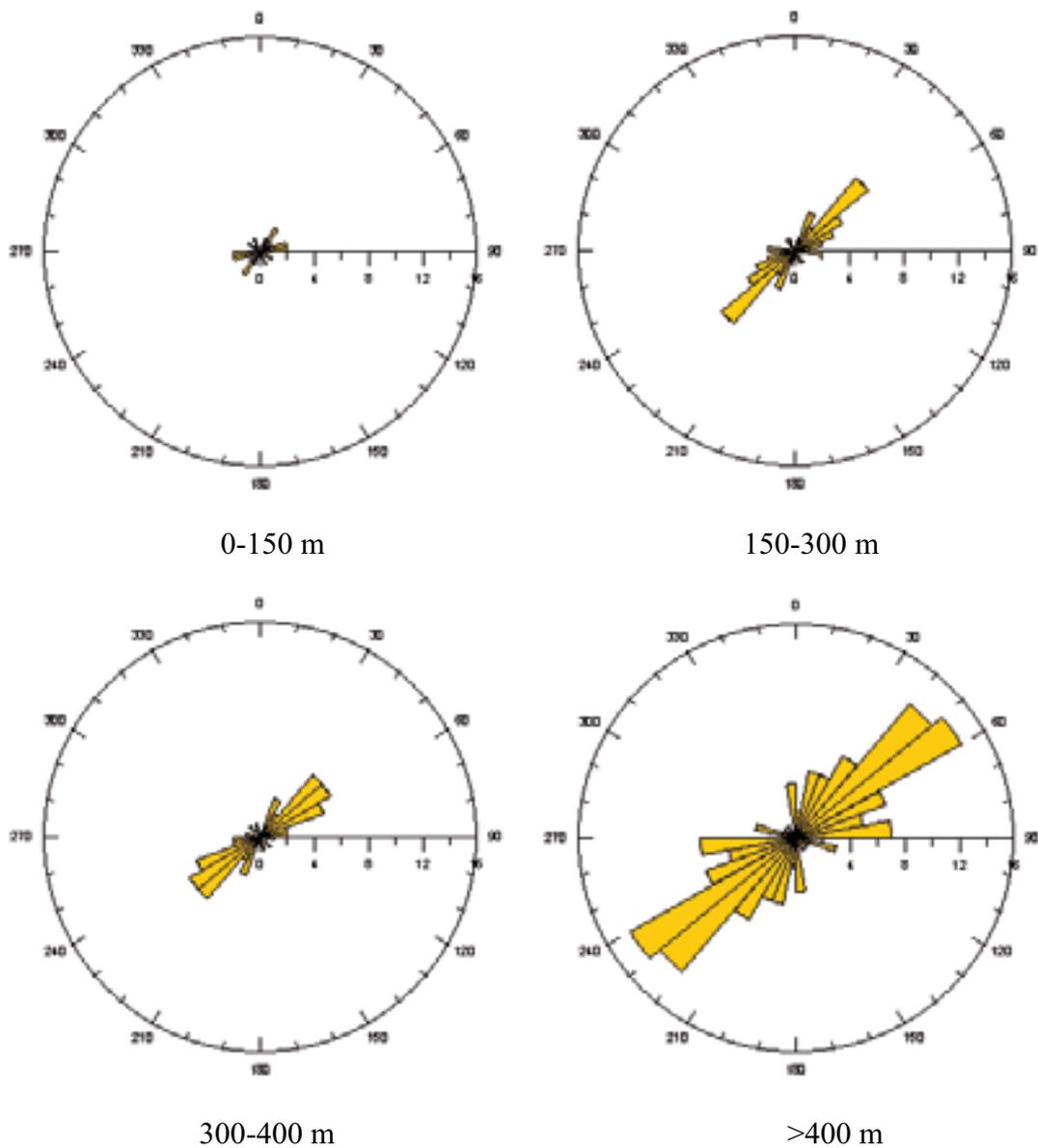
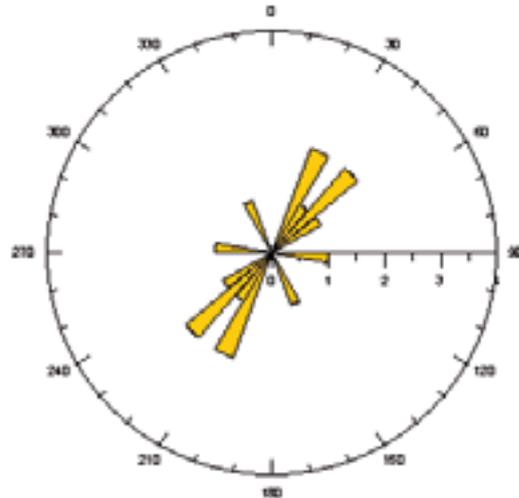


Figure 5-7. Rose diagram showing the orientation of the “classical” breakouts at different depth in boreholes KFM01A, KFM01B, KFM02A, KFM03A, KFM04A, KFM05A, KFM06A, KFM07C, KFM08A, KFM08C, KFM09A and KFM09B. The number of breakouts is 11 in the interval 0–150 m, 27 between 150–300 m, 29 between 300–400 and 75 for depths >400 m. See also Figure 5-8 for the breakouts that are not associated with fractures.



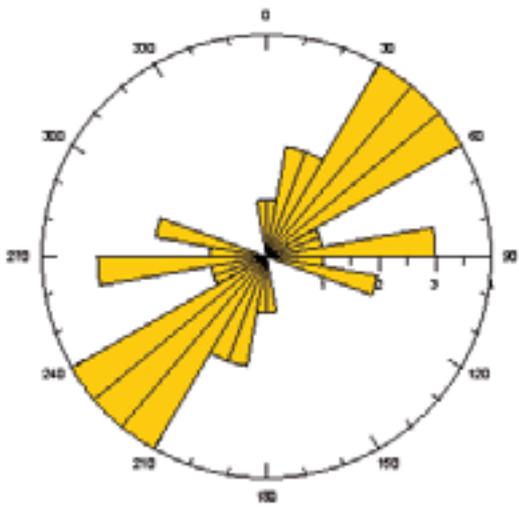
0-150 m



150-300 m



300-400 m



>400 m

Figure 5-8. Rose diagram showing the orientation of the “classical” breakouts not associated with structures at different depth in boreholes KFM01A, KFM01B, KFM02A, KFM03A, KFM04A, KFM05A, KFM06A, KFM07C, KFM08A, KFM08C, KFM09A and KFM09B. The number of breakouts is 5 in the interval 0–150 m, 8 between 150–300 m, 3 between 300–400 and 26 for depths >400 m.

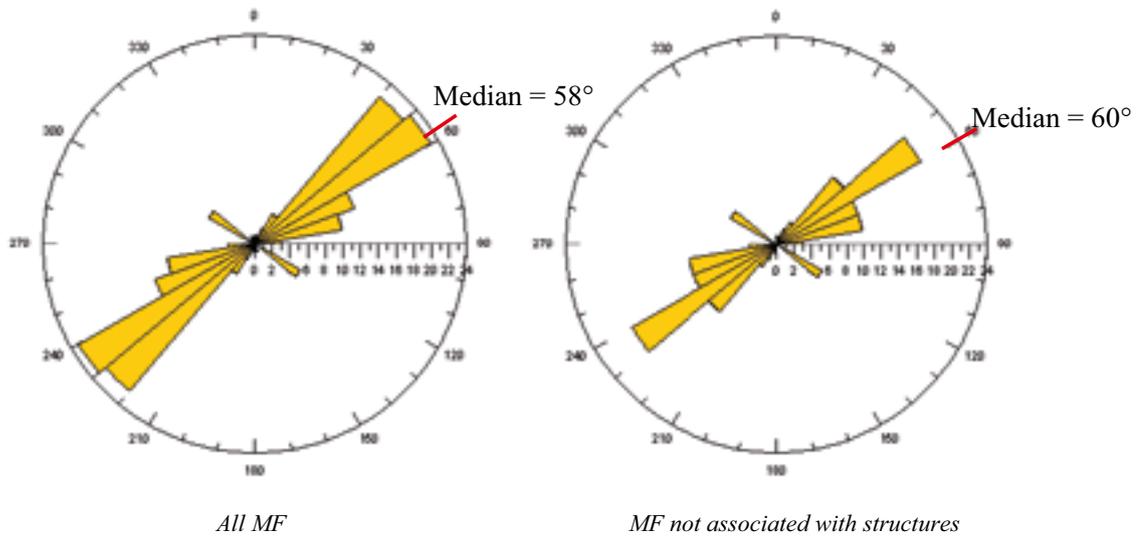


Figure 5-9. Rose diagram showing the orientation of the micro-fallouts (MF) in boreholes KFM01A, KFM01B, KFM02A, KFM03A, KFM04A, KFM05A, KFM06A, KFM07C, KFM08A (March 2007), KFM08C, KFM09A and KFM09B. In the left rose diagram all MF (85 micro-fallouts) are shown and in the right only breakouts not associated with structures are shown (64 micro-fallouts).

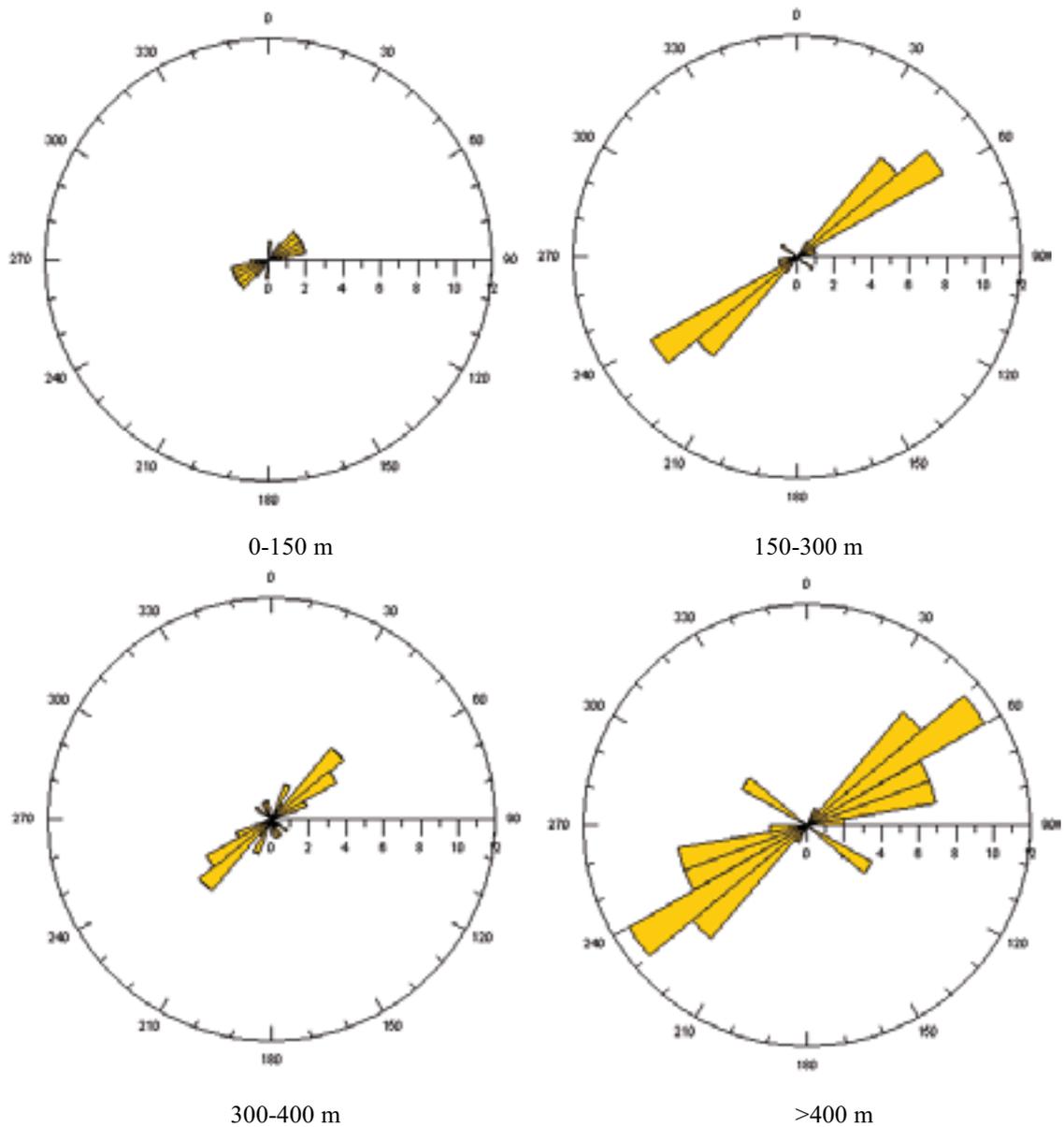


Figure 5-10. Rose diagram showing the orientation of the micro-fallouts associated with structures at different depth in boreholes KFM01A, KFM01B, KFM02A, KFM03A, KFM04A, KFM05A, KFM06A, KFM07C, KFM08A, KFM08C, KFM09A and KFM09B. The number of micro-fallouts is 11 in the interval 0–150 m, 20 between 150–300 m, 17 between 300–400 and 41 at depths >400 m. See also Figure 5-11 for the micro-fallouts that are not associated with fractures.

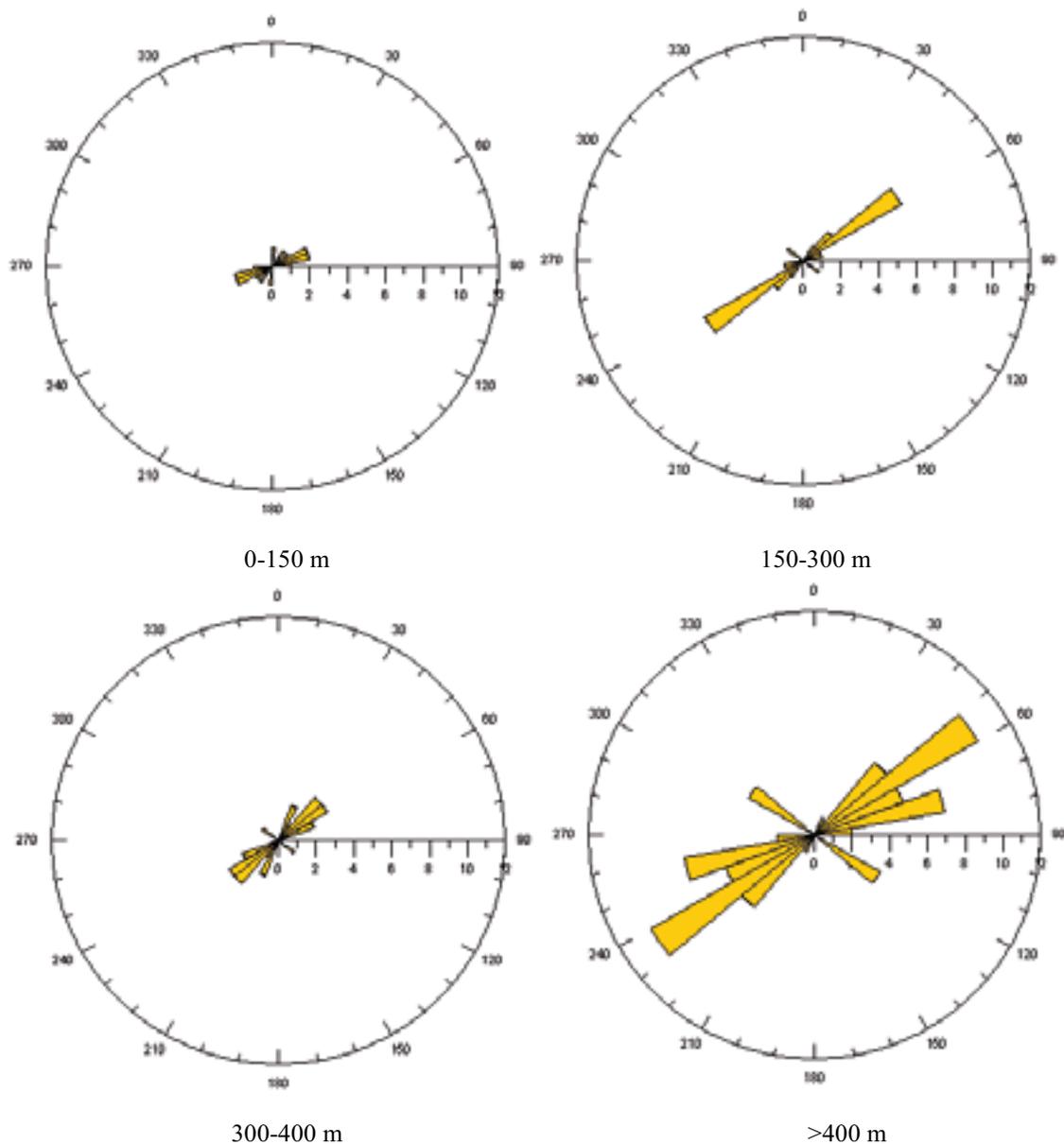


Figure 5-11. Rose diagram showing the orientation of the micro-fallouts not associated with structures at different depths in boreholes KFM01A, KFM01B, KFM02A, KFM03A, KFM04A, KFM05A, KFM06A, KFM07C, KFM08A, KFM08C, KFM09A and KFM09B. The number of micro-fallouts is 8 in the interval 0–150 m, 12 between 150–300 m, 12 between 300–400 and 34 at depths >400 m.

5.2 Summary

The frequency and orientation of the occurrence of classical breakouts and micro-fallouts in the boreholes included in the additional study (KFM08A, KFM08C, KFM09A and KFM09B) are fairly similar to the previously results reported by /Martin 2007/.

The proportion of the total borehole length consisting of “classical” borehole breakouts varies from 0.2% in KFM03B to 5.6% in KFM02A among all the studied boreholes at Forsmark. (With “classical” breakout is meant a breakout with obvious diametrically opposite deep fallouts /Ringgaard 2007a/.)

In the additionally studied, as well as in the previously studied boreholes /Martin 2007/, there is no observed increase in the frequency of occurrence of borehole breakouts or micro-fallouts with depth.

The mean orientation from the mean azimuth of the borehole breakouts is about 48° at drill site 8 and about 73° at drill site 9. This implies that the orientation of the major horizontal stress may be slightly different at the two sites, 138° and 163°, respectively. The previously studied boreholes show a similar variation for the mean breakout azimuth, from 47° as the lowest value (in KFM03) to 78° as the highest value (in KFM02).

No new “classical” borehole breakouts could be observed in KFM08A at the repeated measurements, which indicate that there has been no significant ongoing borehole wall failure during this two year period.

The difference in azimuth for the four borehole breakouts in borehole KFM08A, which was measured repeatedly (in April 2005 and March 2007) is between 0° and 10°. Although the different measurements were made by different televiewer resolutions, the length (i.e. involving a large amount of measurement values along the breakout section) of most breakouts is enough that this difference may be regarded as a rough indication on the accuracy of breakout azimuth measurements.

5.3 Visualisation of the in situ stress data

Visualisation of the in situ stress data in Sicada using RVS has been carried out as a support for the illustration of the spatial location of available data and for the study of the influence of geological structures on the measured stresses.

5.3.1 Overcoring stress measurements

Data were extracted from Sicada (delivery 08_029), /Carlsson and Olsson 1982/ and /Sjöberg 2004/, see Table 5-3. For KFK001 and KFK003 (previously called DBT1 and DBT3), data were taken from /Carlsson and Olsson 1982/, since these data are considered to have a higher reliability regarding the elastic modulus /Christiansson 2008, Sjöberg et al. 2005/ than the original calculations /Ingevald and Strindell 1981/, which are the values presently contained in Sicada.

The RVS visualizations make use of the RVS stress tensor tool. The principal stress components are plotted according to the convention in Table 5-4.

Table 5-3. Sources of the overcoring data used for RVS visualization.

Borehole	Source	North reference
KFK001, KFK003	Carlsson & Olsson, 1982	Magnetic north
KFM01B	Sicada 08_029 Average values from P-04-83 table 5-10	RT90/RHB70
KFM02B, KFM07B, KFM07C	Sicada 08_029	RT90/RHB70

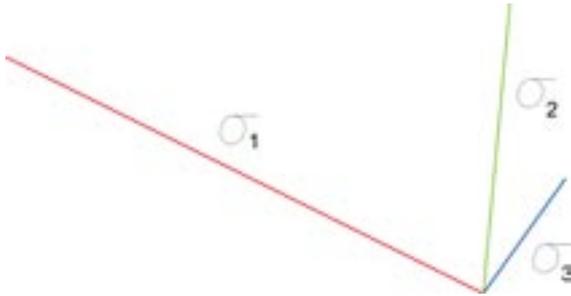
Table 5-4. Colour codes for visualization of the principal stress magnitudes.

Stress component	Symbol	Colour
Major principal stress	σ_1	Red
Intermediate principal stress	σ_2	Green
Minor principal stress	σ_3	Blue

Note: Tensile stresses ($\sigma < 0$) are marked with a dot at the end of the tensor component.

For all tensor components, the magnitude is presented with a certain scale factor (m/MPa) with respect to the value of the principal stress. The orientation of the tensors refers to RT90-RHB70 coordinate system. The same reference system was also used for the case of KFK001 and -003 despite the data in reality refers to the magnetic north. Strictly speaking, the orientations of the measurements in KFK-boreholes and those in KFM-boreholes cannot be directly compared. In fact, the magnetic north varies both in time and space. Up to now, there is no function in RVS that corrects the orientations although this is technically possible. The difference between geographical and magnetic north can be estimated to be at most 10°.

In the following figures (Figure 5-12 to Figure 5-14), the average values for each measurement level are shown in relation to selected deformation zones and fracture domains. A legend of the illustrations is presented below.



The length of the tensor components are represented by a scale factor (m/MPa). Negative values are marked with a dot at the end of the tensor component.

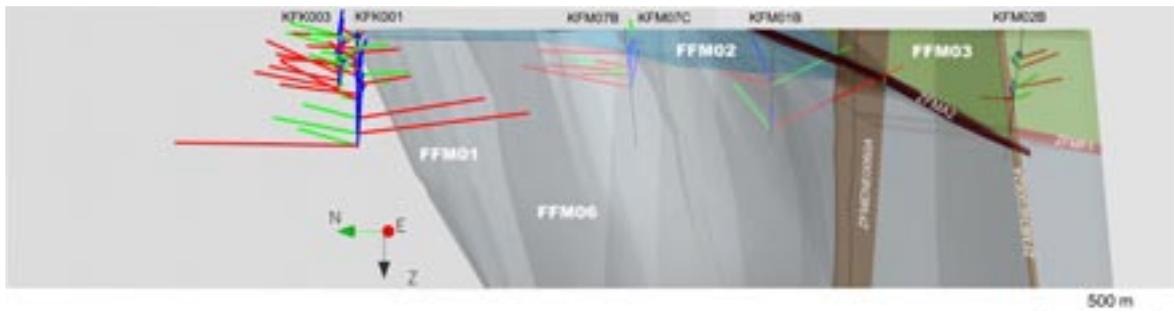


Figure 5-12. Results from overcoring measurements shown as tensor components in relation to fracture domains and deformation zones in Forsmark 2.2 local model volume as average magnitudes for each measured section. The orientations in the KFK-boreholes refer to magnetic north and cannot be directly compared with the orientations in the KFM-holes which refer to RT90-RHB70 north. View from W.

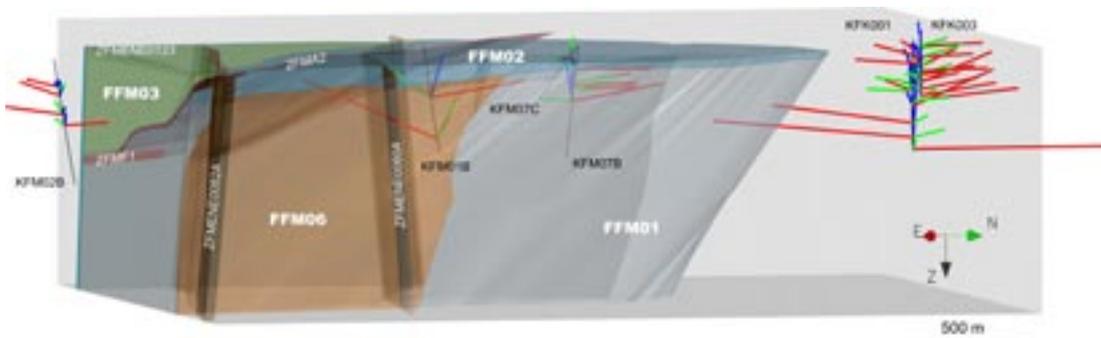


Figure 5-13. Results from overcoring measurements shown as tensor components in relation to fracture domains and deformation zones in Forsmark 2.2 local model volume as average magnitudes for each measured section. The orientations in the KFK-boreholes refer to magnetic north and cannot be directly compared with the orientations in the KFM-holes which refer to RT90-RHB70 north. View from N-E.

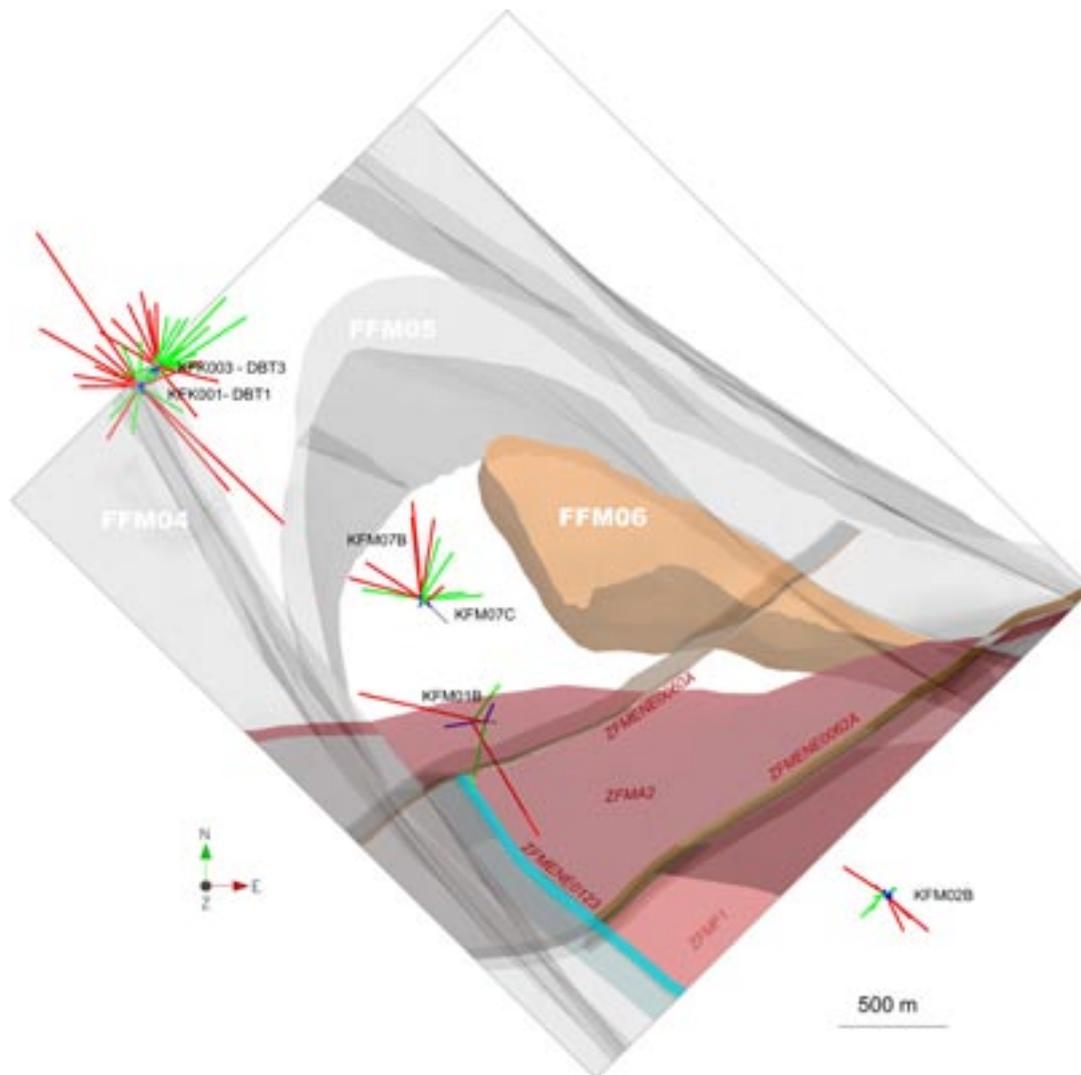


Figure 5-14. Results from overcoring measurements shown as tensor components in relation to fracture domains and deformation zones in Forsmark 2.2 local model volume. The average magnitudes for each measured section are presented in a view from the top. The orientations in the KFK-boreholes refer to magnetic north and cannot be directly compared with the orientations in the KFM-holes which refer to RT90-RHB70 north.

5.3.2 Hydro-fracturing stress measurements

The location of the hydro-fracturing in situ stress measurements (HF and HTPF measurements) performed in Forsmark stage 2.2 and contained in Sicada (delivery 08_029 and 08_085) are shown in Figure 5-15. The locations of the measurements along the boreholes are marked with a disc in green colour for successful measurements and red colour for the measurements that failed (result not included in Sicada).

5.3.3 Summary

Visualisation of the in situ stress data in Sicada using RVS give a good overview of where the measurements are located in relation to fracture domains and deformation zones, whereas the plots showing the stress tensor from the overcore measurements are more difficult to grasp. However, the tensor plots visualize large differences in stress magnitudes and measured stress orientation relatively well.

The compiled RVS plots support the previous interpreted stress model presented by modelling stage 2.2 /Glamheden et al. 2007a/.

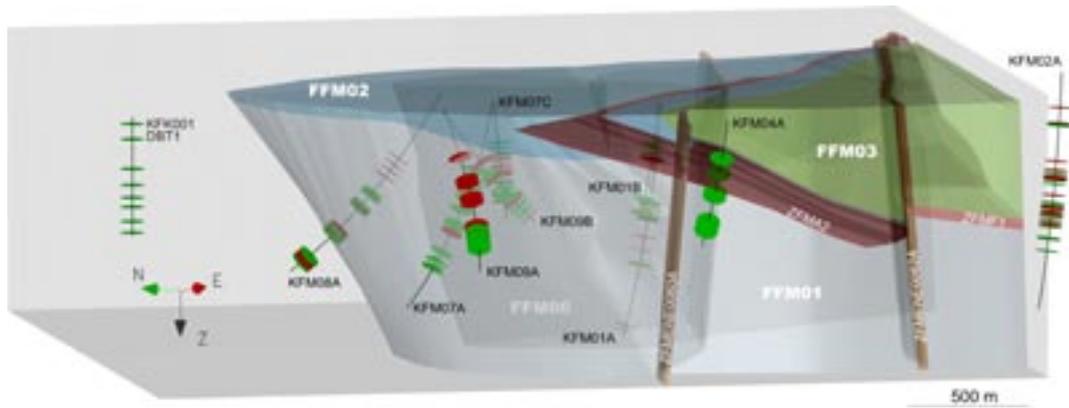


Figure 5-15. Location of the hydro-fracturing in situ stress measurements (HF and HTPF) in relation to fracture domains and deformation zones in Forsmark 2.2 local model volume. View from S-W. Green discs represent successful measurements while red discs the measurements that failed (results not included in Sicada).

5.4 Stress variability with depth due to varying modulus

5.4.1 Background

At the Forsmark site, seismic investigations show a gradual increase in seismic P-wave velocity with depth /Juhlin et al. 2002/. Within the first 100 m, the velocity of the P-wave is significantly lower than for the rock situated deeper. Indirectly, it is possible to obtain approximate values of the deformation modulus of the rock mass, as this property may be related to the P-wave and S-wave velocity from a seismic profile.

During modelling stage 2.2, numerical simulations were carried out with a stepwise increase in Young's modulus estimated based on measured P-wave velocity and evaluated dynamic shear modulus of the rock at the Forsmark site /Glamheden et al. 2007a/. The aim of this modelling was to study the influence of an increasing deformation modulus on the state of in situ stress with depth and to observe if a better agreement with the in situ stress measurement results could be deduced.

The analysis described below re-examines the already performed investigation with a different numerical code and serves as an update of the previous work. The present model incorporate adjusted values for the deformation modulus that are higher than those used in modelling stage 2.2, see Table 5-5. The values used in the previous study were proportional to the dynamic shear modulus of the rock mass, while the values used here were related to the estimated rock mass properties for the different fracture domains based on the empirical and theoretical modelling of the rock mass mechanical properties /Glamheden et al. 2007a/.

5.4.2 Input data and model set-up

A numerical model was set-up using FLAC, a two-dimensional explicit finite difference code developed by the Itasca group /Itasca 2005/. In contrast to finite element codes FLAC may simulate the behaviour of continuum media, in this case a rock mass, prior to and after a possible failure. However the present simulation was based on an entirely elastic model and a plain strain solution.

The geometry and the boundary conditions of the model are presented in Figure 5-16. The mesh is square shaped with a side length of 1,000 m. A fine uniform zoning was used where the mesh size was 10 m. The bottom of the model was fixed in vertical direction, whereas the top boundary (ground surface) was free from any restraint. The so called 'tectonic push' was simulated by forcing the vertical boundaries of the model block to move inwardly at a very low velocity.

Table 5-5. P-wave velocity and evaluated dynamic shear modulus together with Young's modulus estimated during modelling stage 2.2 and 2.3 for associated depth intervals.

Depth interval [m]	$V_p^{3)}$ [m/s]	$G_d^{3)}$ [GPa]	Young's modulus [GPa]	
			Modelling stage 2.2	Modelling stage 2.3
0 – 150 ¹⁾	4,800 – 5,800	20–30	35	55
150 – 300 ²⁾	5,800 – 6,000	30–32	38	65
300 – 400 ²⁾	6,000 – 6,100	32–33	45	65
400 – 1000 ²⁾	6,100 – 6,400	33–35	58	70

1) Fracture domain FFM02.

2) Fracture domain FFM01 and FFM06.

3) Based on Figure 6-7 in /Glamheden et al. 2007a/.

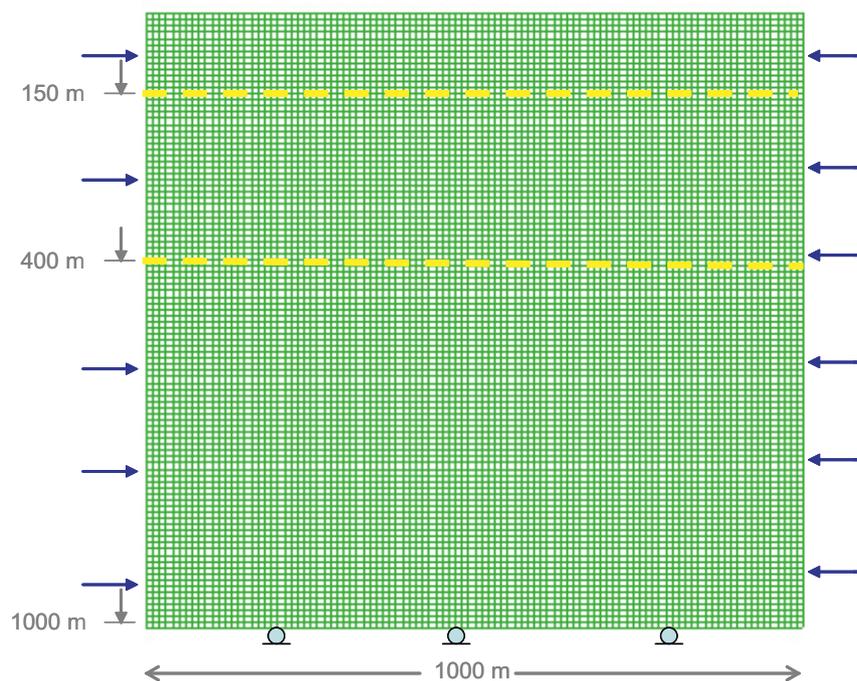


Figure 5-16. Geometry and the boundary conditions of the used model The yellow lines mark the positions there Young's modulus are changed.

The deformation modulus of fracture domain FFM01 and FFM06 within the target volume was estimated to 70 GPa based on the empirical and theoretical modelling. The deformation modulus of FFM02 was estimated to 55 GPa, based on the empirical modelling /Glamheden et al. 2007a/. The relative change of Young's modulus of the rock mass within the fracture domain FFM01 was estimated from the P-wave velocity /Juhlin et al. 2002/. Table 5-5 presents the values of Young's modulus that were used in the present numerical model and for comparison also the values used by modelling stage 2.2.

It is to be noted that the division of the rock mass into regular blocks with clear border lines and the inter-block abrupt changes in Young's modulus is a simplification of the real case, where the limits may follow any natural structure or pattern that exists in the rock mass. For example, the calculated Young's modulus of 55 GPa, associated with fracture domain FFM02, is taken to represent the rock mass above a depth of 150 m in the numerical model, whereas the fracture domain itself is formed as an elongated wedge-shaped body of shallow rock that varies in thickness. The advantage of such simplification is in the clarity with which the effect of a non-gradual variation of the Young's modulus on state of in situ stress can be studied.

Prior to a simulation run, the model is pre-conditioned to bear an initial isotropic stress field ($\sigma_v = \sigma_H$) with the stress magnitude equivalent to gravitational loading. As soon as analysis begins, the inward movement of the two vertical boundaries brings about a new stress field, which is influenced by the initial stress field as well as by Poisson's ratio. A simulation run is halted and re-started a number of times in order to examine the closeness of the prevailing stresses against "target stress values". Target stress values were chosen from the *in situ* stress measurements, carried out in boreholes. The simulation run is terminated when the simulated stress magnitudes are similar to the measured *in situ* stresses.

5.4.3 Results

Figure 5-17 shows the stepwise variation in the numerically calculated major principal stress, σ_1 , with depth. The two abrupt and significant deviations from the otherwise gradually increasing σ_1 values are associated with the limits where the rock mass deformation moduli change. In situ stress measurements, carried out by the overcoring method in boreholes KFK001 (DBT1), KFK003 (DBT3), KFM02B and KFM07C are plotted in the figure for comparison.

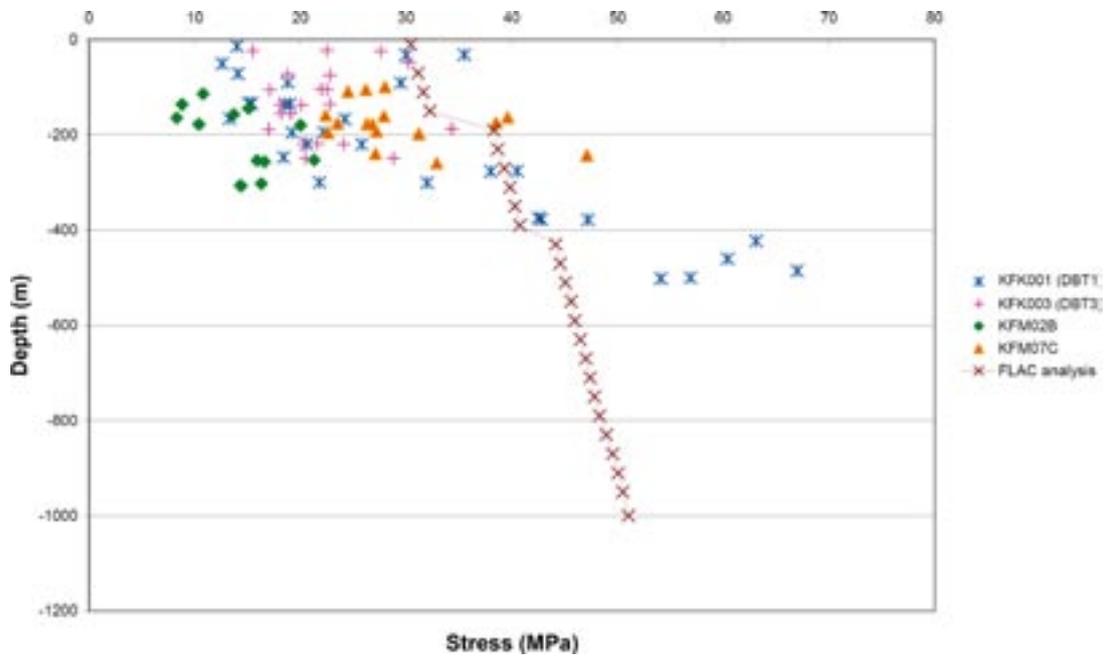


Figure 5-17. Estimated major principal stress, σ_1 , versus depth from the numerical analysis. Measured stresses by overcoring methods from boreholes KFK001 (DBT1), KFK003 (DBT3), KFM02B and KFM07C are added to the plot for comparison.

5.4.4 Summary

Apart from differences in the absolute stress magnitude, this study produces results that are similar to those obtained in the study performed in modelling stage 2.2 /Glamheden et al. 2007a/. The results in both studies indicate a gradual increase of the in situ stress magnitude that is in reasonable agreement with the observed state of in situ stress. However, the measured data indicate larger variation in the in situ stresses with depth than possible to achieve in the model using the rock mass deformation properties evaluated by the empirical and theoretical approach. Furthermore, the current study results in shifting of the in situ stresses towards higher magnitude and actually less agreement with the measured data than the previous study.

A possible reason for the divergence between the measured and calculated stress variability with depth is differences in the rock mass volume that is involved in the various estimations. The volume involved in the stress measurements is approximately 0.05 m^3 . The deformation properties included in the numerical model are evaluated from observations in boreholes of 5 m sections (i.e. local heterogeneity is not studied), and the seismic measurements presented here cover a 16 km long survey. The seismic measurements consequently present the conditions of the rock mass mainly in a regional scale, the empirical and theoretical approach presents the conditions in a local scale and the stress measurements are based on point observations.

Fracture frequency decreases with depth and therefore the stiffness (Young's modulus) must increase with depth. Matching stress magnitudes with stress measurements is simply a function of the assumptions used and the applied boundary conditions. The modelling was not an attempt to match the measured stresses but to illustrate the concept that a simple modulus increase with depth may explain the stress increase with depth.

6 Summary

6.1 Intact rock properties

In this modelling stage, additional samples were collected in fracture domain FFM06. Testing of these samples confirms the results from modelling stage 2.2 where the albitized variants of metagranite (101057) and aplitic granite (101058) in FFM06 are shown to be stiffer and stronger than the intact rock in fracture domain FFM01. However, the albitization does not seem to have any effect of the tensile strength of tested rock types.

The tests performed on samples composed of sealed fracture networks from ZFMENE0060A show results close to those obtained on intact rock from FFM01. The sealed fractures seem to have an insignificant influence of the mechanical properties of intact rock.

The latest results from the microcrack volume measurements confirm the previous test results. The samples from KFM01A show a clear linear increase of the microcrack volume strain with depth, while the samples from KFM02B display minor variation with depth.

Test results on intact rock samples as well as stochastic modelling of the variability of uniaxial compressive strength show that rock domain RFM045 displays greater variability and has a larger proportion of rock with low compressive strength (< 150 MPa) than domain RFM029. In both domains, the lower values of the compressive strength depend on mainly amphibolite (102017) and tonalitic varieties of granodiorite to tonalite (101051).

6.2 Rock mass properties

The characterization of the Singö and Forsmark deformation zone returns rock mass qualities that ranges from fair rock to excellent rock quality. The mode and mean value of the characterized borehole sections correspond to “good rock” quality. Compared to the major, minor and possible deformation zones characterized in the target volume, the Singö and the Forsmark deformations zone show less variation of the rock mass quality, i.e. the lowest and highest rock mass quality has been observed in other deformations zones in the target volume. Possibly, this can also be due to particular conditions at the location of the analysed boreholes.

The mechanical properties estimated for the Singö deformation zone based on the empirical modelling presented here indicate a stiffer and stronger rock mass than the estimations based on back-calculation from displacements measurements in the SFR-tunnel passage of the Singö deformation zone presented by /Glamheden et al. 2007b/. The most conceivable reason for the difference in the estimated rock mass properties is simply that the deformation zone has a heterogeneous nature, which is supported by observation in the various access tunnels through the deformation zone.

The mechanical properties of the deformations zones for use in the regional stress modelling were based on the theoretical approach /Glamheden et al. 2007a/, which displays lower stiffness and strength than the properties calculated based on the empirical methods.

6.3 In situ state of stress

The frequency and orientation of the occurrence of “classical” breakouts and micro-fallouts in the boreholes included in this additional study (KFM08A, KFM08C, KFM09A and KFM09B) are fairly similar to the previously results reported by /Martin 2007/ and support the stress model presented in modelling stage 2.2 /Glamheden et al. 2007a/. In the additionally studied, as well as in the previously studied boreholes, there is no observed increase in the frequency of occurrence of borehole breakouts or micro-fallouts with depth.

Visualization of the in situ stress data is an excellent way to get an overview of the measurements locations in relation to fracture domains and deformation zones. The compiled plots in this report support the interpreted stress model presented by modelling stage 2.2 /Glamheden et al. 2007a/.

A new model based on a finite difference code was run in order to further study the influence on in situ stresses of an increasing Young's modulus towards depth. This modelling indicates a gradual increase of the in situ stress magnitude that is in reasonable agreement with the observed state of in situ stress. However, the measured data indicate larger variation in the in situ stresses with depth than possible to achieve in the model using the rock mass deformation properties evaluated by the empirical and theoretical approach. Furthermore, the current study results in shifting of the in situ stresses towards higher magnitude and actually less agreement with the measured data than the previous numerical modelling presented in stage 2.2. However the aim of the modelling in stage 2.3 was to confirm the influence of an improvement in rock mass quality with depth on the in situ stress. As such, local heterogeneities and geological structures were not modelled, which influence the absolute magnitude and variability of the in situ stresses measured in the model.

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Simulation of UCS

This appendix presents the results of stochastic simulation of UCS for rock domains RFM029 and RFM045 following the methodology described in Chapter 3.

A.1 Assessment of UCS data

Uniaxial compressive strength from measurements

Test results of uniaxial compressive strength (UCS) in data freeze 2.3 are summarised for each rock type in Table A-1 irrespective of rock domain. Tests were performed on drill core samples taken from ten different boreholes.

The majority of the measurements were performed on the dominant granite to granodiorite (101057). Albitized granite to granodiorite (101057) is distinguished from unaltered varieties of the same rock type. It is obvious that the albitized variety, which occurs almost exclusively in rock domain RFM045, has a significantly higher compressive strength than the unaltered variety, characteristic of rock domain RFM029. Granite (101058), the dominant rock in domain RFM045, is also typically albitized /Stephens et al. 2007/. Samples of this rock also have rather high compressive strengths.

Due to the lack of samples of amphibolite (102017) within the target volume, UCS of amphibolite is based on data from SFR at Forsmark, located in rock domain RFM021 /Stille and Fredriksson 1987, Stille and Dehlin 1981, Dehlin 1983/.

Many of the samples were taken in clusters of 3 to 5 samples from the same 1–2 m length of borehole core. Declustering techniques were applied to evaluate possible bias which may be caused by such a sampling method. The standard deviations quoted above are a measure of the total variability in the sample data, and comprise the spatial variability of compressive strength within a rock type in addition to variability associated with the testing method.

Table A-1. Measured uniaxial compressive strength (MPa) of different rock types in the Forsmark area.

Rock type	Mean	Std dev.	Min.	Max.	Number of samples
Granite to granodiorite, 101057	222	25	157	289	74
Granite to granodiorite, (albitized) 101057	373	20	338	391	10
Granodiorite and tonalite, 101051	187	40	143	228	8
Granite, metamorphic, aplitic, 101058	310	58	229	372	5
Amphibolite, 102017	145	29	117	212	11
Tonalite to granodiorite, 101054	162	16	140	176	4
Granodiorite, 101056	236	12	222	249	4
Pegmatite, pegmatitic granite, 101061	213	39	153	285	16
Granite, fine- to medium-grained, 111058	246				1

Note: The table includes samples from other rock domains than RFM029 and RFM045.

Uniaxial compressive strength versus density

Density logging data may be used for two purposes:

1. to estimate UCS values for some rock types,
2. to determine the spatial correlation structure of UCS.

A relationship between density and thermal conductivity for igneous rocks has been observed both between rock types and within rock types at both Laxemar/Simpevarp /Sundberg et al. 2005, Wrafter et al. 2006/ and Forsmark /Back et al. 2007/.

The relationship between density and UCS for all investigated rock types is illustrated in Figure A-1(a). With the exception of pegmatite (101061) and some granite to granodiorite (101057), rock types with higher density have generally lower compressive strength. Since density is intimately related to mineral compositions, it is reasonable to suggest that mineral composition is an important controlling factor for UCS. It can be argued that this relationship should also be present within a rock type. However, the only rock types for which a relationship is clear are granodiorite to tonalite (101051) and tonalite to granodiorite (101054). For most other rock types, the density intervals are very small which makes it difficult to discern any correlation. As regards pegmatite, it is suggested here that grain contacts in this very coarse grained rock have a dominating influence on compressive strength, thereby reducing the effect of mineral composition.

If a relationship between compressive strength and density can be established for a specific rock type, then density logging data can be used to estimate the distribution of compressive strength. This is particularly useful when laboratory tests are few in number, as in the case of granodiorite to tonalite (101051). Previous studies have shown that rock type 101051 has a wide variety of compositions, from tonalite, through granodiorite to subordinate granite with densities ranging from 2,680 kg/m³ to 2,820 kg/m³ /Stephens et al. 2007, Back et al. 2007/. On a cross plot of density and UCS, it is obvious that only a restricted range of compositional types of granodiorite to tonalite (101051) are represented by the laboratory data. A relationship between density and compressive strength based on such few data is considered unreliable especially for the lower density varieties. Therefore, it was decided to combine rock types tonalite to granodiorite (101054) and granodiorite (101056) with granodiorite to tonalite (101051) and to base a relationship on values from all three rock types Figure A-1(b). This was considered reasonable since these rock types fall within the compositional range shown by granodiorite to tonalite (101051). A linear equation describes the relationship between these variables rather well.

Applied to borehole density logging data, this relationship can be used to estimate compressive strength of granodiorite to tonalite (101051) along continuous sections of boreholes. These results are described in Appendix A.4.

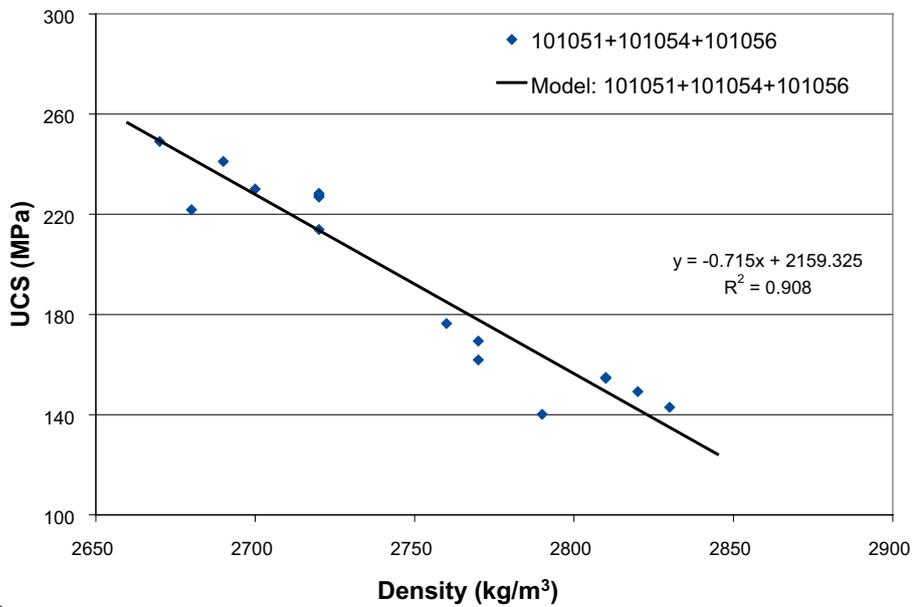
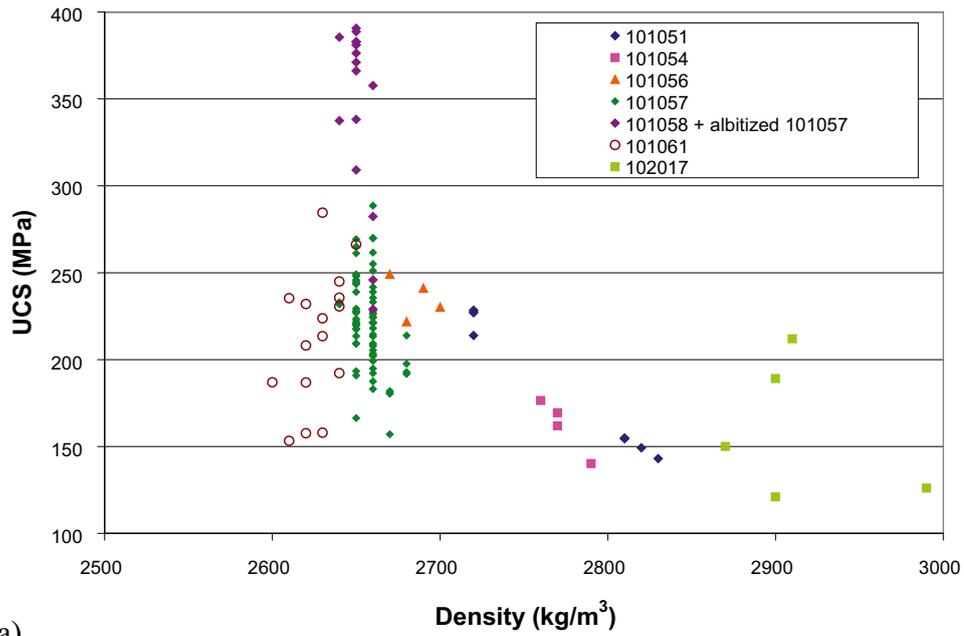


Figure A-1. Cross plot of density and UCS for a) all rock types and b) selected rock types including granodiorite to tonalite (101051). Based on linear regression, the relationship used in this study as a model to describe granodiorite to tonalite (101051). For the purposes of modelling compressive strength from density loggings, it is assumed that the established relationship is valid within the density interval 2,640–2,850 kg/m³.

A.2 Stochastic simulation of lithologies

Stochastic simulations of lithologies for rock domains RFM029 and RFM045 were performed as part of the thermal modelling stages 2.2 and 2.3 and are described in detail in the thermal modelling reports /Back et al. 2007/ and /Sundberg et al. 2008/, respectively. The output from the stochastic simulations of lithologies is used in the modelling of compressive strength. A summary is given below.

For the purpose of geological simulations, rock types with similar thermal and lithological properties were assigned to the same class. Consideration was taken of a rock type's geological properties, such as mineral composition, mode of occurrence and age. Four TRCs were defined in each rock domain. Three TRCs are common to both domains. The classification of rock types into TRCs for domain RFM029 and domain RFM045 are presented in Table A-2 and Table A-3.

Table A-2. Division of rock types into TRCs for domain RFM029. Proportions of different rock types and geological characteristics are according to /Stephens et al. 2007/ and proportions of TRCs are from /Sundberg et al. 2008/.

TRC	Rock name/code	Proportions from geological model v. 2.2 /Stephens et al. 2007/ (%)	Proportions of TRCs in output from lithological simulations (%)	Composition, mode of occurrence, etc.
57	Granite to granodiorite, 101057	74	78.9	Both felsic group B rocks. Dominating granites.
	Granite, aplitic, 101058	1		
51	Granodiorite to tonalite, 101051	5	3.8	Felsic to more intermediate group A and C rocks.
	Felsic to intermediate volcanic rock, 103076	0.4		
61	Pegmatite, pegmatitic granite, 101061	13	13.1	Both felsic group D. rocks. Late tectonic dykes, segregations, veins.
	Granite, 111058	2		
17	Amphibolite, 102017	4	4.2	Both mafic group B rocks. Dykes and small irregular bodies.
	Diorite, quartz diorite and gabbro, 101033			

Table A-3. Division of rock types into rock classes for domain RFM045. Proportions of different rock types and geological characteristics are according to /Stephens et al. 2007/ and proportions of TRCs are from lithological simulations described in /Sundberg et al. 2008/.

TRC	Rock name/code	Proportions from geological model v. 2.2 /Stephens et al. 2007/ (%)	Proportions of TRCs in output from lithological simulations (%)	Composition, mode of occurrence, etc.
58	Granite to granodiorite, 101057	18	72	Both felsic group B rocks. Dominating granites commonly affected by albitization.
	Granite, aplitic, 101058	49		
51	Granodiorite to tonalite, 101051	9	9	Felsic to more intermediate group A and C rocks.
	Felsic to intermediate volcanic rock, 103076	1		
61	Pegmatite, pegmatitic granite, 101061	14	13	Both felsic group D rocks. Late tectonic dykes, segregations, veins
	Granite, 111058	1		
17	Amphibolite, 102017	6	6	Both mafic group B rocks. Dykes and small irregular bodies.
	Diorite, quartz diorite and gabbro, 101033			

In order to capture the lithological and structural heterogeneity present within a rock domain, borehole sections were divided into more homogenous groups. Models were constructed for each group of boreholes, and separate simulations were performed for each group or “sub-domain”. Both domain RFM029 and RFM045 were modelled by two subdomains. The basis for these subdivisions are described in /Back et al. 2007/ and /Sundberg et al. 2008/.

The proportions of TRCs in the output of the lithological simulations are compared with proportions estimated by geologists /Stephens et al. 2007/ in Table A-2 and Table A-3. The proportions of subordinate rocks are somewhat lower than in the geological model. The main reasons for these discrepancies are: 1) slightly different sets of boreholes were used by the different modelling teams, 2) the borehole data was processed in different ways, and 3) in the case of subdomain B in domain RFM045, the way in which T-PROGS (the software used for lithological simulations) interpreted the borehole data has produced some error. The effect of these differences on the UCS model is judged to be small.

Stochastic simulations of geology were performed with a resolution of 1 m and a simulated rock volume of 50 x 50 x 50 m. One hundred realisations of geology for each rock domain were selected for the purpose of modelling UCS. Table A-4 shows the number of realisations representing the subdomains within each domain. The number of realisations selected for each subdomain reflects their volumetric importance, which was based on judgements made in consultation with the geological modelling team.

Visualizations of example geology realisations for domain RFM029 and domain RFM045 are presented in Appendix A.8.

Table A-4. Geological realisations representing each subdomain. Brief description of geology, based on /Back et al. 2007, Sundberg et al. 2008/.

Rock domain	Realisation interval	Distinguishing features
RFM029		
Subdomain Internal	1 – 67	Dominated by lineation and elongate bodies of subordinate rocks.
Subdomain Marginal	68 – 100	Dominated by foliation and flattened bodies of subordinate rocks.
RFM045		
Subdomain A	1 – 67	Dominated by granitoids and small bodies of subordinate rocks.
Subdomain B	68 – 100	Lithologically mixed with large bodies of mafic rock.

A.3 Spatial statistical models of UCS

Two types of model are required for each TRC: a statistical distribution model and a model describing spatial correlation or dependence. Statistical distribution models of UCS for each TRC at 0.1 m resolution are presented below.

Statistical distribution models – 0.1 m resolution

A statistical distribution model is fitted to the histogram of UCS for each TRC. This is performed by smoothing the histogram with the smoothing algorithm in the geostatistical software GSLIB /Deutsch and Journel 1998/. This algorithm uses a simulated annealing procedure that honours the sample statistics.

TRC 57

TRC 57 is dominated by granite to granodiorite (101057) and makes up approximately 75% of rock domain RFM029. Data values (n=74) used to define TRC 57 are based on fresh samples of granite to granodiorite (101057), see Figure A-2. Albitized samples are excluded. The statistical distribution model for this TRC is based on smoothing of the data histogram; Figure A-2.

Minimum and maximum values of compressive strength were set to 150 MPa and 320 MPa respectively, which is slightly outside the range of the observations. Based on studies of other granitoid rocks, values lower than 150 MPa are judged unlikely.

TRC 58

TRC 58 makes up approximately two-thirds of the rock volume in domain RFM045. It is dominated by albitized granite (101058), but also comprises a significant proportion of albitized granite to granodiorite (101057). The latter is distinguished from non-albitized granite to granodiorite (101057) by its higher UCS values. A histogram of the available data (10 of 101057 and 5 of 101058) is shown in Figure A-3. The samples were taken in spatially clustered groups, so the representativity must be considered rather poor. Declustering has little effect on the mean or standard deviation. Therefore, the uncensored data set was used. Despite the low number of samples, it is clear that the relatively high UCS values distinguish this TRC clearly from other TRCs. A model based on smoothing of the data histogram with arbitrarily chosen min and max values of 200 MPa and 440 MPa is shown in Figure A-3. It should be said, however, that such a model is highly uncertain.

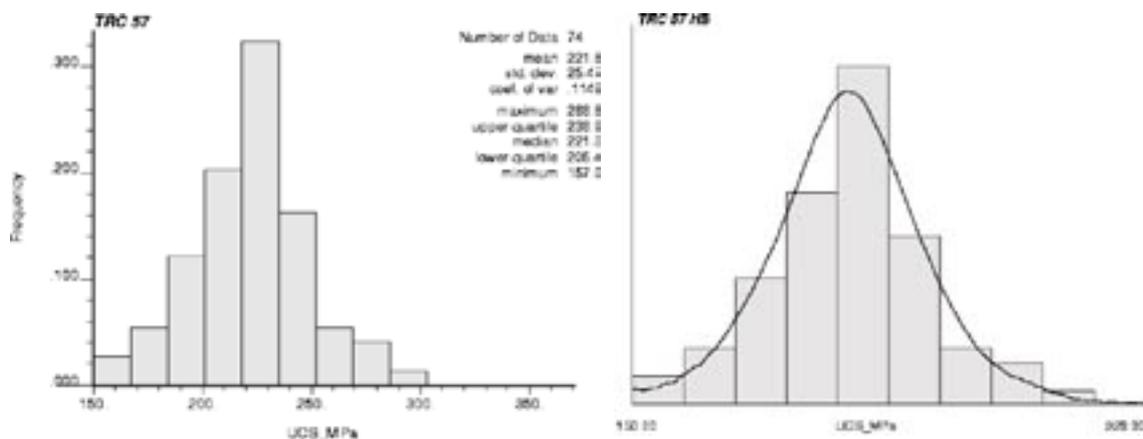


Figure A-2. Left: Histogram and statistics of uniaxial compressive strength for TRC 57 based on laboratory measurements of drill core samples. Right: Statistical distribution model of uniaxial compressive strength for TRC 57 based on smoothing of the data histogram.

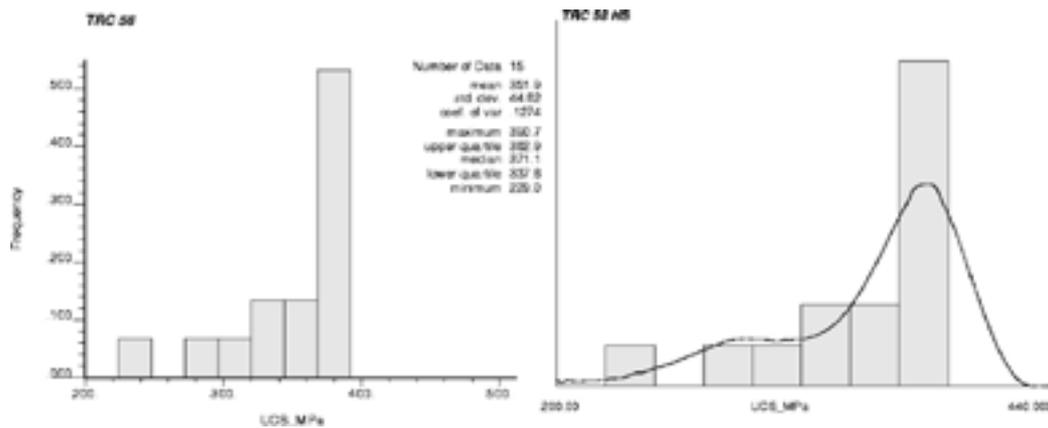


Figure A-3. Left: Histogram and statistics of uniaxial compressive strength for TRC 58 based on laboratory measurements of drill core samples. Right: Statistical distribution model of uniaxial compressive strength for TRC 58 based on smoothing of the data histogram.

TRC 61

TRC 61, which comprises pegmatite and pegmatitic granite (101061) and granite (111058), makes up approximately 15% of the rock mass in both domains RFM029 and RFM045. Pegmatite (101061) is the dominant rock type in this class. A histogram of the 17 data values, 16 of which are pegmatite (101061), is shown in Figure A-4. The statistical distribution model based on smoothing of the data histogram is shown in Figure A-4. Minimum and maximum values of compressive strength were set to 130 MPa and 320 MPa respectively, which is slightly outside the range of the observations. Again, there is quite a high degree of uncertainty in the model.

TRC 17

TRC 17 is dominated by amphibolite (102017) which occurs as a minor rock in both domains RFM029 and RFM045. A histogram of the available data ($n=11$) is shown in Figure A-5. The samples were taken in spatially clustered groups so the representativity must be considered rather poor. Declustering has little effect on the mean or standard deviation. Therefore, the uncensored data set was used. Despite the low number of samples, it can be stated that the relatively low UCS values distinguishes this TRC clearly from other TRCs. A model based on smoothing of the data histogram with min and max values of 100 MPa and 240 MPa is proposed; see Figure A-5. A distribution model based on such few data is naturally highly uncertain.

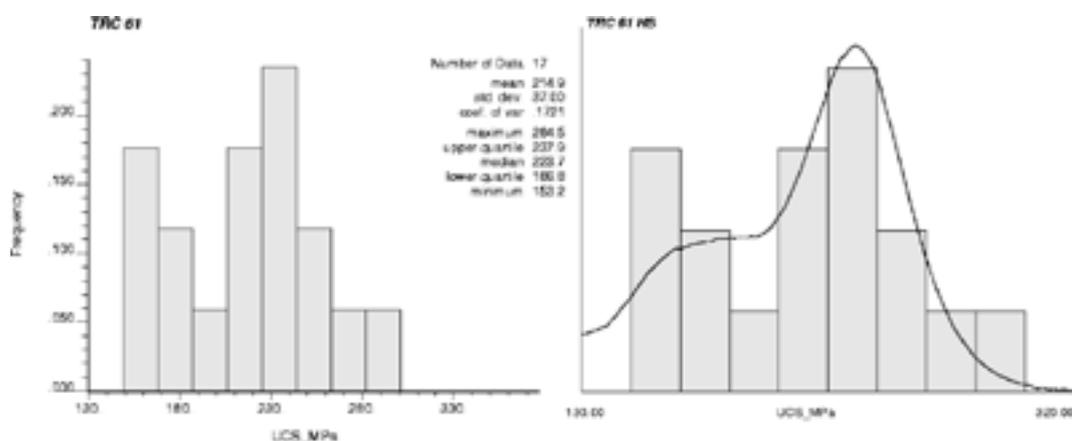


Figure A-4. Left: Histogram and statistics of uniaxial compressive strength for TRC 61 based on laboratory measurements of drill core samples. Right: Statistical distribution model of uniaxial compressive strength for TRC 61 based on smoothing of the data histogram.

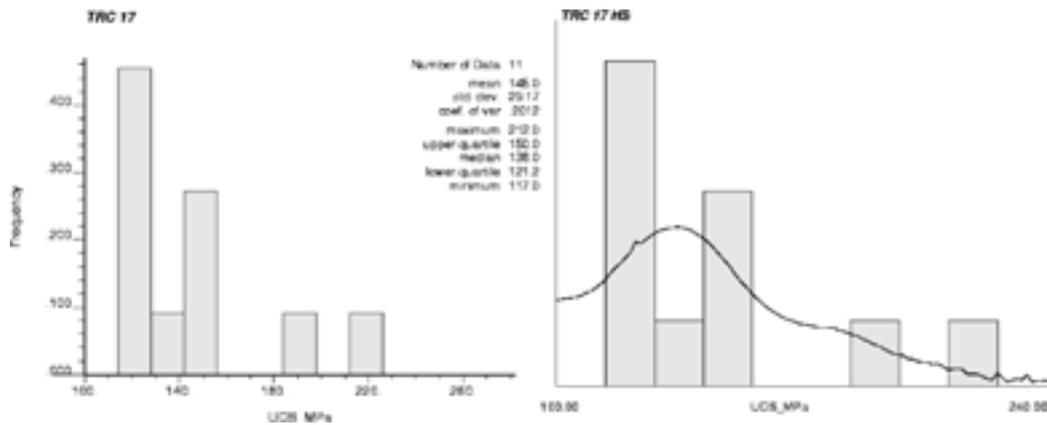


Figure A-5. Left: Histogram and statistics of uniaxial compressive strength for TRC 17 based on laboratory measurements of drill core samples. Right: Statistical distribution model of uniaxial compressive strength for TRC 17 based on smoothing of the data histogram.

TRC 51

TRC 51 is dominated by rock type granodiorite to tonalite (101051), and is present in both domain RFM029 and domain RFM045. A relationship between density and compressive strength was established for this rock type in section A.1. This relationship can be used to calculate compressive strength values from borehole density data, which is particularly useful in this case, since laboratory data are far too few to define reliable statistical distribution models Figure A-6. Borehole density logging data, recorded at 0.1 m intervals, indicates that this rock type comprises at least three distinct compositional variants, ranging from tonalite to granodiorite to subordinate granite. Therefore, it was decided to create three separate models, one for each compositional variety. This compositional variability has been recognised by the geological modelling team, although subdivision on the basis of density has not been attempted /Stephens et al. 2007/.

The borehole occurrences of rock type 101051 were assigned to one of three groups based on their density ranges; see Table A-5. Individual bodies of this rock type are rather homogenous with respect to density. For each group, density logging values were used to calculate compressive strength based on the relationship referred to above. The distributions based on these calculations are associated with rather large uncertainties. Statistical distribution models of compressive strength for each variety are based on smoothing of these histograms (Figure A-7 to Figure A-9).

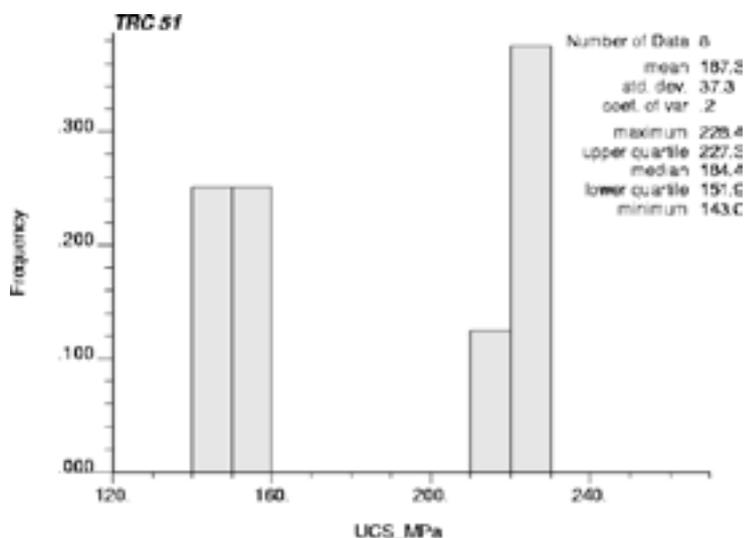


Figure A-6. Histogram and statistics of uniaxial compressive strength data for granodiorite to tonalite (101051) based on laboratory measurements of drill core samples.

Table A-5. Division of granodiorite to tonalite (101051) into subtypes based on density, and mean and standard deviation of UCS for each subtype. UCS calculated from density loggings using relationship defined in section A.1. Data was collected at 0.1 m intervals.

	Grp A: low density (2,640–2,720 kg/m ³)	Grp B: medium density (2,680–2,760 kg/m ³)	Grp C: high density (> 2,750 kg/m ³)
Mean	245	215	148
Std. dev.	13.1	14.2	16.9
Proportions of each subtype based on density logs	50%	30%	20%

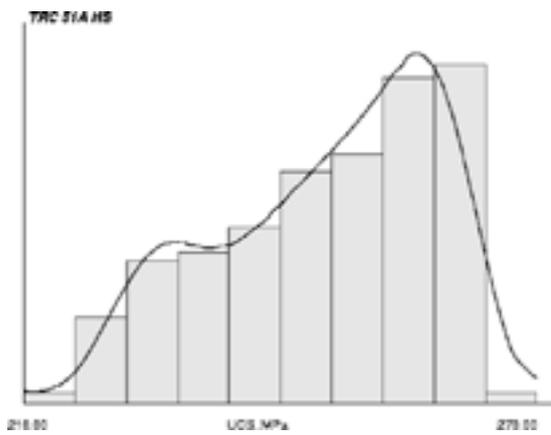


Figure A-7. Histogram of uniaxial compressive strength for TRC 51A based on calculations from density logs. Statistical distribution model based on smoothing of the data histogram. Minimum value = 210 MPa; maximum value = 270 MPa.

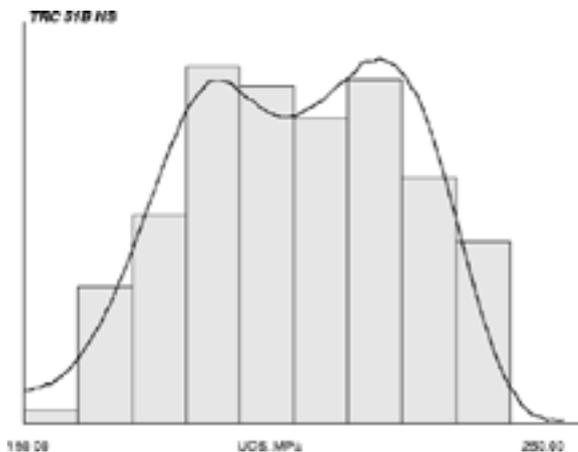


Figure A-8. Histogram of uniaxial compressive strength for TRC 51B based on calculations from density logs. Statistical distribution model based on smoothing of the data histogram. Minimum value = 180 MPa; maximum value = 250 MPa.

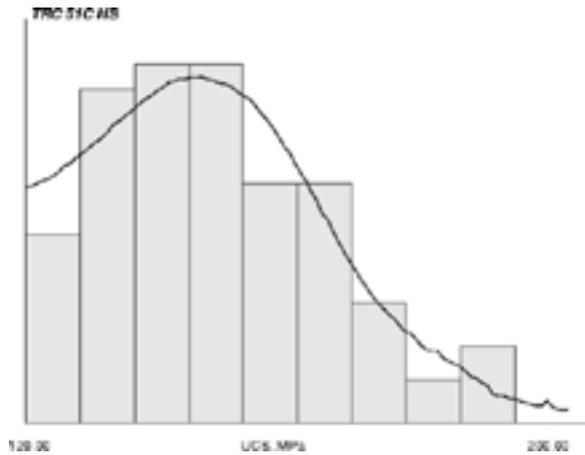


Figure A-9. Histogram of uniaxial compressive strength for TRC 51C based on calculations from density logs. Statistical distribution model based on smoothing of the data histogram. Minimum value = 120 MPa; maximum value = 200 MPa.

A.4 Stochastic simulation of UCS

For each TRC, statistics of compressive strength based on results of the simulations are summarised in Table A-6. Statistics of the measured data are shown for comparison. The simulations at 0.1 m resolution have reproduced the means and standard deviations observed in the measured data. Table A-6 also shows the variance reduction after averaging within 1 m cubes.

The variogram models for the 1 m resolution are modified from the models used for the 0.1 m resolution according to the principles explained in /Back et al. 2007/ (Table A-7).

Table A-6. Uniaxial compressive strength statistics for all simulated TRCs.

TRC	Laboratory samples		0.1 m simulations		1m values ¹⁾		Variance reduction ²⁾	1m simulations ³⁾	
	MPa		MPa		MPa			%	MPa
	Mean	St. dev.	Mean	St. dev.	Mean	St. dev.	Mean		St. dev.
17	145	29	145	29	145	22	42%	144	20
51A	245	13	245	13	245	8	61%	245	8
51B	215	14	215	14	215	9	60%	215	8
51C	148	17	148	17	148	11	58%	147	10
57	222	25	221	25	221	20	40%	221	19
58	352	45	354	45	354	30	56%	353	29
61	215	37	215	37	215	25	54%	215	23

1) A "1 m value" refers to the mean of all simulated 0.1 m values within a 1 m cube.

2) Proportion by which the variance of 0.1 m values is reduced on averaging the 0.1 m values to 1 m values.

3) Simulated values – 1 m resolution.

Table A-7. Variogram parameters for modelling at 1 m resolution for each TRC.

TRC	Nugget	Range	Model
57	0	25 m	Exponential
58	0	3m/50 m	Nested model: exponential/Gaussian
61	0	15 m	Spherical
51A	0	6 m	Spherical
51B	0	6 m	Spherical
51B	0	8 m	Spherical
17	0	25 m	Spherical

A.5 Variogram model reproduction

1 m simulations

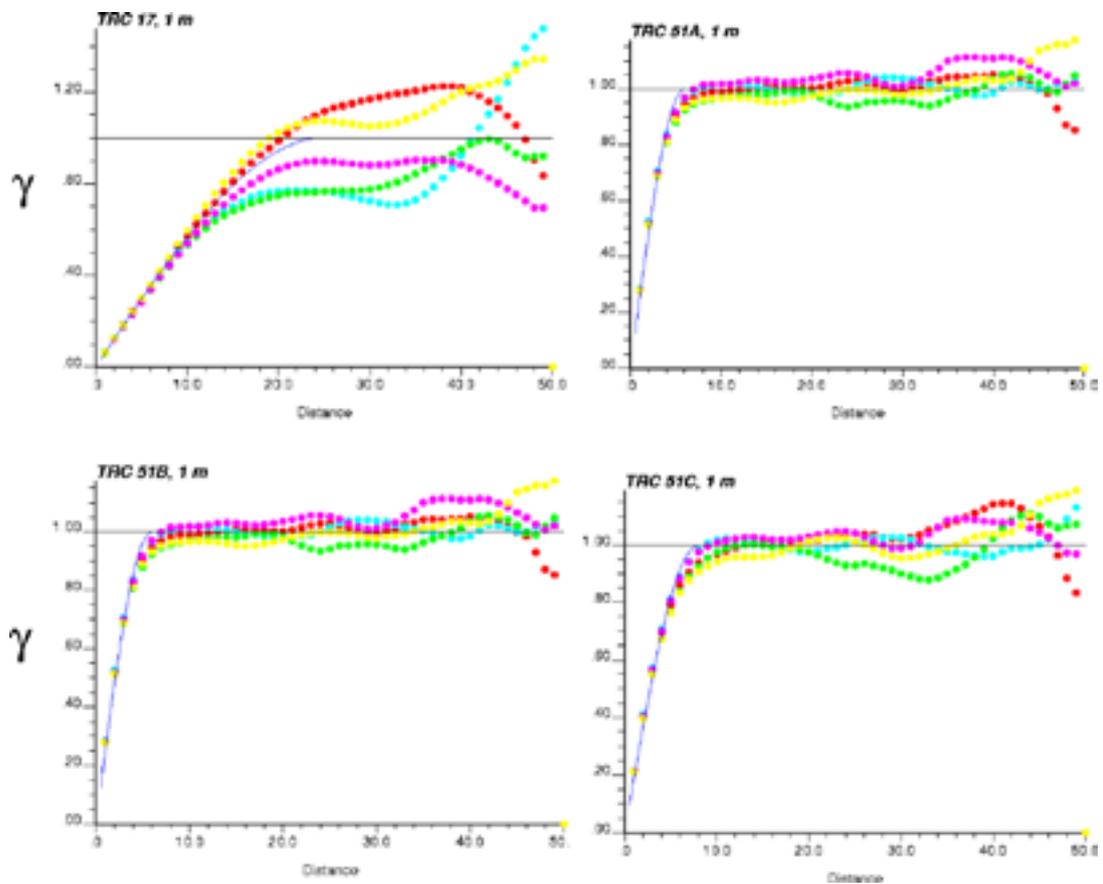


Figure A-10. Comparison of the variogram model (blue line) and variograms from 5 independent realisations for different TRCs. Lag distance (x axis) in metres (m). Variogram is standardised to the variance of the simulated data. Horizontal black line corresponds to the sill of the variogram model.

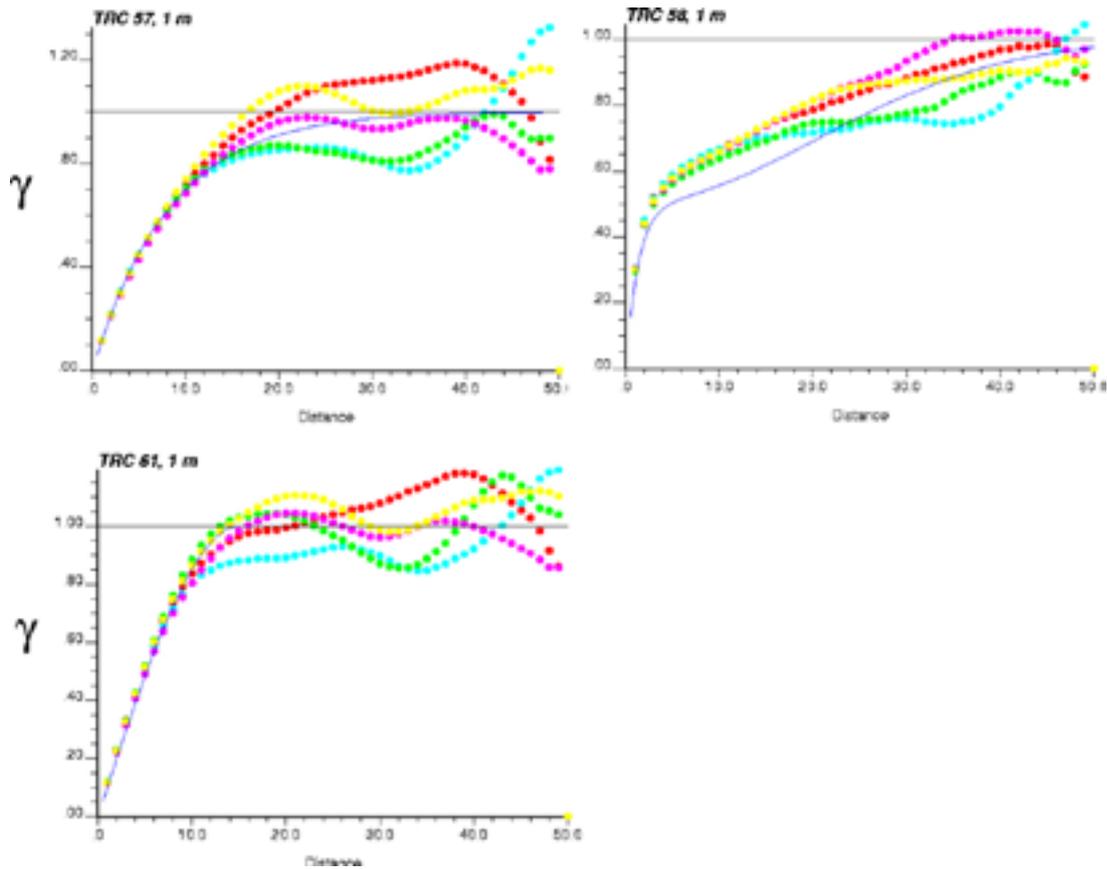


Figure A-11. Comparison of the variogram model (blue line) and variograms from 5 independent realisations for different TRCs. Lag distance (x axis) in metres (m). Variogram is standardised to the variance of the simulated data. Horizontal black line corresponds to the sill of the variogram model.

A.6 Histograms of simulated UCS values for each TRC

The histograms below are based on simulated UCS values from 100 realisations of simulations with “1 m” resolution.

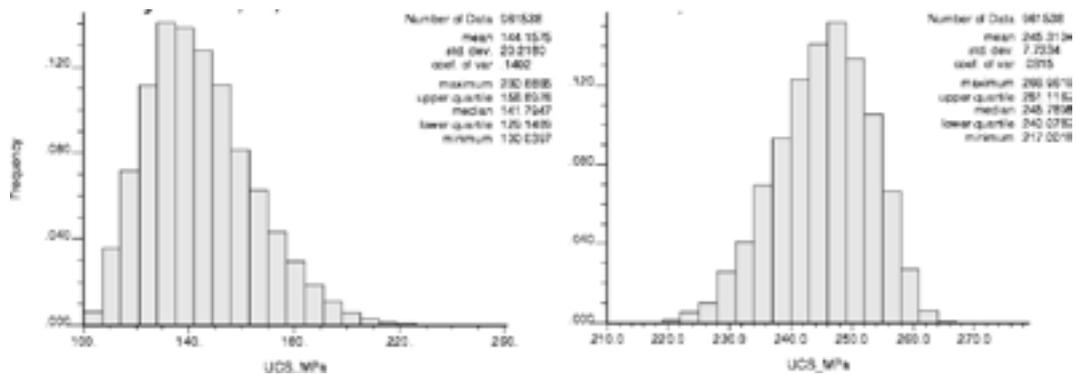


Figure A-12. Histograms of simulation results of TRC 17 (left) and TRC 51A (right) at 1 m resolution based on 100 realisations.

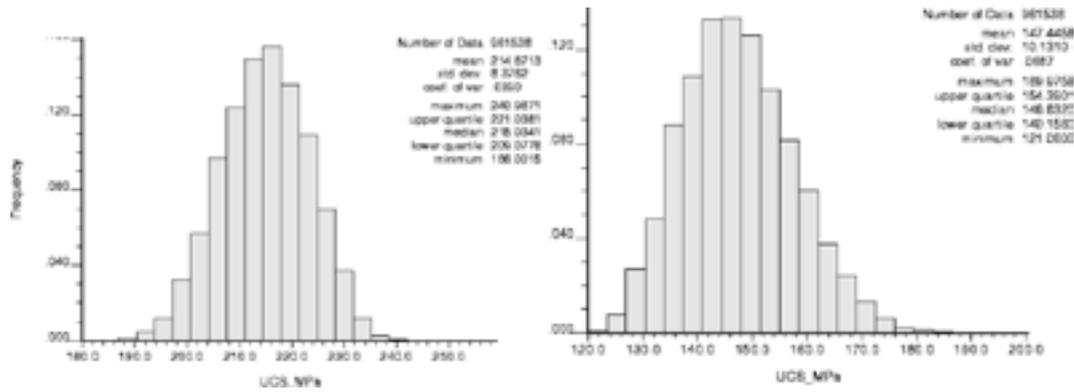


Figure A-13. Histograms of simulation results of TRC 51B (left) and TRC 51C (right) at 1 m resolution based on 100 realisations.

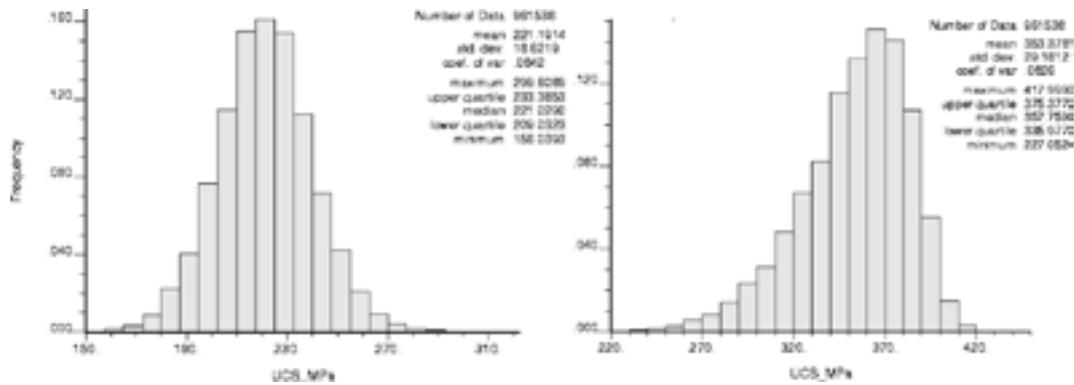


Figure A-14. Histograms of simulation results of TRC 57 (left) and TRC 58 (right) at 1 m resolution based on 100 realisations.

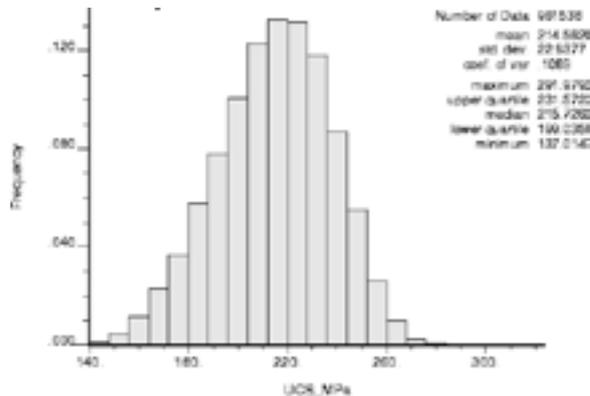


Figure A-15. Histogram of simulation results of TRC 61 at 1 m resolution based on 100 realisations.

A.7 Visualisation of UCS realisations for each TRC

In this appendix, example realisations of compressive strength for each TRC are presented in 2D for simulations with a resolution of 1 m. All 2D-realizations represent a slice in the centre of a 3D cube. For example, the 25th slice of the xy-plane is the 25th slice that can be cut in the z-direction (there are 50 slices). Distance is in metres.

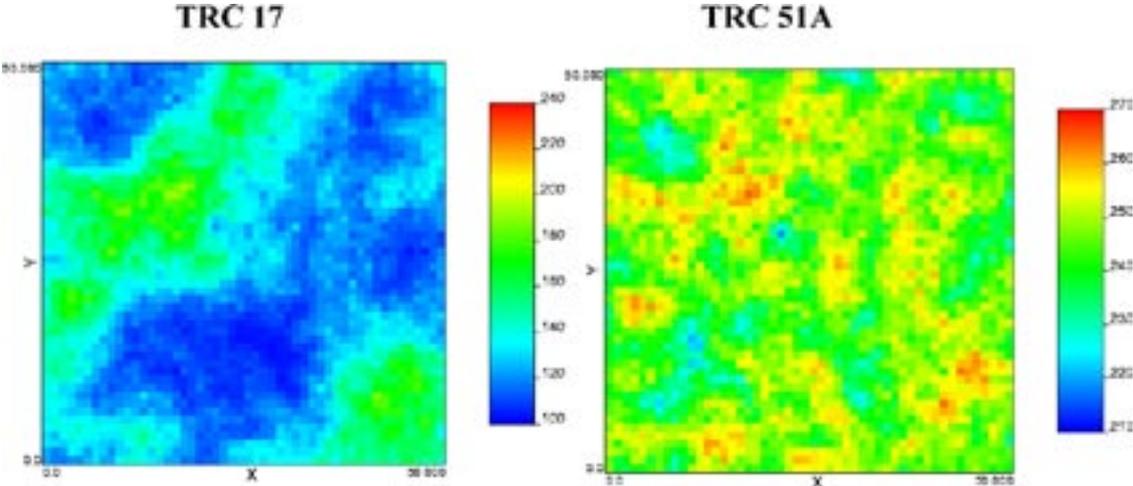


Figure A-16. 2D slices from 3D realisations (resolution = 1 m) illustrating the distribution of compressive strength values (MPa) in TRC 17 and TRC 51A. Realisation=1, Slice=25, xy-plane. Distance is in metres.

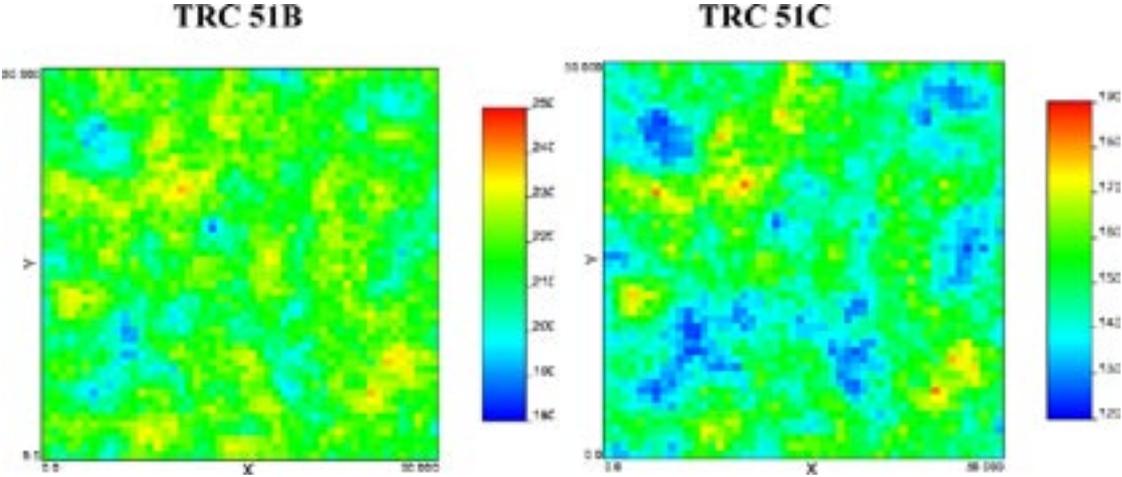


Figure A-17. 2D slices from 3D realisations (resolution = 1 m) illustrating the distribution of compressive strength values (MPa) in TRC 51B and TRC 51C. Realisation=1, Slice=25, xy-plane. Distance is in metres.

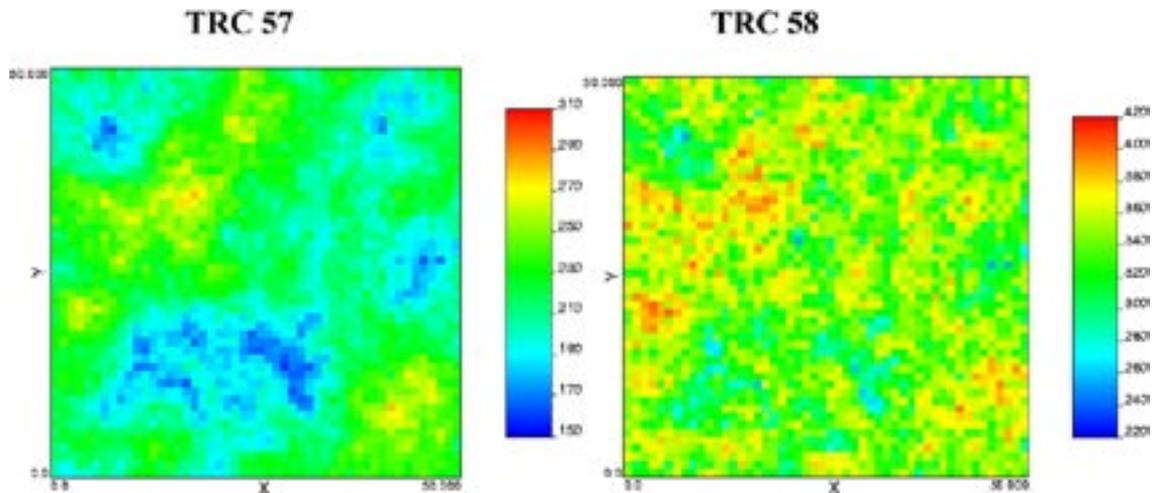


Figure A-18. 2D slice from one 3D realisation (resolution = 1 m) illustrating the distribution of compressive strength values (MPa) in TRC 57 and TRC 58. Realisation=1, Slice=25, xy-plane. Distance is in metres.

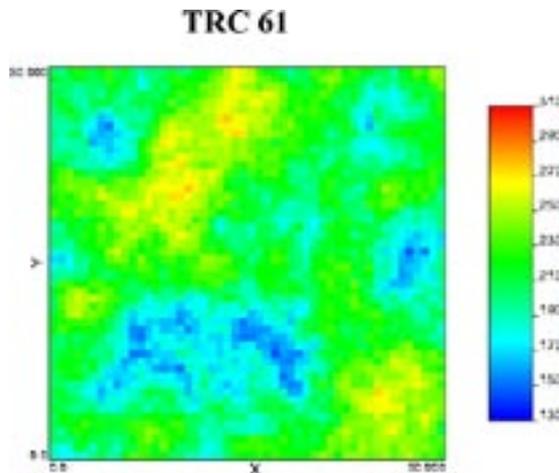


Figure A-19. 2D slice from one 3D realisation (resolution = 1 m) illustrating the distribution of compressive strength values (MPa) in TRC 61. Realisation=1, Slice=25, xy-plane. Distance is in metres.

A.8 Visualisations of UCS realisations for rock domains

In this appendix, example realisations of UCS and the corresponding realisations of TRCs are presented in 2D for simulations with a resolution of 1 m for rock domains RFM029 and RFM045. All 2D-realizations represent a slice in the centre of a 3D cube. For example, the 25th slice of the xy-plane is the 25th slice that can be cut in the z-direction (there are 50 slices). Distance is in metres.

Domain RFM029

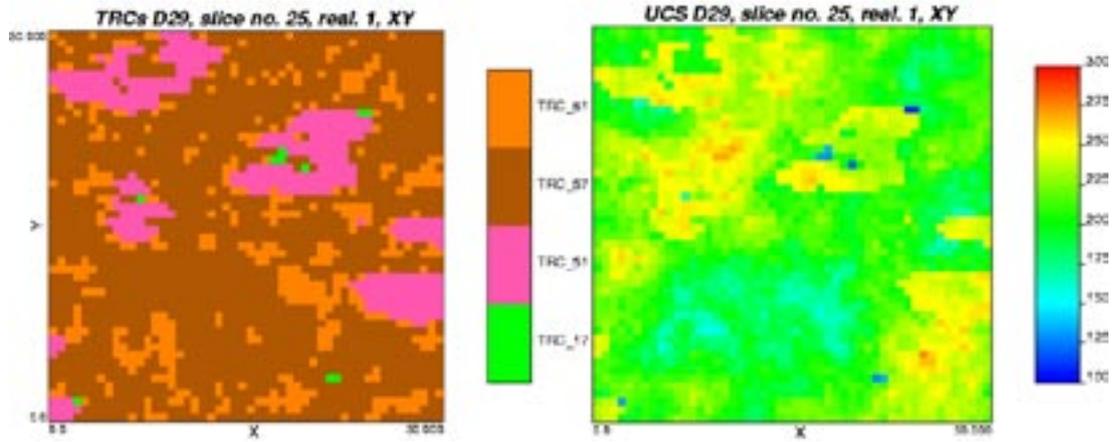


Figure A-20. 2D slice from one 3D realisation (resolution = 1 m) illustrating the distribution of rock classes (left) and uniaxial compressive strength in MPa (right). Realisation = 1, Slice=25, xy-plane. Distance is in metres.

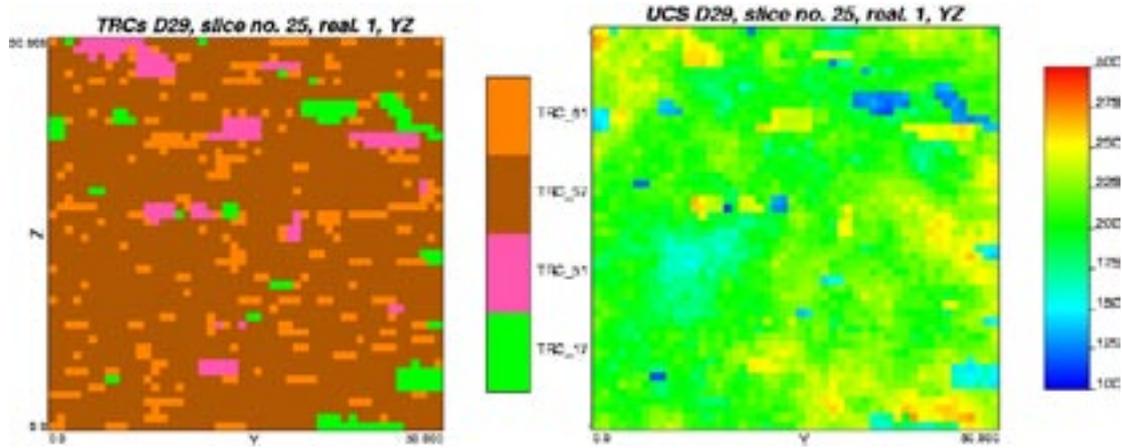


Figure A-21. 2D slice from one 3D realisation (resolution = 1 m) illustrating the distribution of rock classes (left) and uniaxial compressive strength in MPa (right). Realisation = 1, Slice=25, yz-plane. Distance is in metres.

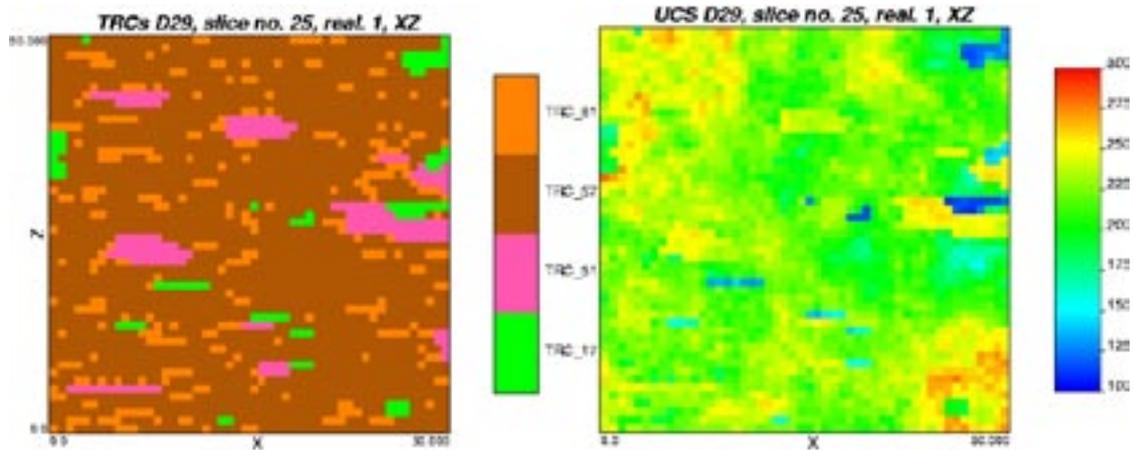


Figure A-22. 2D slice from one 3D realisation (resolution = 1 m) illustrating the distribution of rock classes (left) and uniaxial compressive strength in MPa (right). Realisation = 1, Slice=25, xz-plane. Distance is in metres.

Domain RFM045

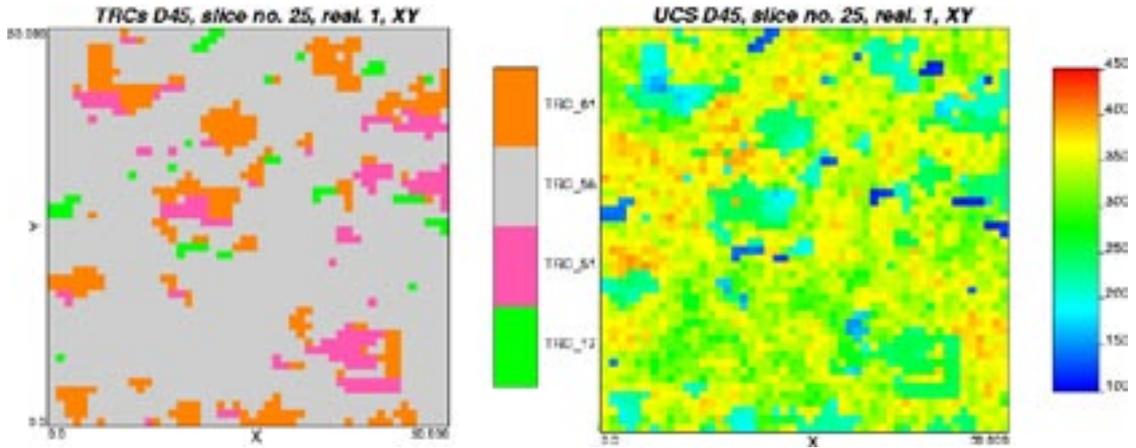


Figure A-23. 2D slice from one 3D realisation (resolution = 1 m) illustrating the distribution of rock classes (left) and uniaxial compressive strength in MPa (right) in subdomain A1 – domain RFM045. Realisation = 1, Slice=25, xy-plane. Distance is in metres.

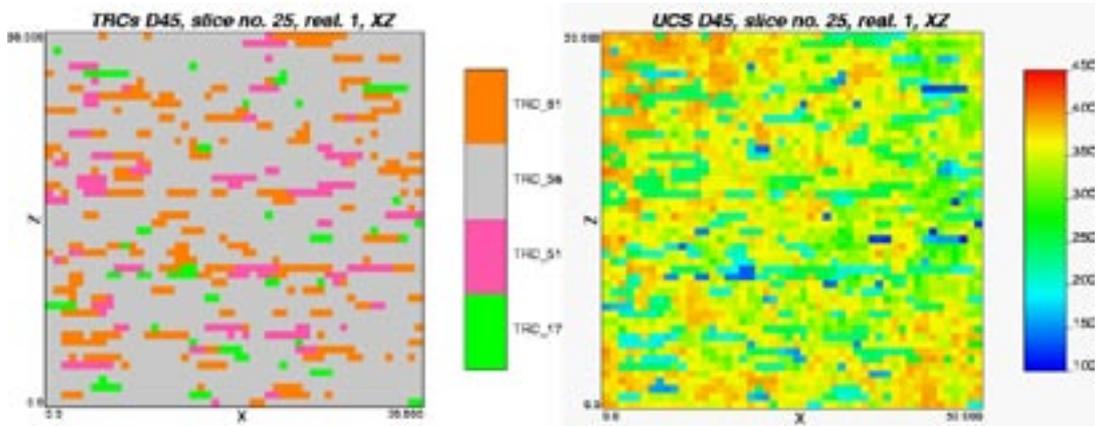


Figure A-24. 2D slice from one 3D realisation (resolution = 1 m) illustrating the distribution of rock classes (left) and uniaxial compressive strength in MPa (right) in subdomain A1 – domain RFM045. Realisation = 1, Slice=25, xz-plane. Distance is in metres.

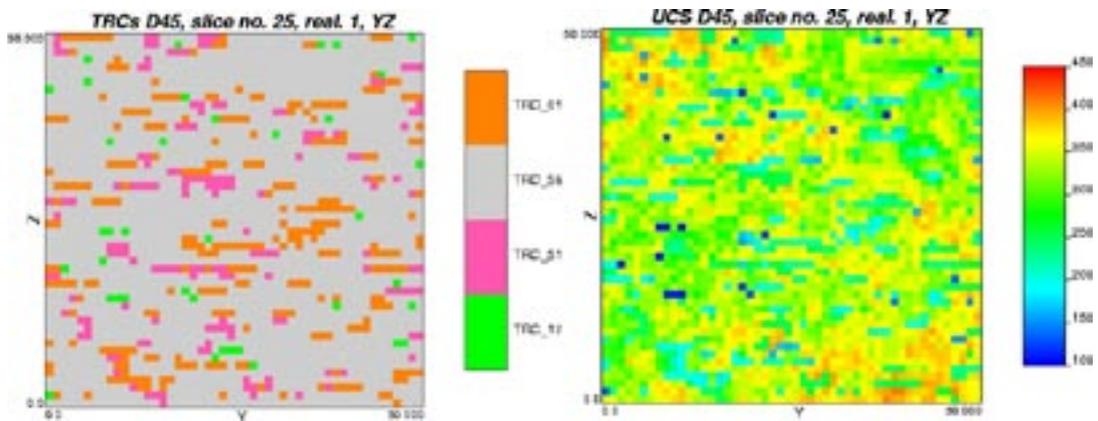


Figure A-25. 2D slice from one 3D realisation (resolution = 1 m) illustrating the distribution of rock classes (left) and uniaxial compressive strength in MPa (right) in subdomain A1 – domain RFM045. Realisation = 1, Slice=25, yz-plane. Distance is in metres.

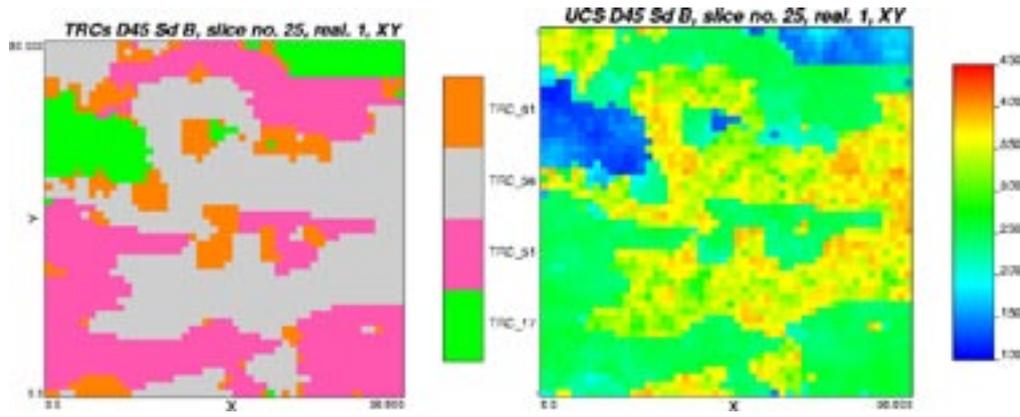


Figure A-26. 2D slice from one 3D realisation (resolution = 1 m) illustrating the distribution of rock classes (left) and uniaxial compressive strength in MPa (right) in subdomain B – domain RFM045. Realisation = 1, Slice=25, xy-plane. Distance is in metres.

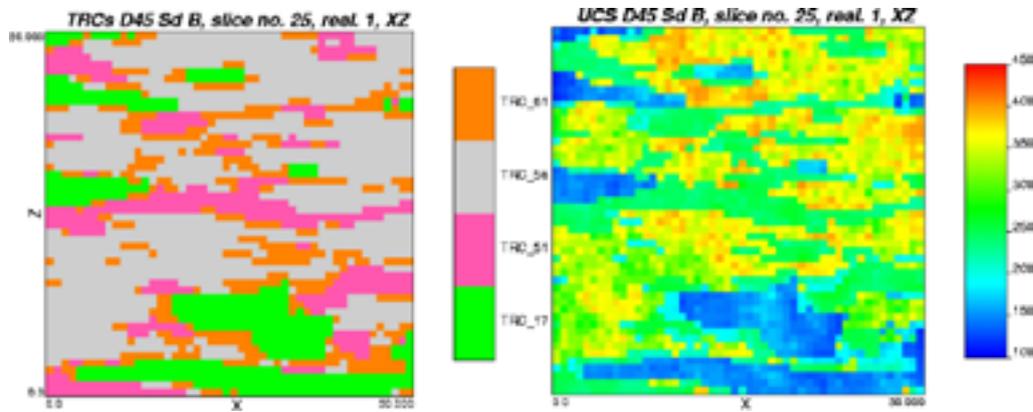


Figure A-27. 2D slice from one 3D realisation (resolution = 1 m) illustrating the distribution of rock classes (left) and uniaxial compressive strength in MPa (right) in subdomain B – domain RFM045. Realisation = 1, Slice=25, xz-plane. Distance is in metres.

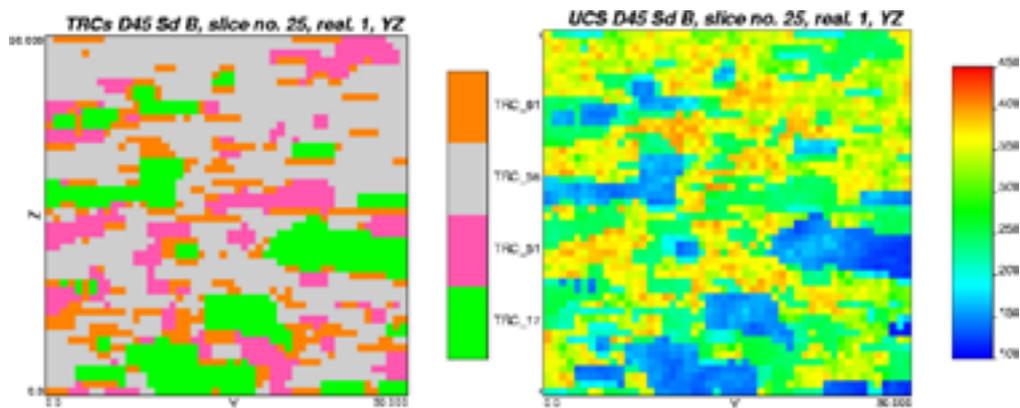


Figure A-28. 2D slice from one 3D realisation (resolution = 1 m) illustrating the distribution of rock classes (left) and uniaxial compressive strength in MPa (right) in subdomain B – domain RFM045. Realisation = 1, Slice=25, yz-plane. Distance is in metres.

Results from empirical modelling

B.1 Comparison of RMR and Q with fracture frequency

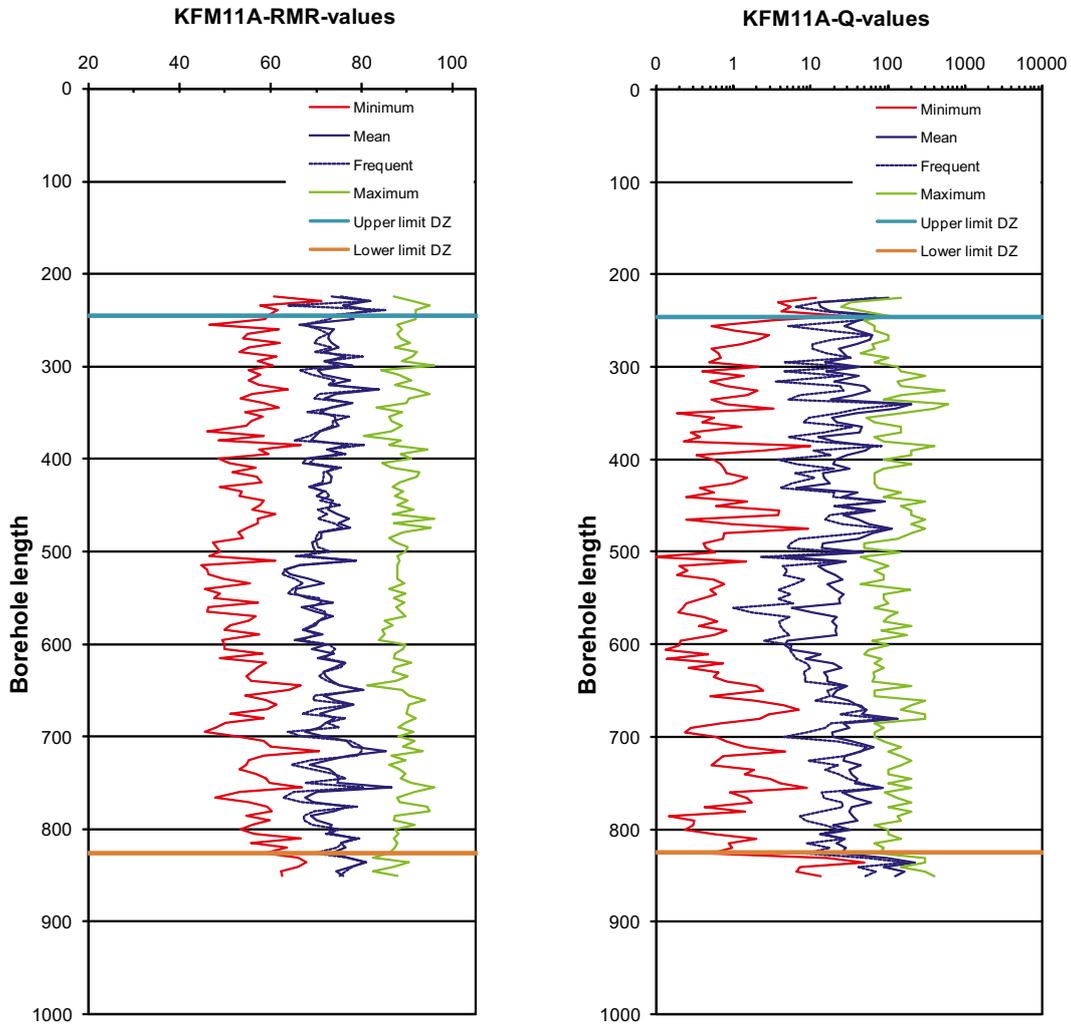


Figure B-1. KFM11A. RMR and Q values obtained for characterization at 5 m sections along KFM11A. The minimum possible, mean, frequent and maximum possible values are shown. The horizontal lines represent the limit of the Singö deformation zone.

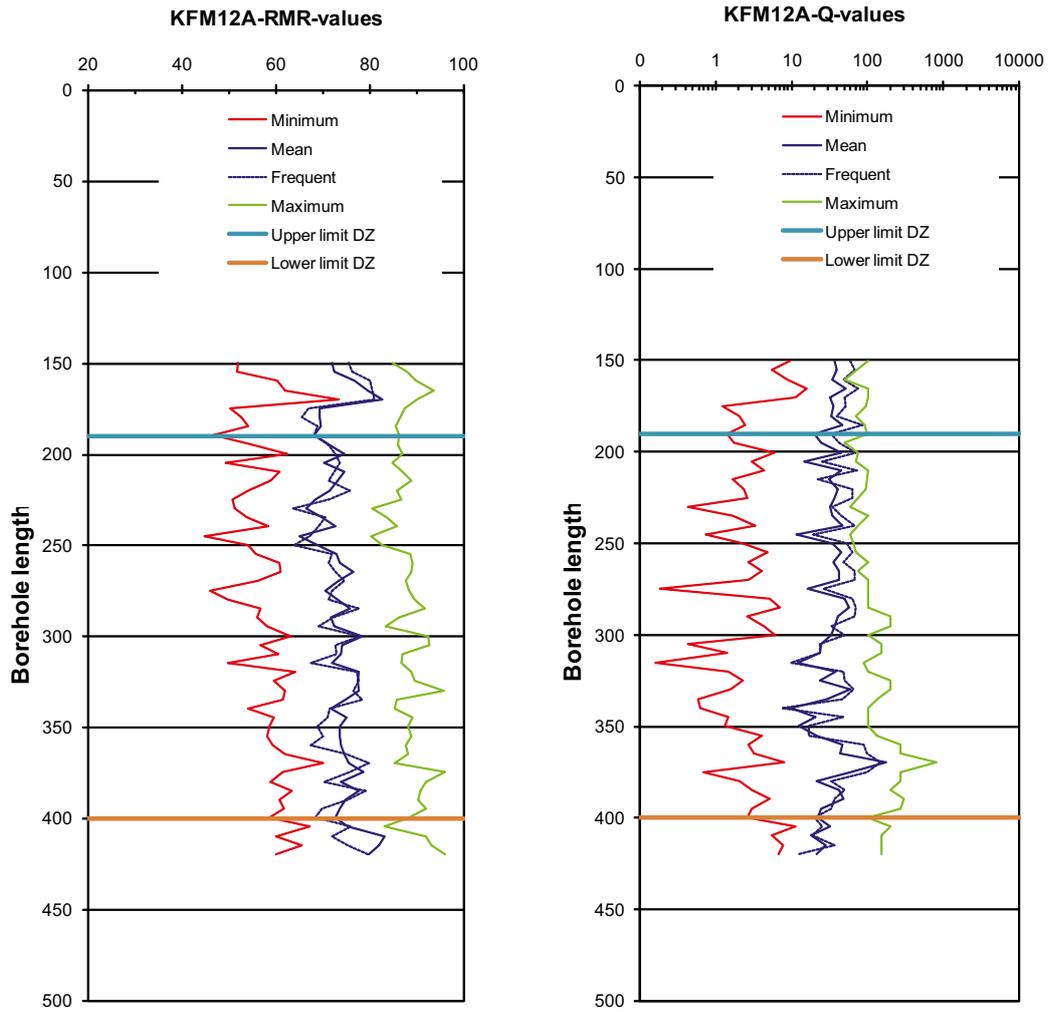


Figure B-2. KFM12A. RMR and Q values obtained for characterization at 5 m sections along KFM12A. The minimum possible, mean, frequent and maximum possible values are shown. The horizontal lines represent the limit of the Forsmark deformation zone.

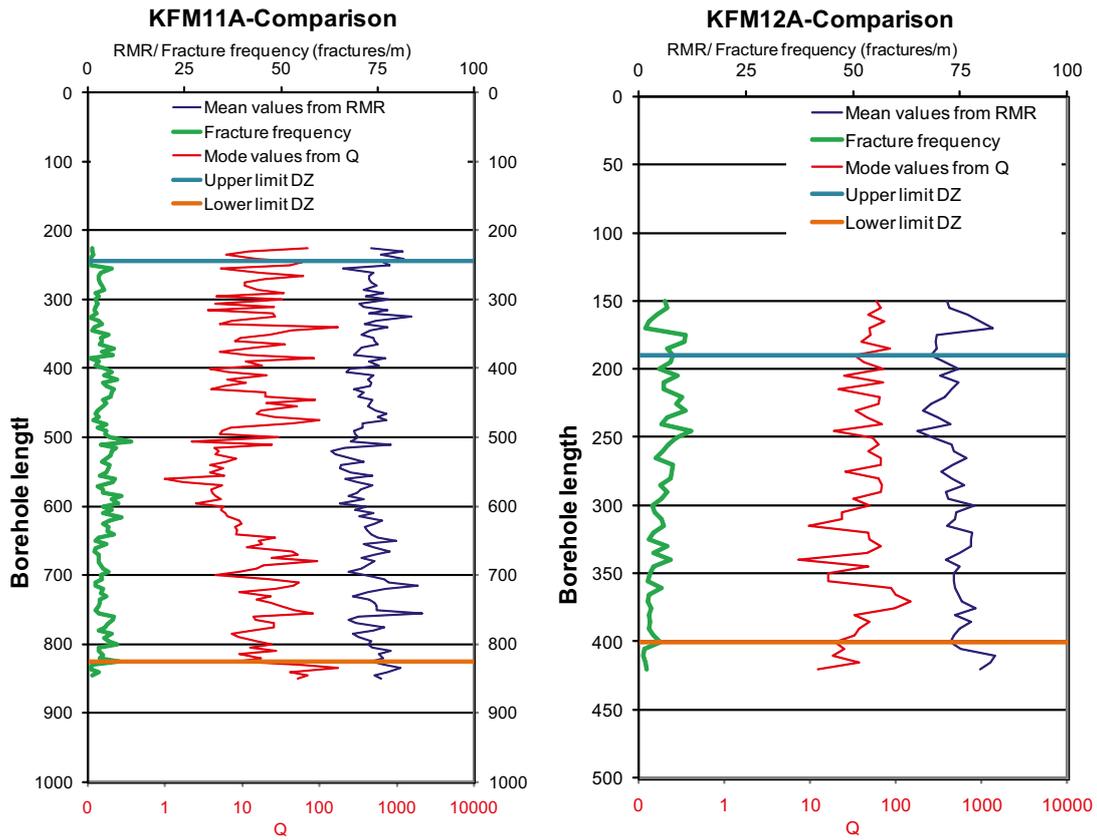


Figure B-3. Comparison between mean RMR and mode Q values together with fracture frequency (fractures/m) obtained for characterization at 5 m sections along KFM11A and KFM12A, respectively. The fracture frequency is the sum of the fractures classified as open and partly open fractures.

B.2 Variation of rock mass properties along the boreholes

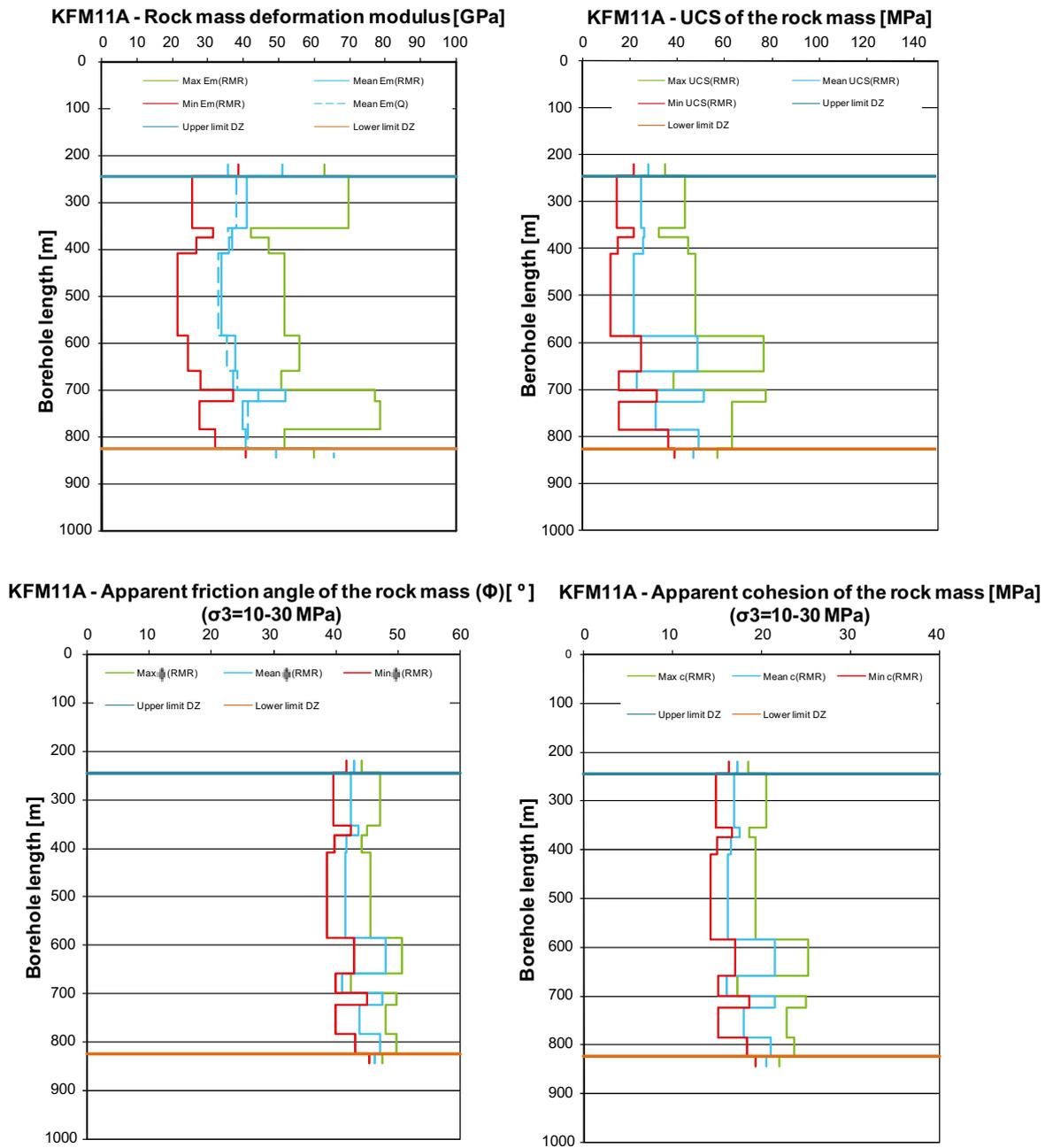


Figure B-4. KFM11A. Variation of the deformation modulus, uniaxial compressive strength, apparent friction angle and apparent cohesion of the rock mass with depth. The minimum, mean and maximum values are shown. The horizontal lines represent the limit of the Singö deformation zone.

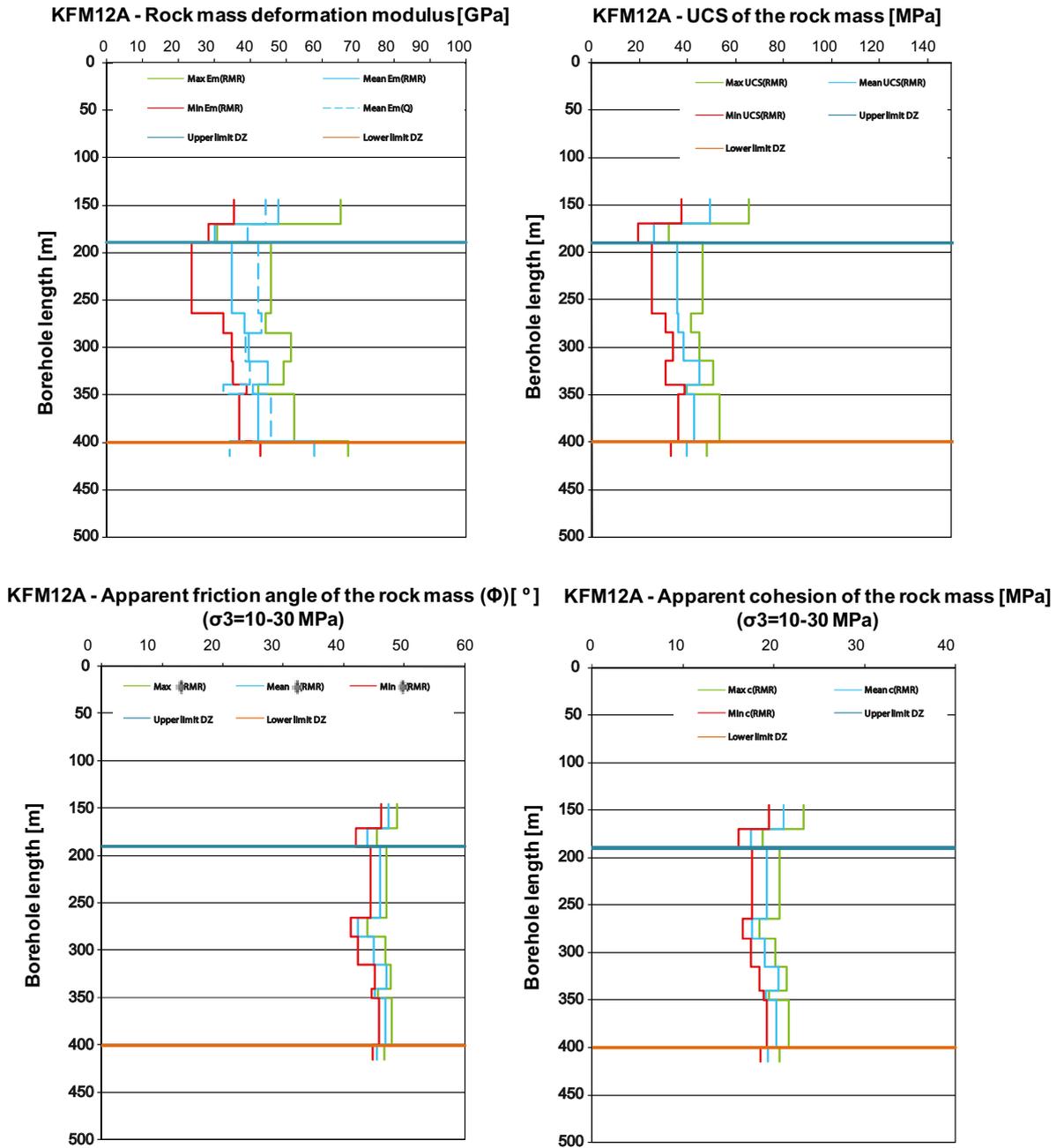


Figure B-5. KFM12A. Variation of the deformation modulus, uniaxial compressive strength, apparent friction angle and apparent cohesion of the rock mass with depth. The minimum, mean and maximum values are shown. The horizontal lines represent the limit of the Forsmark deformation zone.

Data delivery from Sicada

The date of delivery of the input data from Sicada in the rock mechanics modelling is presented in Table C-1.

Table C-1. Date of delivery of input data from Sicada in the rock mechanics modelling.

Parameter	Activity	Sicada delivery	Date of delivery from Sicada
Dir_sheartest_sealed	RM117 – Shear test	Sicada-07-028 (0:1)	2007-02-09
Dir_shear test_open	RM117– shear test	Sicada-07-028 (0:1)	2007-02-09
Tilt_test	RM118 – Tilt test	Sicada-07-028 (0:2)	2007-02-09
Strength_uniaxial	RM113 – Strength_uniaxial	Sicada-06-239	2006-10-06
Strength_uniaxial Poisson_and_young	RM113 – Poisson_and_young	Sicada_06-239	2006-10-06
Strength_triaxial	RM115 – Strength_triaxial	Sicada_06-239	2006-10-06
Strength_triaxial Poisson_and_young	RM115 – Poisson_and_young	Sicada_06-239	2006-10-06
Tensile Strength brazil_test	RM110 – Tensile Strength brazil_test	Sicada_06-239	2006-10-06
P-wave	RM100 – P-wave	Sicada_06-239	2006-10-06
KFM01A	GE041 and GE300	Sicada_03_76	2003-08-12
KFM02A	GE041 and GE300	Sicada_04_17	2004-01-22
KFM03A	GE041 and GE300	Sicada_04_46 and Sicada_04_68	2004-03-05 and 2004-04-01
KFM04A	GE041 and GE300	Sicada_04_117	2004-05-25
KFM01B, KFM09A, KFM09B	GE041 and GE300	Sicada_06_134_1	2006-07-21
KFM07C	GE041 and GE300	Sicada_07_002	2007-01-03
KFM06A, KFM06C, KFM08C	GE041 and GE300	Sicada_07_156	2007-04-03
KFM11A, KFM12A	GE041 and GE300	Sicada_07_444	2007-12-04
Tensile Strength brazil_test	RM110 – Tensile Strength brazil_test	Sicada_08_033	2008-02-12
Strength_uniaxial	RM113 – Strength_uniaxial	Sicada-08_033	2008-02-12
Strength_uniaxial Poisson_and_young	RM113 – Poisson_and_young	Sicada_08_033	2008-02-12
Strength_triaxial	RM115 – Strength_triaxial	Sicada_08_033	2008-02-12
Strength_triaxial Poisson_and_young	RM115 – Poisson_and_young	Sicada_08_033	2008-02-12
Tensile Strength brazil_test	RM110 – Tensile Strength brazil_test	Sicada_08_037	2008-02-14
Strength_uniaxial	RM113 – Strength_uniaxial	Sicada-08_037	2008-02-14
Strength_uniaxial Poisson_and_young	RM113 – Poisson_and_young	Sicada_08_037	2008-02-14
Strength_triaxial	RM115 – Strength_triaxial	Sicada_08_037	2008-02-14
Strength_triaxial Poisson_and_young	RM115 – Poisson_and_young	Sicada_08_037	2008-02-14
Overcoring_d OC	RM050	Sicada_08_029	2008-02-07 (KFM) 2008-02-11 (KFK)
Hydr_stress_meas	RM040 (HF)	Sicada_08_085	2008-04-11
Hydraulic fracturing (HF), Hydraulic fracturing on pre-existing fractures (HTPF), Sleeve fracturing tests	RM048 (HTPF) RM039 (sleeve fracturing)		